



Notice of meeting of

Executive

To:	Councillors Waller (Chair), Steve Galloway, Sue Galloway, Jamieson-Ball, Reid, Runciman and Vassie
Date:	Monday, 30 June 2008
Time:	2.00 pm
Venue:	The Guildhall, York

AGENDA

Notice to Members - Calling In:

Members are reminded that, should they wish to call in any item on this agenda, notice must be given to Democracy Support Group by:

10:00 am on Friday 27 June 2008, if an item is called in *before* a decision is taken, *or*

4:00 pm on Tuesday 2 July 2008, if an item is called in *after* a decision has been taken.

Items called in will be considered by the Scrutiny Management Committee.

1. **Declarations of Interest**

At this point, Members are asked to declare any personal or prejudicial interest they may have in the business on this agenda.

2. Exclusion of Press and Public

To consider the exclusion of the press and public from the meeting during consideration of the following:

- Annexes 2 and 3 to Agenda Item 7 (York Race Course – Report on Negotiations)
- Annex 3 to Agenda Item 10 (Capital Programme Out-turn)

on the grounds that they contain information relating to the financial or business affairs of any particular person. This information is classed as exempt under paragraph 3 of Schedule 12A to Section 100A of the Local Government Act 1972 (as revised by The Local Government (Access to Information) (Variation) Order 2006).

3. Minutes (Pages 3 - 8)

To approve and sign the minutes of the meeting of the Executive held on 17 June 2008.

4. Public Participation

At this point in the meeting, members of the public who registered their wish to speak regarding an item on the agenda or an issue within the Executive's remit can do so. The deadline for registering is **5:00 pm on Friday 27 June 2008**.

5. Executive Forward Plan (Pages 9 - 12)

To receive details of those items that are listed on the Executive Forward Plan for the next two meetings.

6. CPA Corporate Response (Pages 13 - 30)

This report presents the results of the council's CPA Corporate Assessment, conducted in January, and considers the Council's response to the CPA recommendations and their impact on corporate improvement planning.

7. York Racecourse – Application for Lease Extension and Amendments – Report Back on the Results of Further Negotiations (Pages 31 - 46)

This report presents the results of further negotiations between Officers and York Racecourse with regard to the granting of a new

lease, as requested at the Executive (Calling In) meeting on 27 November 2007, and recommends that the new lease now be granted.

8. Year End Review of Performance 2007/8 (Pages 47 - 106)

This report provides an overview of how the Council has performed during the financial year 2007-2008.

9. General Fund - Provisional Revenue Out-turn 2007/08 (Pages 107 - 146)

This report presents the projected 2007/08 out-turn position on the Council's General Fund Revenue Account, Housing Revenue Account (HRA), Collection Fund and Public Sector Agreements (PSAs) for 2007/08, and asks Members to consider requests for under-spent project budgets to be carried forward and resultant transfers to reserves.

10. Capital Programme Out-turn 2007/08 and Revisions to the 2008/09-2010/11 Programme (Pages 147 - 172)

This report presents the final outturn position of the Council's capital programme for 2007/08, together with the statutory declaration on the funding of the programme, seeks approval for any necessary changes resulting from underspends, overspends and slippage, and provides an update on the future capital programme and a change to accounting policy relating to the statutory minimum revenue provision.

11. Statement of Accounts 2007/08 (Pages 173 - 180)

This report invites Members to review and comment upon the Council's financial accounts for the financial year 2007/08 before submitting them for approval by Full Council on the 30th June.

Note: A copy of the draft Statement of Accounts will be circulated to all Members once it becomes available. At this stage, that is likely to be on Thursday, 26 June.

12. Urgent Business

Any other business which the Chair considers urgent under the Local Government Act 1972

Democracy Officer:

Name: Fiona Young

Contact details:

- Telephone – (01904) 551027
- E-mail – fiona.young@york.gov.uk

For more information about any of the following please contact the Democracy Officer responsible for servicing this meeting:

- Registering to speak
- Business of the meeting
- Any special arrangements
- Copies of reports

Contact details are set out above.

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- find out about the rules for public speaking from the Democracy Officer.

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Further information about what's being discussed at this meeting

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The majority of councillors are not appointed to the Executive (38 out of 47). Any 3 non-Executive councillors can 'call-in' an item of business from a published Executive (or Executive Member Advisory Panel (EMAP)) agenda. The Executive will still discuss the 'called in' business on the published date and will set out its views for consideration by a specially convened Scrutiny Management Committee (SMC). That SMC meeting will then make its recommendations to the next scheduled Executive meeting in the following week, where a final decision on the 'called-in' business will be made.

Scrutiny Committees

The purpose of all scrutiny and ad-hoc scrutiny committees appointed by the Council is to:

- Monitor the performance and effectiveness of services;
- Review existing policies and assist in the development of new ones, as necessary; and
- Monitor best value continuous service improvement plans

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- Councillors get copies of all agenda and reports for the committees to which they are appointed by the Council;
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City of York Council

Committee Minutes

MEETING	EXECUTIVE
DATE	17 JUNE 2008
PRESENT	COUNCILLORS WALLER (CHAIR), STEVE GALLOWAY, SUE GALLOWAY, JAMIESON- BALL, RUNCIMAN AND VASSIE
APOLOGIES	COUNCILLOR REID

PART A - MATTERS CONSIDERED UNDER DELEGATED POWERS**8. DECLARATIONS OF INTEREST**

Members were invited to declare at this point in the meeting any personal or prejudicial interests they might have in the business on the agenda. No interests were declared.

9. MINUTES

RESOLVED: That the minutes of the Executive meeting held on 3 June 2008 be approved and signed by the Chair as a correct record.

10. PUBLIC PARTICIPATION

It was reported that there had been no registrations to speak at the meeting under the Council's Public Participation Scheme.

11. EXECUTIVE FORWARD PLAN

Members received and noted details of items listed on the Forward Plan for the next two meetings of the Executive.

12. MINUTES OF WORKING GROUPS

Members considered a report which presented the minutes of the meeting of the Local Development Framework Working Group (LDFWG) held on 13 May 2008 and the Social Inclusion Working Group (SIWG) held on 14 May 2008. The minutes were attached as Annexes A and B respectively.

There were no recommendations in the minutes requiring specific Executive approval. However, Members' attention was drawn in particular to:

- the comments of the LDFWG regarding consultation on the York North West Area Action Plan (Annex A, Minute 46)

- suggestions from the SIWG regarding what the Council could do to address issues raised at the Black and Minority Ethnic Stakeholder Seminar (Annex B, Minute 50).

In respect of the LDFWG minutes, Members commented on the unique opportunity for a 'blank canvass' approach to developing the York North West area and the importance of designing in a safe and low gradient cycle network. In respect of the SIWG minutes, Members noted the success of Disabled Go in using their website to advertise facilities for disabled people in the City. They also stressed the need to keep the Group updated on relevant activities within the Council and to ensure that meetings remained focused.

RESOLVED: (i) That the conclusions and recommendations agreed by the LDF Working Group at their meeting on 13 May 2008 regarding the York North West Area Action Plan be endorsed.

(ii) That the unique opportunity to shape a new approach to transport in this sector of the City as the Plan is further refined be stressed to Officers.¹

(iii) That the minutes of the meeting of the Social Inclusion Working Group be endorsed and that Cllr Aspden be thanked for his work as the Executive Member for Youth and Social Inclusion.

REASON: In accordance with the requirements of the Council's Constitution in relation to the role of Working Groups.

Action Required

1. Take this comment into account when progressing the Plan. JB

13. CITY OF YORK LOCAL DEVELOPMENT FRAMEWORK: CITY CENTRE AREA ACTION PLAN - ISSUES AND OPTIONS REPORT

Members considered a report which presented the draft Issues and Options document for the York City Centre Area Action Plan (AAP), together with the comments and suggested changes to the document made by the Local Development Framework Working Group (LDFWG) at their meeting on 3 June 2008. Approval was sought to publish the document for consultation purposes, subject to any changes approved by Members.

The draft document was presented as Annex A to the report. A summary of the issues and options was provided in Annex B and the minutes of the LDFWG, incorporating their comments and suggested changes, were attached as Annex C. Members were asked to consider the following options:

Option 1 – approve the document at Annex A and the summary at Annex B for consultation purposes, subject to the changes requested by the LDFWG

Option 2 – seek further or alternative amendments to the documents

Option 3 – defer the AAP and request further work from Officers. This option was not recommended, as it would impact on the timetable for the Local Development Scheme.

At the meeting, Officers circulated a briefing note setting out progress in developing the Local Development Framework and a summary of consultation on the City Centre AAP, listing proposed consultees and consultation methods. These documents are now attached as Annexes C and D to the report on the Council's website.

RESOLVED: (i) That the draft Issues and Options document at Annex A and the summary of Issues and Options at Annex B be approved for public consultation, subject to the changes recommended by the LDF Working Group.¹

REASON: So that the City Centre Area Action Plan DPD can be progressed to its next stage of development, as highlighted in the Council's Local Development Scheme.

(ii) That authority be delegated to the Director of City Strategy, in consultation with the Executive Member and Shadow Executive Member for City Strategy to make any necessary incidental changes to the above documents resulting from the Executive's decisions on this item.²

REASON: So that the recommended changes can be made.

(iii) That authority be delegated to the Director of City Strategy, in consultation with the Executive Member and Shadow Executive Member for City Strategy, to approve the Sustainability Strategy to accompany the Issues and Options document consultation.³

REASON: So that the report and accompanying document can be progressed.

(iv) That authority be delegated to the Director of City Strategy, in consultation with the Executive Member and Shadow Executive Member for City Strategy, to approve a Consultation Strategy and promotional material.⁴

REASON: To ensure that the proposed methods of consultation are satisfactory to Members.

Action Required

- | | |
|--|----|
| 1. Begin consultation process. | JB |
| 2. Make the agreed changes to the documents. | JB |
| 3. Approve the Sustainability Strategy. | JB |
| 4. Approve the Consultation Strategy / promotional material. | JB |

14. POLICY PROSPECTUS 2008/09

Members considered a report which asked them to endorse the final draft of the policy prospectus for the 2008/09 Municipal Year.

The draft prospectus, attached as Annex 1 to the report, represented an agreement between the Council's Liberal Democrat, Conservative and Green political groups regarding the topics they wished to be pursued as priority areas over the next twelve months. The Labour Group, having withdrawn from the political management arrangements in February 2008, had opted to use alternative methods to prioritise areas that they considered relevant.

Having noted the comments of the Shadow Executive on this item it was

RESOLVED: That the policy prospectus for the 2008/09 Municipal Year be endorsed.¹

REASON: In order to prioritise the topics agreed by Group Leaders.

Action Required

1. Take action to prioritise the topics listed in the Prospectus.

SC

15. ADMINISTRATIVE ACCOMMODATION REVIEW - END OF STAGE 3 REPORT

Members considered a report which provided an update on progress with the Council's corporate accommodation project, including targets and achievements for the period April 2007 to 2008. Approval was sought for a revised business case for the project in support of the latest design for the new headquarters building at Hungate.

The report outlined progress on Stage 3 of the project, which covered the period April 2007 to April 2008. The targets and outcomes for Stage 3 had all been achieved except for the relocation of the Ambulance Station and subsequent closure of the Haymarket car park. Positive efforts to resolve outstanding issues in these two areas were continuing. A planning application for the new headquarters had been submitted in the week commencing 19 May 2008 and the project remained on target for the occupation of Hungate by 2010. The latest design proposals for the headquarters building had responded to feedback received from consultation with stakeholders and professional bodies.

Since the last report to the Executive, in July 2007, there had been a number of Project Board meetings where the business case model for the project had been updated as new information became available. Most of these changes had resulted in an improvement to the affordability of the

project and the gross capital expenditure had remained at the approved level of £40.3m. However, the new design of the building would result in an increased cost of £3.5m and the 12 week delay for further design development had meant factoring in additional inflation costs. Details were set out in Annex 1 to the report. The revised affordability position, taking into consideration new accounting rules enabling the Council to defer repayment of debt, was illustrated in Table 2 at paragraph 57 of the report. It was stressed that the project continued to be affordable and to make sound business sense.

In response to Members' questions, Officers reported at the meeting that:

- The design incorporated the use of bio diesel as an energy source. This would be created from waste cooking oil, the additional cost of which would be offset by reduced energy consumption.
- Account was being taken of the needs of special interest groups, including young people, in producing the final design

Having noted the advice of the Shadow Executive on this item it was

RESOLVED: (i) That the content of the report, and the progress made on the accommodation project to date, be noted.

REASON: To enable the Executive to monitor the project effectively.

(ii) That the revised business case for the project in support of the latest design for the new headquarters building at Hungate be approved. This shows a net present value of savings of £4.768m, an improvement of £2m since the original business case, and the early years revenue deficit of £2.094m, a reduction of £1m from the original business case, as detailed in Table 2 in the report.¹

REASON: To ensure that the Council's corporate imperative to rationalise its current administration portfolio is achieved, together with all the stated aims and outcomes of the project.

Action Required

1. Progress the project in line with revised business case. SA

PART B - MATTERS REFERRED TO COUNCIL

16. URGENT BUSINESS - RECONSIDERATION OF DECISION ON BACK PARK PETITION

The Chair agreed to consider under Urgent Business a recommendation from the Strategic Policy Panel (SPP) in respect of a decision taken by the Executive (Calling In) on 13 May 2008 regarding the Back Park Petition. The matter was urgent because it needed to be considered in time to make a recommendation to the Full Council meeting on 30 June 2008.

The SPP had recommended that a report be prepared for Full Council, setting out appropriate options and recommendations in respect of the Balfour Street Play Area section of the land in question, and that no further action be taken until the Council's decision was known. The Executive approved this recommendation and accordingly it was

RECOMMENDED: That Full Council consider, at its meeting on 30 June 2008, a report setting out appropriate options and recommendations regarding the Balfour Street Play Area, and that no further action be taken until the outcome of that meeting is known.¹

REASON: So that a change can be made to the Capital Programme to record the view of the Executive.

Action Required

1. Write report to Council.

SA

A Waller, Chair

[The meeting started at 2.00 pm and finished at 2.35 pm].

EXECUTIVE FORWARD PLAN

Table 1: Items scheduled on the Forward Plan for the Executive Meeting on 15 July 2008		
Title & Description	Author	Portfolio Holder
<p>Review of Homelessness Strategy 2008/13</p> <p><i>Purpose of report: To ratify the strategy and agree the action plan to enable the development/improvement of homeless services across York to achieve required targets and National Indicators. Affects anyone who has housing difficulties who may be at risk of homelessness. Five year Strategy.</i></p> <p><i>Members are asked to: Ratify the Strategy before submission to CLG by 31.7.08.</i></p>	Tom Brittain	Executive Member for Housing & Adult Social Services
<p>Government Consultation on the Sub-National Review of Economic Development and Regeneration (SNR)</p> <p><i>Purpose of report: The SNR aims to strengthen economic performance at a regional level. The Government has issued a consultation document including 15 questions related to stronger partnerships for regional growth, integrating regional strategies and the role of local authorities. The deadline for responses to the consultation is 20th June – it is proposed to send a draft by this date with formal consideration of this at the Executive meeting.</i></p> <p><i>Members are asked to: Consider and approve a response to the Government consultation on the Sub-National Review of Economic Development and Regeneration.</i></p>	Roger Ranson	Executive Leader

Table 2: Items scheduled on the Forward Plan for the Executive Meeting on 29 July 2008

Title & Description	Author	Portfolio Holder
<p>Tang Hall Area Asset Management Plan</p> <p><i>The purpose of this report is to ask Members to approve the Area Asset Management Plan for Tang Hall which combines the strategic direction and priorities set by the Corporate AMP (which is in turn driven by the Corporate Strategy) with the priorities and requirements identified in the individual service plans and by the community at a local, location-specific level, setting out options for the way forward and timescales for delivery.</i></p>	Philip Callow	Executive Member for Corporate Services
<p>Use of Council Owned Land in Tang Hall Area</p> <p><i>Purpose of report: To present the Final Report of the Tang Hall Area Asset Management Ad-hoc Scrutiny Committee.</i></p> <p><i>Members are asked to: Consider and approve the recommendations within the report.</i></p>	Melanie Carr	Executive Member for Corporate Services
<p>Refresh of the Corporate Strategy</p> <p><i>Purpose of report: This paper formally presents the Corporate Strategy following its most recent refresh.</i></p> <p><i>Members are asked to: Consider the refreshed version of the Corporate Strategy.</i></p>	Janna Eastment	Executive Leader
<p>Appropriation of Property</p> <p><i>Purpose of report: To appropriate and transfer properties between the Housing Revenue Account and General Fund to ensure good governance and provide a corporate flexibility in the use of capital resources. The appropriations need to be approved for the financial year of 2008/09.</i></p> <p><i>Members are asked to: Approve the appropriations.</i></p>	John Urwin	Executive Member for Corporate Services
<p>Former Family Centre, Sixth Avenue, Heworth</p>	Tim Bradley	Executive Member for Corporate Services

<p><i>Purpose of report: The report presents Members with options to either dispose of the property, to realise a capital receipt, or retain it to fulfil identified service needs.</i></p> <p><i>Members are asked to: Decide which option they prefer.</i></p>		
<p>Key Considerations in the Approach to the Medium Term Financial Strategy</p> <p><i>Purpose of report: To receive details of the Council's projected financial position for 2009/10 to 2011/12. This report provides the context in which the 2009/10 budget will be set and the medium term financial position until 2012.</i></p> <p><i>Members are asked to: Consider the approach to key issues feeding into future years' financial strategy (and thus budgets), taking into account updated financial information and a review of processes.</i></p>	Sian Hansom	Executive Member for Corporate Services

Table 3: Items slipped on the Forward Plan with the agreement of the Group Leaders					
Title & Description	Author	Portfolio Holder	Original Date	Revised Date	Reason for Slippage
<p>Annual Governance Statement</p> <p><i>Purpose of report: To present to Members the Annual Governance Statement.</i></p> <p><i>Members are asked to: Consider the contents of the report and provide advice/recommendations for future action.</i></p>	Max Thomas	Executive Member for Corporate Services	30 June 2008	N/A	To be incorporated as part of the Statement of Accounts.
<p>Minutes of Working Groups</p>	Fiona Young	Executive Leader	30 June 2008	N/A	Relevant minutes are going to the Executive on 17 June 2008 as

To receive the minutes of recent meetings of the Local Development Framework Working Group (3 June).

part of another report.



Executive30th June 2008**Report of the Chief Executive****Corporate Assessment response and action planning****Purpose of Report**

1. This paper presents the results and judgements of the council's CPA Corporate Assessment which was conducted in January. The report goes on to consider the council's response to the recommendations and their impact on corporate improvement planning.

Background

2. Comprehensive Performance Assessment (CPA) is a government framework for assessing the quality of councils, an element of which is the Corporate Assessment. This and other component judgements form an overall rating according to the CPA scoring model.
3. Earlier this year, the council underwent its first Corporate Assessment since 2002. The assessment focussed primarily on the council's systems and processes and scored the organisation in 4 categories; ambition, prioritisation, performance management and capacity as well as according to the quality of some key service areas. The Corporate Assessment was conducted alongside Ofsted's Joint Area Review of services for children and young people, the outcomes of which will be reported separately.
4. The judgements reached in the Corporate Assessment are based on the evidence gathered in a 2 week site visit during which a government inspection team met with senior managers, members and partners, worked with staff in focus groups and reviewed the council's key strategies. The inspection team's resulting judgements were published in the form of a final report on the 3rd June.
5. The council intends to use the impetus provided by the publication of the Corporate Assessment to make a fresh commitment to improve in a number of areas. The proposed areas of improvement are set out in this report and whilst reflecting the judgements of the Corporate Assessment, they have also been drawn from other influences including staff survey results and other audit judgements. The intention of bringing these things together is to simplify the council's approach to improvement.

Consultation

6. The judgements presented here about the council's improvement priorities for the next 12 months have been developed by Corporate Management Team, who were also consulted on the draft of the Audit Commission's report.
7. The author will be seeking the views of York's local lead inspector at the Audit Commission over the content of this report and will report back verbally on his comments at the Executive.

Corporate Assessment, score and judgements

8. Overall, York's CPA score remains 3 stars out of 4 and improving well. The Corporate Assessment report confirms that there has been good progress and it contains much that is positive. Recent improvements are recognised, as is the dedication of staff and the quality of the services they provide. It also comments that the council has a strong awareness of the city's needs, works well in partnership and represents good value for money.
9. The Corporate Assessment argues that improvements need embedding and to be made consistent across the organisation. And it is for that reason that the subsequent improvement planning is being led by CMT who are committed to ensuring that it is sustained, shared and properly implemented. It is for this issue of 'embeddedness' that the Corporate Assessment judged the council's systems and processes as 'adequate' rather than 'good'.
10. The Corporate Assessment report emphasised the need for stronger internal collaboration and corporacy and for progress in this area to be sustained
11. The headlines from the Corporate Assessment below are all quoted from the Audit Commission's executive summary and this section of the report is reproduced as annex 1. The full report is available online by following the address below and navigating to the page for York

<http://www.audit-commission.gov.uk/cpa/>

- Community leadership is good and there is a clear vision for the area.
- Political leadership is effective and decision making is based on joint working across parties.
- There is a positive direction of travel but there is still much to do to ensure that there is a consistent approach across all services and partnership areas.
- Most costs are low compared to other councils and performance is generally good.
- The new Chief Executive and management team are providing strong leadership and have developed a 'York Business Model' to ensure better corporate working.

Areas for improvement

12. The report goes on to specify a number of areas, with recommendations, in which the council needs to make improvement.
 - Improving the approach to diversity and equality
 - Ensure that scrutiny is effective.
 - Member training
 - Human resource management
 - Ensure that the performance management framework for all LSP delivery partnerships is more consistent

Improvement Planning

13. The council's Corporate Management Team has taken a fresh approach to how it considers and organises corporate improvement work and recommends using the mandate that the Corporate Assessment provides to develop a plan for progressing high level corporate improvements.
14. A recent and significant addition to the council's corporate planning has been the development of the York Business Model, and the Corporate Assessment notes the potential of this to facilitate better corporate working and mainstream improvements.
15. The Business Model describes
 - i) What the council is seeking to achieve i.e. the Corporate Strategy
 - ii) How the council will work to achieve these priorities i.e. the processes, systems and policies which will be applied consistently across the council
16. As the Corporate Assessment and other critiques focus on the improvement of systems and processes, it presents a good opportunity to ensure that our future improvement planning is couched within the Business Model. As well as the Corporate Assessment, the council receives advice from a number of other sources – Use of Resources assessment, Annual Audit Letter, Staff Survey, Senior Managers. Rather than respond separately with action plans for each, CMT recommends putting together a single plan for corporate improvement. This will add simplicity and focus.
17. It is important to note that the things in the single improvement plan are already recognised as very important areas of work and ones in which there is already ongoing work - equalities and health & safety are examples. The improvement plan clarifies and formalises therefore where most focus will be brought, but it does not follow that other corporate improvement work will not still progress.
18. Below are the recommended areas for improvement which the Executive are asked to approve. CMT recommends these because they appear in key inspection documents (often more than one and/or are important to staff). All the areas for

improvement drawn from the Corporate Assessment feature in the list below, including the recommendation to improve the consistency of performance management arrangements across the city's delivery partnerships. For whilst this isn't named explicitly as an improvement priority, it does fall within the scope of the project to ensure better alignment between the Community Strategy, LAA and Corporate Strategy

19. CMT have recently assigned a management team lead for each one of the topics below. Initial work on these projects has identified for each the scope of the work to be undertaken, how success for each will be quantified and the milestones in the supporting projects for the first 12 months. Each of these project outlines has been developed by the CMT member responsible, with reference to related work already underway, and are presented in outline in annex 2.

Area of improvement	Where recommended	Rationale for inclusion
Improve the Council's approach to <u>equalities and diversity</u> . This will include progressing work on equality impact assessments of services and policies, improved access to services across the community, tackling inequality in outcomes and development of an engagement and customer strategy	Annual Audit Letter 2008 Use of Resources 2008 Corporate Assessment Annual Governance Statement Staff Survey	Senior management recognise equalities as a key priority and one which requires significant improvement work to meet both the needs of council staff and customers of the council to ensure a fair outcomes for all
<u>Improve HR management</u> Initial actions will focus on the improved and consistent use of appraisals and Personal Development Plans as well as the development of new policies to support major programmes of work	Annual Audit Letter 2008 Corporate Assessment Staff Survey	This was also identified as an issues in the staff survey and senior managers workshops
<u>Improve attendance management</u>	Annual Audit Letter 2008 Annual Governance Statement	Staffing costs represent 50% of CYC's day to day expenditure and we wish to create a good working environment that limits stress and work related illness
Improve <u>Health & Safety</u> procedures and culture - raising the profile of H&S across the council	Annual Governance Statement	A recent HSE report following an investigation and prosecution highlighted the need for major improvement in this area
Ensure that <u>councillors are trained</u> and equipped to carry out their roles by improving attendance at training programmes	Corporate Assessment	This has been noted in previous inspections and the council is aware that uptake of training provision is presently underdeveloped

Area of improvement	Where recommended	Rationale for inclusion
Improve alignment between <u>community strategy, LAA and corporate strategy</u>	Use of Resources 2008	This is fundamental to achieving clarity of focus and understanding of the councils direction. Better alignment also helps demonstrate our commitment to achieving the wider city vision
Introduce improved <u>budget setting process</u> which better aligns priorities to budget allocation and efficiencies. Initial work will include scoping what improvement can be achieved before September and prior to the next budget round	Use of Resources 2008 Senior managers	It is highlighted in a number of places that the Medium Term Financial Strategy needs to show clear links to priorities which also protects their investment in a more systemic way
Improve <u>budget monitoring arrangements</u> to ensure significant variances are reported more frequently, allowing budget holders to respond to issues in a timely way	Annual Audit Letter Use of Resources 2008	This will increase mangers capacity to respond to the rapidly changing environment through the provision of good management practice
Make improvements to the council's <u>capital programme</u> to ensure it is completed on time and on budget, and delivers outcomes which are fit for purpose. The intention is to use this work to improve and standardise project management across the authority	Use of Resources 2008	This covers areas of high spend and high risk for the council e.g. Hungate, York stadium and schools modernisation. Greater assurance is sought of performance in this area, with the hope that best practice may be shared
Improve the <u>accuracy and reliability of data</u> to support the performance management and decision making needs of the organisation. Subsequently implement effectively an IT performance management system to improve the timeliness, accessibility and analysis of performance data and reporting	Annual Audit Letter Use of Resources 2008 Corporate Assessment	The issue of data quality has been raised over a number of years by the Audit Commission. Provision of accurate data and its effective management are fundamental to the effective running of the council
<u>Review scrutiny arrangements</u> to ensure that they are effective, add value and contribute to better policy making and performance management	Use of Resources 2008 Corporate Assessment	This has been singled out for particular attention in the corporate assessment and is significant in demonstrating effective democratic accountability
Improve the effectiveness of the council's <u>consultation and engagement with York's citizens</u>	Corporate Assessment Staff Survey	Improving our understanding of the needs of a diverse customer base is key to ensuring that our services are suitably designed to meet the needs of the city

Implementation and next steps

20. Returning to the issue of 'embeddedness' and the observation that improvements need to be consistently made throughout the organisation, CMT recognise that key to the success of this work will be not just to develop improved processes in the areas identified but to implement, roll out across the council and sustain them. Therefore
- CMT leads will continue to provide leadership for each area of work in the plan
 - They will be responsible for implementing the programme for the next 12 months set out in annex 2
 - Progress made in their areas will be monitored via the joint Executive/CMT corporate performance monitor sessions
 - Improved processes and systems will be implemented in the council-wide Business Model as they are adapted as new corporate practice, replacing what was there before
 - Audit and performance assurance work will check on consistent implementation across the organisation to ensure that the Business Model remains the key point of reference for how things are done
 - CMT will be provided with this assurance on compliance across the council

Corporate Priorities

21. The single improvement plan is complimentary to our existing framework of improvement activity. It's focus on improving the systems and process of the council will facilitate the achievement of the Corporate Strategy. These two elements; what the council is seeking to achieve and how it goes about achieving them, are brought together in the Business Model.

Implications

- **Financial** – There are financial implications in that 2 of the areas for improvement identified will facilitate enhanced future budget setting and monitoring
- **Human Resources (HR)** – There are HR implications in that several of the areas for improvement will facilitate enhanced HR processes
- **Equalities** - There is a specific focus on equalities which enhance the council's approach to equalities both internally and externally
- **Legal** – There are no legal implications
- **Crime and Disorder** – There are no crime and disorder implications
- **Information Technology (IT)** – There are no IT implications
- **Property** – There are no implications for property

Risk Management

- 22. The single improvement plan identifies areas of priority for the council to improve. There is strong alignment between these and the topics identified in the council's Annual Governance Statement which shows significant corporate risks for the organisation to address.

Recommendations

- 1. Members are asked to note the result and judgements of the CPA Corporate Assessment
- 2. Note and approve the areas for improvement set out in the proposed single improvement plan and the associated project plans outlined here
- 3. Agree to receive further updates on the development of the plan

Reasons – to initiate a clear set of improvement priorities based on the council's Business Model

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Bill McCarthy
Chief Executive

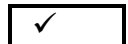
**Report
Approved**



Date 18th June 2008

Wards Affected: *List wards or tick box to indicate all*

All



For further information please contact the author of the report

Annexes

- 1 CPA Corporate Assessment 2008 Executive Summary
- 2 Single improvement plan project outlines

Background Papers

CPA Corporate Assessment 2008

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Overall, the Council is performing adequately. Councillors and a new corporate management team are driving forward plans to improve corporate and cross cutting working. They are building on the strong services already in place and partnership working is being strengthened. These improvements are beginning to make a difference but need to be taken further and sustained. The direction of travel is positive and there are good prospects for the future. The Council has made good achievements in a number of its priorities such as a strong economy, good housing, reductions in crime and good outcomes for children and young people but has more to do in other cross cutting areas.

Community leadership is good and there is a clear vision for the area. The Council and its partners have developed an overarching vision for the City and this is set out in the community strategy which covers the period 2004 to 2024. The vision is based around key themes such as creating confident and inclusive communities, improving and maintaining the environment, a thriving and prosperous economy, education and learning and celebrating the historic past whilst creating a successful and thriving future.

The community strategy is based on a good understanding of the needs of the area. The reparation of the strategy involved an extensive consultation exercise, a robust assessment of current performance and analysis of the issues facing the city. However, the Council does not have an overall engagement strategy and its approach to equalities and diversity is underdeveloped. This means that, whilst regular engagement with the public is carried out, the results are not shared in a systematic way and there is a risk that some groups will not have the opportunity to contribute.

The Council has clear priorities set out in its corporate strategy but arrangements to ensure a better focus on the corporate priorities are at an early stage of development. The Council has traditionally focused on its service areas rather than as a corporate whole but is now making a significant investment in improving systems to deliver priorities through a more corporate, integrated organisation. The new Chief Executive and management team are providing strong leadership and have developed a 'York Business Model' to ensure better corporate working. This builds on a number of developments put in place since a critical Improvement and Development Agency (IDeA) report in 2005 which highlighted significant levels of 'silo' working amongst directorates.

Political leadership is effective and decision making is based on joint working across parties. The democratic structure has been developed to reflect the balanced political administration but aspects of scrutiny are not effective. There are some examples of added value in providing challenge and policy development but overall this is not adding sufficient value.

Human resources management is underdeveloped. The Council is developing its capacity through its organisational development approach and through leadership and management programmes. However, there are a number of weaknesses in human resources management including poor management information, workforce planning and high levels of sickness absence.

The Council is improving its corporate performance management arrangements, including the introduction of a 'dashboard' approach to focus on key corporate indicators and improvements to partnership performance management. There is a positive direction of travel but there is still much to do to ensure that there is a consistent approach across all services and partnership areas. Service performance management has been effective in most areas and the Council provides good value for money for local taxpayers. Most costs are low compared to other councils and performance is generally good. Work on strengthening the delivery arrangements for

the Local Strategic Partnership (LSP) has been put in place over the past year or so but there are inconsistencies in performance management across all the delivery partnerships.

Despite the inconsistencies in corporate performance management arrangements, service performance is good and there have been a number of achievements in relation to its priority areas such as the economy, recycling, traffic congestion, good housing and improving the life chances of the most disadvantaged and disadvantaged children and young people. There is more to do in cross cutting areas such as health inequalities, alcohol and drugs misuse, tackling anti-social behaviour and in responding to national agendas around older people.

There have been good achievements in terms of the local economy. York outperforms its regional comparators in relation to employment, earnings and skills. Income inequalities are lower than other comparable areas and strong plans for the future are in place. The Council has also made significant progress in relation to environmental management and is providing strong leadership around the environment. In 2006, it opened its EcoDepot, which is estimated to save 176 tonnes of carbon dioxide per year. Traffic congestion is being tackled and there is a strong focus on encouraging alternative methods of transport.

Good progress is being made in improving the condition and appearance of the city's housing estates and street scene. Street cleanliness is improving and parks, play areas and public spaces are of a high standard. The Council is taking steps to increase the supply of affordable housing and good progress is being made to improve the standards of existing homes. The Council is on target to achieve the Decent Home Standard by 2010.

There have been good reductions in the levels of crime and disorder as a result of strong working relationships between the police and the Council. However, there is weaker performance in relation to anti-social behaviour. Whilst there is a clear understanding of health inequalities in deprived areas, progress is at an early stage. The Council, with partners, is making good progress in promoting and supporting the independence and well being of older people but the focus is largely on social care rather than taking a broader view across all Council services.

Outcomes for children and young people in the City of York area are strong. The priority is to ensure that those from the most disadvantaged and vulnerable backgrounds achieve and the Council is strong in this area. Looked after children make good progress in health and are improving progress in educational and employment outcomes.

Project	CMT lead	Scope	Priority actions for year 1	Milestones for year 1
Improve the council's approach to Equalities and Diversity	Bill Hodson Director of Housing & Adult Social Services	To complete and implement the Corporate Equalities Improvement Plan being overseen by the Equalities Leadership Group. This will include: <ul style="list-style-type: none"> • What still needs to be done to secure Level 2 of the current equality standard • Standards that need to be achieved corporately and departmentally to ensure sustained improvement • Progress on strategically critical Equality Impact Assessments • The need for staff and union engagement in shaping the corporate equality schemes • Community Engagement and Participation Strategy • Sustained and 'mandatory' training programme linked to service planning, appraisals and PDRs • A corporate approach to and shared systems for data collection analysis and use 	<ul style="list-style-type: none"> • CMT to endorse an Equalities system for York (similar to the approach on Health and Safety) as part of the Business Model. This would set ambitions and standards and make stronger links to departmental service planning. • Complete the agreed list of 'strategic' Equality Impact Assessments • Analyse existing data to get a baseline of "what inequality/where". • Set up designated staff reference groups (essential to framework compliance) 	<ul style="list-style-type: none"> • "Updated" corporate Gender Disability and Race schemes for period to June 2008 to June 2009 agreed by members in September 08 • CMT to endorse an Equalities (or "Fairness") System by October 08 • Complete 'strategic' EIAs by October 08 • Public consultation conference in November 08 • Community engagement strategy for the Social Inclusion Working Group agreed by the end of 2008 • New, national equality framework (with newly defined standards) comes into effect in April 2009
Improve HR management	Heather Rice Director of People & Improvement	To conduct a people management review within CYC and implement and support the agreed outcomes. Outcomes and indications of success will include <ul style="list-style-type: none"> • Clear agreed outcomes from the 	<ul style="list-style-type: none"> • Completion of People Management Review • Realignment of resources to the above • Agreement of a People Strategy and 5 key enablers • Continued review and 	<ul style="list-style-type: none"> • Recruitment of Head of HR and OD June 2008 • A clear agreed work programme for the HR team which has clearly prioritised existing capacity and understands the gaps CMT paper July 2008, work

Project	CMT lead	Scope	Priority actions for year 1	Milestones for year 1
		<p>People Management review</p> <ul style="list-style-type: none"> • A revised HR Strategy (to be known as the People Strategy) • A series of 5 key enablers, including a corporate workforce development plan 	<p>development of appraisal</p> <ul style="list-style-type: none"> • A review of training and agreed training and development plan • The development of new HR policies and procedures to support major programmes of work • A review of induction • To improve HR information to help support future business needs and to promote effective and efficient working • To support the introduction of flexible working styles linked to improved attendance management 	<p>programme September 2008</p> <ul style="list-style-type: none"> • To move Payroll to HR September 2008 (subject to restructure moratorium) • Implementation of 'e-recruitment' February 2009
Improve attendance management	Heather Rice Director of People & Improvement	<p>To improve attendance management within CYC with a focus on managing sickness in the first phase, moving to a focus on improving wellbeing in the second phase.</p> <p>Outcomes and indications of success will include</p> <ul style="list-style-type: none"> • a reduction in working days lost • increased numbers of managers proactively managing absence • improved service delivery • improved health and wellbeing of 	<ul style="list-style-type: none"> • To review policies and procedures • To develop and improve data management and reporting for sickness absence • To train managers in managing sickness absence To scope introduction and improvements in employee benefits and assistance programmes 	<ul style="list-style-type: none"> • To develop and implement a communications strategy on attendance to continue to raise profile corporately and within directorates September 2008 • A decreasing number of working days lost ongoing • Monitoring of proactive management of sickness absence through (already updated) PDR process ongoing • To develop partnership

Project	CMT lead	Scope	Priority actions for year 1	Milestones for year 1
		staff	<ul style="list-style-type: none"> To actively engage the newly contracted occupational health provider in staff support 	<p>working with PCT to improve health of people of York discussions commenced and ongoing</p> <ul style="list-style-type: none"> Regular monitoring of absence via dashboard provision ongoing
Improve Health & Safety procedures and culture	Heather Rice Director of People & Improvement	<p>To develop and embed a culture of health and safety within CYC. Outcomes and indications of success will include:-</p> <ul style="list-style-type: none"> A reduction in the number of RIDDOR incidents Clear joint working with trades union colleagues Progress on HSE pilot 	<ul style="list-style-type: none"> To review and relaunch our Safety Management System To develop the joint Health and Safety Committee To support the Health and Safety Team To develop and implement a major communications strategy on this agenda To continue to monitor progress within the performance dashboard 	<ul style="list-style-type: none"> To set up, support and develop Health and Safety groups within directorates (corporate H&S group already established May 2008 and to advise) To continue to develop the Health and Safety Team ongoing To secure a permanent Health and Safety Manager candidate identified end of July 2008
Ensure that councillors are trained and equipped to carry out their roles	Terry Collins Director of Neighbourhood Services	<p>The project will identify what training members require to successfully undertake their role. Members will be fully consulted as part of this project whilst discussions will be held with external organisations such as the IdeA to identify how they can assist.</p> <p>When the project is concluded a training programme will be developed to be used by all members consisting of a</p>	<ul style="list-style-type: none"> Review to be held of existing training arrangements Meeting with Group Leaders (and possibly their Groups) will be held to identify member training needs Contact will be made with several excellent rated authorities to identify best 	<ul style="list-style-type: none"> Review to be held of existing training arrangements July 2008 Meeting with Group Leaders (and possibly their Groups) will be held to identify member training needs August 2008 Contact will be made with several excellent rated authorities to determine best practice August 2008

Project	CMT lead	Scope	Priority actions for year 1	Milestones for year 1
		<p>wide range internal and external training opportunities. The main outcome will be that members will feel that their development needs are being met and that they have the required level of knowledge to undertake their role successfully.</p>	<p>practice</p> <ul style="list-style-type: none"> • Discussions with organisations such as the IdeA will be held to identify future training opportunities • Member PDR's to be developed which will include development needs • CYC member training programme to be developed and presented to members 	<ul style="list-style-type: none"> • Discussions with organisations such as the IdeA will be held to identify future training opportunities September 2008 • Member PDR's to be developed which will include development needs September 2008 • CYC member training programme to be developed and presented to members November 2008
<p>Improve alignment between community strategy, LAA and corporate strategy</p>	<p>Bill Woolley Director of City Strategy</p>	<p>The completion of a refreshed SCS and LAA2 provides the overall context for this part of the SIP. The CYC Business model confirms the leadership role of CYC in taking forward delivery of the SCS and LAA2 and influencing the contributions of all other partners and partnerships.</p> <p>The findings of CPA and future requirements of CAA show that CYC needs to ensure our strategic partners are equipped for future challenges – especially in respect of performance management arrangements.</p> <p>CYC will be seeking to influence all partners to align to the SCS. CYC will lead by example by ensuring the</p>	<ul style="list-style-type: none"> • Carry out review of strategic partnerships (i.e. LSP and 8 thematic partnerships) • Raise awareness of SCS/LAA2 with CYC staff • Agree process for refreshing CYC Corporate Strategy • Agree and implement Performance Management arrangements (including LPSA2 funding) for SCS • Commence CAA preparations (including determination of lead and support arrangements)) 	<ul style="list-style-type: none"> • CYC approve SCS June 2008 • Communication events for SCS/Corporate Strategy September 2008 onwards • Enhance the role of the WOW Executive Delivery Board to improve strategic performance management within partnerships October 2008 • Review of strategic partnerships completed and areas for improvement identified by December 2008 • LPSA2 bids approved December 2008 • CAA Action Plan completed December 2008 • Refreshed CYC strategy re launched April 2008

Project	CMT lead	Scope	Priority actions for year 1	Milestones for year 1
		<p>refreshed CYC Corporate Strategy is aligned to the SCS and LAA.</p> <p>Outcome: Refreshed CYC Corporate Strategy that is aligned to the SCS including relevant LAA indicators. The CYC corporate strategy will reflect the needs of our customers and meets the requirements of national government and be used more fully in CYC business planning.</p>		
Introduce improved budget setting process which better aligns priorities to budget allocation and efficiencies.	Ian Floyd/ Sian Hansom (Director of Resources)	To ensure an appropriate balanced budget is set and regularly (using a risk based approach) monitored	<ul style="list-style-type: none"> • Base budget review in key risk areas • Efficiency plan to be built into budget process • A detailed programme (with timescales) to be agreed for the implementation of the budget, ensuring transparency and clarity of process 	<ul style="list-style-type: none"> • Spend within 2% of set budget • Efficiency plan delivered on schedule and to time (both) • Strategic consultants appointed to support the development of a high level efficiency review process <p>All by March 2009</p>
Improve budget monitoring arrangements	Ian Floyd/ Sian Hansom	To ensure an appropriate balanced budget is set and regularly (using a risk based approach) monitored	<ul style="list-style-type: none"> • Monthly risk analysis provided by finance managers to Head of Finance (monitoring) • Full risk based approach to be developed during 08/09 (monitoring) • Programme for the introduction of monthly budget monitoring to be introduced (monitoring) 	<ul style="list-style-type: none"> • Spend within 2% of set budget • Efficiency plan delivered on schedule and to time <p>All by March 2009</p>

Project	CMT lead	Scope	Priority actions for year 1	Milestones for year 1
<p>Make improvements to the council's capital programme</p>	<p>Pete Dwyer</p>	<p>The project will seek to understand how we will ensure that capital funding available to the authority is used most effectively to deliver required high quality facilities in an integrated and timely manner. The project will review current practice and seek to understand the steps required to</p> <ul style="list-style-type: none"> • Enable reductions in the levels of Capital Carry Forwards sought each year or at least greater understanding of reasons for carry forward • Establish clearer corporate management leadership of the agenda • Confirm effective processes for capital programme monitoring • Develop strategies which will ensure capital programme is successfully delivered • Improve programme management within the authority • Reduce potential risk of District Audit challenge to our work in this area 	<p>Phase 1. Taking Stock – Analysing the Issue</p> <ul style="list-style-type: none"> • Review current and historic practice with key players across Directorates • Review effectiveness of CAPMOG (Capital Monitoring Group) • Establish baseline data of local/regional and national performance in this area • Access external advice on best practice <p>Phase 2. Planning and Implementing Improvement</p> <ul style="list-style-type: none"> • establishing new or amending current systems/processes/capacity for capital programme monitoring • agree acceptable models of programme management which could then be applied across the wider council 	<ul style="list-style-type: none"> • Phase 1 review: June-September 2008 • CMT report September/October • Phase 2 implementation Oct-January 2009 • CMT report February/March 2009
<p>Improve the accuracy and reliability of data</p>	<p>Terry Collins</p>	<p>The project will set out the Council's vision for data quality whilst defining what this means for staff, members and in the future partners. The project will identify roles and responsibilities for data quality throughout the organisation</p>	<p>Consultation to be held with the Performance Officers Group re the draft report and Data Quality Policy Draft report and policy to be presented to be presented</p>	<ul style="list-style-type: none"> • Consultation to be held with the Performance Officers Group re the draft report and Data Quality Policy June 2008 • Draft report and policy to be presented to be presented to

Project	CMT lead	Scope	Priority actions for year 1	Milestones for year 1
		<p>and develop corporate standards which will be consistently delivered in all directorates.</p> <p>The project will also address all of the actions detailed in the 'Review of Data Quality Arrangements' audit completed by the Audit Commission in 2006/07.</p> <p>At the end of this project data quality will be embedded within our organisation which will allow us to manage our day to day business effectively using the data as an integral part of our decision making process.</p>	<p>to CMT.</p> <p>Amendments to be made to the draft report.</p> <p>Consultation to be held with the Audit Commission.</p> <p>Report to be presented to the Executive and Audit and Governance Committee.</p> <p>Member champion to be appointed.</p> <p>Year one actions to be delivered.</p>	<p>CMT July 2008</p> <ul style="list-style-type: none"> • Amendments to be made to the draft report July 2008 • Consultation to be held with the Audit Commission August/September 2008 • Report to be presented to the Executive and Audit and Governance Committee September/October 2008 • Member champion to be appointed September/October 2008 • Year one actions to be delivered March 2009
<p>Review scrutiny arrangements to ensure that they are effective and add value</p>	<p>Bill McCarthy Chief Executive</p>	<p><u>Scope</u></p> <ul style="list-style-type: none"> • Drawing on best practice and in the context of Corporate Assessment, review current arrangements for pre and post decision Scrutiny. • Implement findings <p><u>Outcomes</u></p> <ul style="list-style-type: none"> • An efficient and effective Scrutiny function contributing to better decision-making 	<ul style="list-style-type: none"> • Identify best practice • Consult with Members • Agree new approach • Implement new approach 	<ul style="list-style-type: none"> • Consultation with political groups in July 2008 • Report to Council in September 2008 • Implement new arrangements in January 2009 if agreed
<p>Improve the effectiveness of the council's consultation</p>	<p>Bill McCarthy Chief Executive</p>	<p><u>Scope</u></p> <ul style="list-style-type: none"> • Drawing on best practice around the Country, develop and publish a communication and engagement 	<ul style="list-style-type: none"> • Find best practice • Consult members, staff and public in drawing up strategy 	<ul style="list-style-type: none"> • Diagnosis, discussion with members and partners and identification of best practice August 2008

Project	CMT lead	Scope	Priority actions for year 1	Milestones for year 1
and engagement with York's citizens		<p>strategy to be used across the whole Council.</p> <p><u>Outcomes and Success Indicator</u></p> <ul style="list-style-type: none"> • Improvement in service satisfaction by earlier involvement and engagement of customers in service decisions. • Improved understanding by the public of the Council's policies and decisions. 	<ul style="list-style-type: none"> • Agree strategy • Publish strategy 	<ul style="list-style-type: none"> • Draft strategy for consultation in September 2008 • Publish strategy by December 2008



Report to Executive**30 June 2008**

Report of the Corporate Landlord and the Director of Learning Culture and Childrens Services

York Racecourse – Application for Lease Extension and Amendments – Report Back on the Results of Further Negotiations**Purpose of Report**

- 1 This is the report back to Executive on the results of further negotiations with the Racecourse and discussions with consultees following the initial report dated the 20 November 2007 to consider the request by the Racecourse to extend their lease. Following comments by the Shadow Executive on 14 November and Scrutiny Management Committee on the 26 November, Executive resolved on the 27 November to refer the matter back to officers for further negotiations in order to cover the following additional topics:
 - The provision of off-site toilets
 - Off site Policing costs
 - Local traffic management
 - Benefits for residents
 - If the above matters cannot be dealt with under the terms of the lease, to consider setting aside income from the lease to address the issues
 - Access and car parking from the southern side of the site.

This report is to advise Members of the results of the further negotiations and discussions.

Background

2 Full details of the lease and reasons for the proposed amendments were contained in the initial report of the 20 November 2007, but are summarised here for ease of reference:

- The property exclusively let to the Racecourse is that shown hatched black on the plan at Annex 1.
- The current lease term is 99 years from January 1957. Rent is reviewed 10 yearly on a receipts based formula, summarised in Exempt Annex 2, and last fixed in January 2007.
- Use and maintenance of the racetrack is included together with the right to control admission to the Knavesmire during “race weeks” which are currently the Saturday preceding a race meeting to the Saturday next following.
- There are 15 days racing per annum as of right, with additional race days by Council consent. In practice there are usually around race days 17 at present.
- The lease includes part of the West Enclosure together with the totalisator stand in the Council’s retained part.
- All maintenance and management is undertaken by the Racecourse at no cost to the Council.

The new inner safety road is part of the racing circuit and the Racecourse have permission to retain it following the grant of planning permission.

3 The changes requested by the Racecourse are summarised as follows:

- a) To restore the lease length to 99 years from 2008 to facilitate new investment.
- b) To include the whole of the West Enclosure in the lease. The additional area requested is shown by W on the plan at Annex 1. An additional rent would be payable – see Exempt Annex 2. This proposed extension to the lease area will need to be advertised under the provisions of Section 123 of the Local Government Act 1972, as it is Open Space at present
- c) To increase the number of race days permitted as of right to 20.
- d) To extend the definition of the racetrack to include the “Ascot Bend” marked X-X on the plan, and return to the Knavesmire part of the existing straight marked A-B.
- e) To extend the definition of Race Weeks to allow up to 10 days setting up and 4 days taking down time before and after Race Meetings, to cater for the increased number of hospitality marquees, but only in defined areas on either side of the stands.

4 The specific benefits to the Council in any new lease would include the following measures: -

- 5 yearly rent reviews after 2017.

- Additional rent payable for additional Race Days and for the balance of the West Enclosure.
- The return of the land between points A-B from the existing track, which permits improved access from the crossing at Tadcaster Road on the walking route to Millthorpe School, and also giving more room for events at Knavesmire Gates.
- The new safety road can double up as a cycle track – the Council need to extend it along Cherry Lane to Tadcaster Road, and to Knavesmire Road.

UPDATE ON MATTERS REFERRED BACK FOR FURTHER NEGOTIATION

5 Those issues mentioned in paragraph 1 which were referred back for further negotiation have been discussed in particular with representatives of the Racecourse, North Yorkshire Police Neighbourhood Unit, Licensing and Highways Network Management Officers of the Council, and representatives of Doncaster and Chester Councils (for comparison). As a result of this, responses on the various issues are set out below under each heading, together with all options which seem to be available.

6 Provision of Temporary Off Site Toilets on Race Days

Temporary toilets were provided between the Racecourse and the City Centre during Ascot week in 2005. They were provided by the Council using funding from Yorkshire Forward which was available on that occasion only, due to the large number of extra visitors. The Racecourse makes provision for toilets on their own site in excess of industry recommended standards, many of which are located at the exits and on car parks, and this provision is acceptable to the Council as licensing authority. An approach was made to reopen the former toilets at Knavesmire Gates for race days, but this was not acceptable to Hamilton Panthers FC, who are now tenants of this building.

7 The Racecourse believe that there are management and insurance liability difficulties associated with temporary toilets and that their location can cause disturbance to residents close to them. Racecourse Stewards have no jurisdiction off site. The Racecourse does not believe that it is their responsibility to provide such facilities. No precedent can be found, at Doncaster, Chester or elsewhere, where a sporting event has paid for the provision of off site toilets in this way.

8 The Neighbourhood Policing Team believe that the provision of toilets may prevent some anti-social behaviour and would support further experimental provision, in the Albemarle Road and Knavesmire Crescent area, and close to Scarcroft Green. They do, however, recommend that the toilets are removed after each day's racing to prevent them being the focus of any anti-social behaviour or other nuisance to residents on an evening. The costs would therefore need to be funded by the Council. The hire charges are £35 per unit per day giving a total cost of possibly £ 525 per day (15 units). There is no current budget provision for this cost.

9 Policing

Under the provisions of the Safety of Sports Grounds Certificate, up to 50 police officers are on duty on a race day, and up to 250 site security staff are employed by the Racecourse. There is good liaison with the Neighbourhood Policing Unit and the numbers, methods of working etc of the stewards are acceptable to the Neighbourhood Policing Unit. They have an information sharing protocol to identify troublemakers and the police are satisfied that persons drunk and disorderly are not

served alcohol, and on general site control. As a result of this process, the police and Racecourse have already agreed to issue banning orders against those convicted of an offence on the course.

- 10 For 2008, the police have stepped up their presence between the Racecourse and the City Centre. Permission has been granted to site a mobile police office close to Knavesmire Gates in a position which is prominent and visible to those leaving the course. Approximately 20 officers, mainly Community Support Officers, are going to be deployed on each race day to help control crowds at the start and end of the day. They would patrol on foot and on cycle.
- 11 The police now accept that they cannot recover the cost of off site policing from an event organiser, following the case of Wigan AFC against Greater Manchester Police, which sets a precedent. The full costs of policing within the course are recovered and there are no disputes concerning this cost or level of provision. No examples can be traced of other major sporting events funding off site policing, including again at Doncaster and Chester. The activities of stewards do extend to points of entry and exit under police supervision as necessary but this is the limit of the event's responsibilities.
- 12 The police's acceptance of the legal position and decision to deploy more officers, together with the mobile police office, is a positive step forward for 2008 onwards. It is in response to the concerns expressed by Ward Members, residents and others to the need to reduce anti-social behaviour in the hours after a race meeting. The Racecourse do liaise with the police and have a nominated officer for this purpose. They have also introduced mitigation measures, such as the after race entertainment, to reduce the impact of the crowds leaving all at once.

13 Local Traffic Management/Access from the southern side of the Racecourse

A temporary car park at the southern end of the Racecourse, between the cycleway and Sim Balk Lane, was in use during the Ascot meeting in the area marked "Y" on the plan at Annex 1. The consultees do, however, remain of the opinion that this car park is not practical except for use as an overflow if significantly more than the usual maximum crowds of 30-40,000 are to be encountered. The land concerned is in different private ownerships and currently in arable use. Possible terms of lease have been discussed with the agent for the owners and are summarised in Exempt Annex 3. The reasons why the south car park is not recommended for regular use are summarised as follows:

- a) The Council would need to operate any such car park itself, which would be costly and require extra manpower.
- b) There would need to be traffic restrictions on Sim Balk Lane which would inconvenience people from Bishopthorpe. Also, because the access road along the cycleway/footway is narrow and awkward to negotiate, traffic speeds would be quite low. This would result in traffic quickly backing up along Sim Balk Lane and adversely affecting the operation of the Tadcaster Road junction.
- c) The surface of the car park would be unsuitable in wet weather.
- d) It is 1½ miles from the car park to the main grandstand and a bus shuttle service would be needed to take customers to and from the car park. The

distance from the Racecourse did, we are advised, receive some criticism during Ascot week. It should be noted that for Royal Ascot at York, the Racecourse enclosures were significantly extended south towards this car park.

- e) The Racecourse own car parks at Bustardthorpe and on Campleshon Road, adjoining the former Terry's factory, and they have the right to prefer these over and above a more distant car park. The right to use Knavesmire Gates as a car park is in the existing lease.
- f) There would be potential conflict between cyclists and motorists on Sim Balk Lane, and more of the existing cycleway would need to be closed.
- g) The car parking receipts at Knavesmire Gates contribute towards the rent payable. If use of this car park is stopped, the rent is likely to reduce.
- h) If customers perceive the car park as inconvenient, unauthorised parking in South Bank, Dringhouses and Fulford (via the Millennium Bridge) could increase.
- i) Taking customers further from the City Centre would lesson the likelihood of them supporting local business on race days / weeks.

Officers cannot, therefore, recommend the establishment of a car park from Sim Balk Lane to serve race meetings. However, since these negotiations commenced, "in principle" funding has been allocated to the council for the enlargement of the Askham Bar Park & Ride site, and this may provide opportunities to accommodate more race traffic in the future.

- 14 A further issue concerned pedestrian routes from the Racecourse to the City Centre. The Racecourse has agreed to provide and fund additional signage directing people along Knavesmire Road and up the Mount, and along Campleshon Road and Bishopthorpe Road, and the council has already implemented this initiative for the 2008 season.. This has helped to prevent excessive use of streets in South Bank. The council's alley gating scheme in this area will also help to prevent misuse of back streets. A reasonable balance does however, have to be maintained so that local businesses can benefit from increased custom on race days.

Benefits to Residents

- 15 Many residents of York do attend race days, and the admissions programme of the Racecourse reflects this. There are promotions in The Press, early bird discounts and prices down to £4 in the Course enclosure, £2 for over 65's. Children under 16 are admitted free with a fee-paying adult as are carers of the disabled. For 2008, there were discounted tickets for local residents on Friday 30th May. After discussions with the Racecourse, the introduction of a York Card discount would only be considered if funded through a rent allowance, or separately. No such discounts are offered at the Theatre, York City FC or York City Knights.

16 Summary

Should Members accept the above position and agree with the matters referred to in paragraphs 5-9 above from 2009 onwards, it is recommended that the Head of Legal and Democratic Services be authorised to grant a new lease to the Race Committee on the terms of the existing as amended by paragraph 2 above and any other

measures to bring the lease documentation up to date as agreed between the parties solicitors. The inclusion of the whole of the West Enclosure under the lease would be subject to public advertisement and any material objections received in the opinion of the Executive Member for Corporate Services would be considered by a future Corporate Services EMAP.

Consultation

17 a) Ward Members:

No direct issues raised by Ward Members for Dringhouses/Woodthorpe, or Bishopthorpe.

Members for Micklegate Ward have met, and comment that they are disappointed at the incredibly limited and unsympathetic response to the concerns raised on behalf of local residents. On the various issues, comments are:

Toilets – as the police say, some specific off site provision , at least on the big race days may prevent some anti-social behaviour and support further experimental provision. The report outlines the Racecourse's resistance and says that there is no council budget provision, ignoring the significant additional rent the council is now receiving. Members ask that some of the extra income is allocated to providing off site toilets, starting from the John Smiths and Ebor meetings this year, not next, seeking a virement from the income or a supplementary estimate as necessary.

Policing – The comments are noted about the court case and the police's increased presence this year in response to local resident's requests and neighbourhood policing prioritisation. However, this requires other neighbourhood policing teams to lend resources to Micklegate, and it has been made very clear that they cannot be guaranteed to continue, or even be guaranteed at all for the rest of this year's race events. Again, a commitment in principle to fund extra resources is required for specific race events out of the council's increased rental income.

Traffic – This is perhaps the most seriously unbalanced part of the report. There is no mention of the major disruption and congestion caused by the current traffic arrangements to the whole of south west York, against which to balance suggestions for alternatives, and considering the success of the Ascot arrangements the approach should not be dismissed – at least for the major race fixtures. It is considered that some of the qualifications for the southern approach are by no means insurmountable if there was any will to tackle them, and the investment required could be funded by a couple of years sacrifice of the increased racecourse lease income. There would be a big benefit to local business and residents from substantially eliminating the current major raceday disruption and congestion.

b) Director of City Strategy (Network Management):

The contents of the report are supported, especially with reference to paragraph 13b , inserted by network management.

- c) Director of Neighbourhood Services (Licensing):

The council regulates various activities at the Racecourse through licences and permits. The general safety of spectators is regulated through the Safety at Sports Act 1975, the sale of alcohol and provision of ancillary entertainment through the Licensing Act 2003, and the gambling activities through the Gambling Act 2005. The controls related to these pieces of legislation are specific to those activities and do not extend beyond the boundaries of the racecourse.

- c) North Yorkshire Police Neighbourhood Policing Unit:

No further comments. The report was written after consultation.

Options

- 18 Members have the option to either:-

- a) agree to the new 99 year lease incorporating the changes summarised in paragraph 2 or
- b) decline to extend the lease or
- c) agree a new lease but subject to different conditions to be determined at the meeting.

Analysis

- 19 **Option A – Agree the lease extension.**

Advantages – To encourage investment in the Racecourse and the link with the former Terry's factory development in order to enhance the Racecourse's position as one of the leading ones in the country, and help facilitate an all year round conference and exhibition facility. The declining lease length would otherwise act increasingly as a barrier to investment

There are also financial advantages resulting from the 5 year review pattern after 2017 and from any extra race days.

Disadvantages – The terms of the lease will be fixed for a further 99 years, save for changes by agreement, which may be seen as more inflexible.

Option B – To decline to extend the lease

Advantages - to retain future options, albeit not until 2056 or at some time in the future when both parties wish to review the position.

Disadvantages – Not to support the investment in improved and new facilities which may adversely affect the local economy.

Option C – To approve the lease extension conditionally:

Advantages – To balance between Options A and B if thought appropriate at the meeting.

Disadvantages – The Racecourse may not accept a conditional agreement.

Corporate Strategy

20 The Council in general seek to provide strong leadership and to act in an enabling role with partners to facilitate appropriate development initiatives etc. In particular, the proposed new lease and investment will contribute to:

- Increase the use of environmentally friendly transport – by the shared use of the new cycleway.
- To improve economic prosperity – through promoting the new integrated conference facility and investment.
- To improve health and life styles by facilitating leisure based development.
- To improve the actual and perceived condition of the city's streets – through investment in the Racecourse linked to the former Terry's factory as a tourist and business venue.

Implications

- 21
- a) Financial – There are no significant financial implications in the report. There will be an improved rent and cash flow from 2017 onwards, but it is proposed that any funding of temporary toilets be subject to a growth bid in 2009 - 10
 - b) Human Resources, Equalities and IT – There are no implications in these areas.
 - c) Crime and Disorder – Fundamentally the report highlights the initiatives being undertaken by North Yorkshire Police to deal with these matters outside the course, and by the police and site security staff to continue to deal with these matters within the course. Improved liaison between the Racecourse, Police and council will assist.
 - d) Legal – There will be some legal work in a new lease, but some costs would be recoverable from the Racecourse Committee.
 - e) Property – There are no fundamental changes to allocation of the Council's property or policy relating thereto. The investment value may increase with a longer lease.
 - f) Other – There are no new licensing or other implications identified.

Risk Management

22 There are limited risks to the Council arising from the options in the report. If the recommendations do not proceed, the existing lease will continue to run. There may

be risks in the provision of temporary toilets as these can be the subject of nuisance activity etc but these risks are not significant having regard to the Council's overall position on public liability, and the position can be reviewed after each race meeting.

Recommendation

- 23 A) Members are asked to consider the approval of Option A being the surrender of the existing lease for the Racecourse in favour of a new 99 year lease from the end of the current season based on the changes summarised in paragraph 2 above.

Reason

To ensure that York Racecourse and facilities connected therewith retain and enhance their position both nationally and for the benefit of the local economy whilst at the same time making some provision to mitigate the effects of high visitor numbers on local residents.

- B) That the Director of Learning, Leisure and Children's Services should submit a growth bid for the provision of temporary toilets on Race Days on an experimental basis starting from 2009, in the 2009 – 10 budget round.

Reason

So that Members can consider allocating funding for this purpose balanced against other spending priorities in next year's budget.

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Report Approved YES Date 5th June 2008

Report Approved YES Date 5th June 2008

Wards Affected:

All

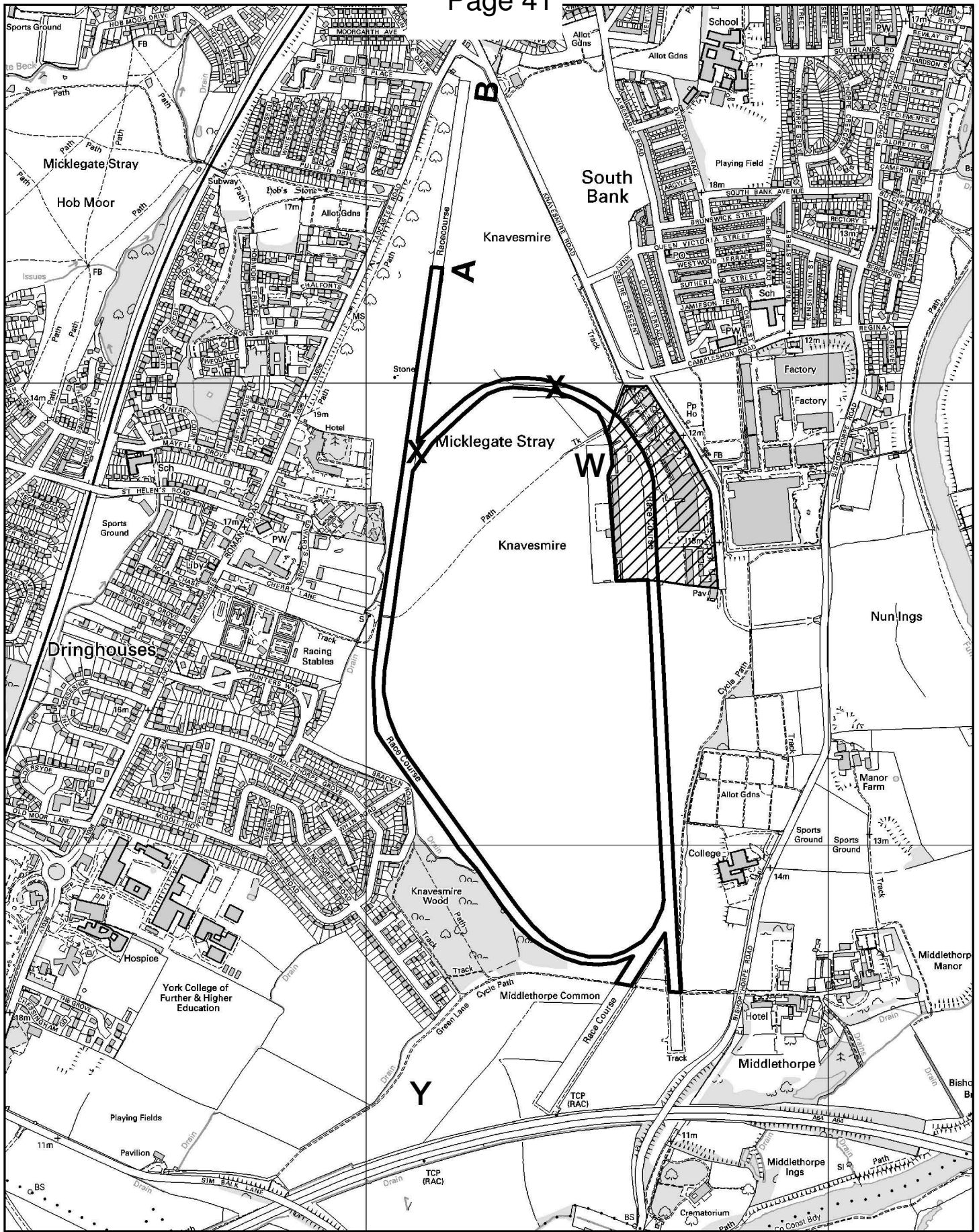
Micklegate, Bishopthorpe and Dringhouses/Woodthorpe

For further information please contact the author of the report

Background Papers

The report to Executive on 20 November 2007.

- Annexes - Annex 1 - Site Plan
- Annex 2 - Exempt Financial Analysis
- Annex 3 - Exempt Analysis regarding land owners south of the Racecourse



<h2>York Racecourse - Proposed Lease Amendments</h2>		
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Executive

30 June 2008

Report of Director of People & Improvement

Year-end review of the council's performance (2007/08)

Purpose of the Report

- 1 This report provides Members with an overview of how the council has performed in 2007/08. A copy of the full performance indicator tables used in analysis for this report is available online via the 'meetings' section of the council's website. This, together with other performance headline information in this report has been used to develop an Annual Report for 2007/08.

Background

- 2 This year's report will be the last year that BVPIs are formally reported by the council. Since 1999, local authorities have had a statutory duty to produce a BVPP by the end of June. The purpose of these performance plans was to show how well a council had performed and what improvements were planned for the future.
- 3 This duty under best value has now been removed, but we are still required to report 2007/08 outturns for the suite of 178 BVPIs one last time, before moving over to the new National Performance Indicator (NPI) framework, introduced in April this year. Next year's year-end report will be reporting progress on 198 NPIs for 2008/09, in particular the key NPIs which will be published in a revised Local Area Agreement at the end of June.
- 4 This year's report has been structured around 9 new themes, which reflect the Audit Commission's statutory grouping of performance indicators:

<ul style="list-style-type: none"> – Environment & Cleanliness – Transport & Planning – Economy – Culture – Housing & Benefits 	<ul style="list-style-type: none"> – Children & Education – Adult Social Services – Community Safety – Corporate Health (includes CPA, LPSA2, staff welfare, health & safety, equalities and customer satisfaction results)
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- 5 This report also provides information on other strategic aspects of the council's performance, including:
 - how well we have performed in comparison to other unitary authorities (e.g. quartile positions).
 - progress on delivery and improvement across the council's corporate priorities.

Consultation

- 6 Directors and Executive members will hold a meeting on the 22nd July to review all areas of the Council's corporate performance in 2007/08. The session will cover both service and financial outturns and will examine performance improvement from a corporate perspective. Key issues arising from this report will be discussed in-depth.

Options

- 7 This report does not present members with any options for approval. The council has a statutory duty to formally publish the 2007/08 outturn figures on the council's website, on or before the 30 June 2008.

Analysis

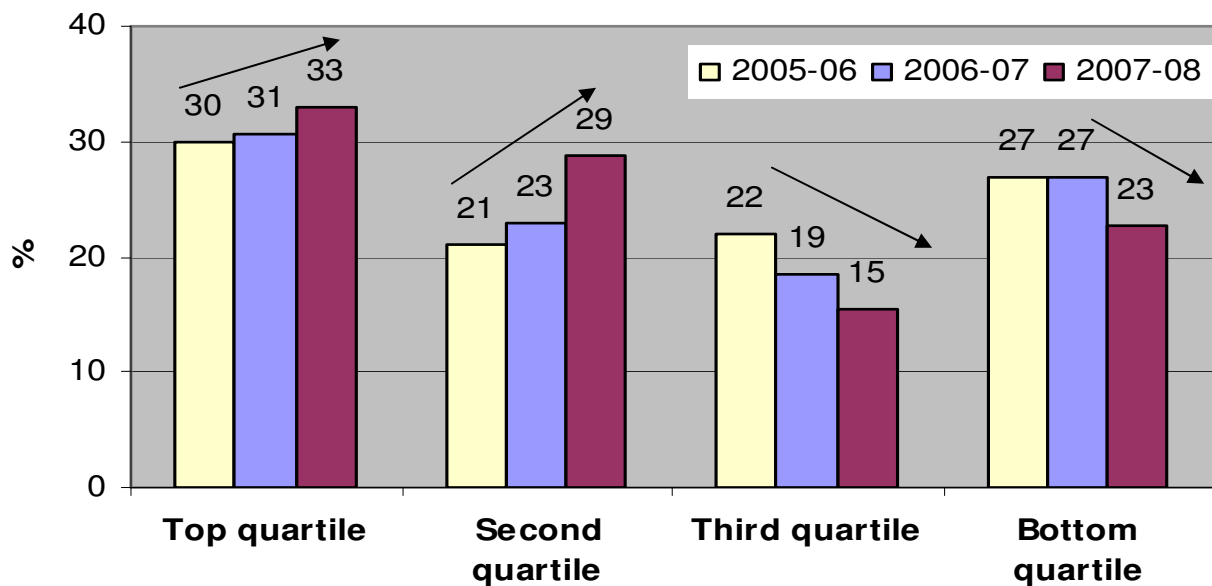
- 8 This report provides Members with headline performance across all 9 performance theme areas. Progress on particular areas of our corporate priorities and the corporate health of the organisation are also included to show how the groupings of the same indicators can highlight issues and provide different aspects to service improvement.

Please note: the comparable data used for 2007/08 is against the 2006/07 quartiles as this is the latest information available. You need to bear in mind when looking at the figures that other authorities may have improved at a similar rate and therefore the quartile ranges could change.

Summary

- 9 Overall there has been widespread improvement across the council's services, particularly in areas of community safety, with crime levels in York dropping 37% over the past 3 years. Other areas of good improvement include housing, waste recycling & landfill, missed bin collection and sickness absence. Education provision & attainment also continues to perform highly, with notable improvements in adult education provision and the number of school children taking regular exercise.
- 10 Our CPA result has improved this year, with the council maintaining its 3 star (good) status, but having now been assessed as improving well for its direction of travel, rather than improving adequately. York has also gained 'outstanding' status for their recent JAR inspection.
- 11 York compares extremely well on our performance with other unitary authorities, with a significant number of indicators (79%) improving or staying the same. This resulted in just over a quarter of indicators (28%) moving up a quartile (see Annex 1 and graph on next page). 62% of our BVPIs are performing in the top 2 quartiles and 32% are in the top quartile.
- 12 Nearly 70% of indicators are higher than the 2006/07 unitary average and this should result in some of our CPA service block scores improving for next year. This reflects well on York as it is one of the lower funded unitary authorities and for many indicators to be above the unitary average shows significant improvement.
- 13 There are also a few areas for concern. Overall satisfaction with council services is still fairly low at 51%, but this reflects a 7 percentile jump on 2006/07 and now places us above the unitary average. This increase is also bucking a national downturn trend on overall satisfaction with local authorities.
- 14 Other areas for attention include teenage pregnancies, waiting times for new older clients in adult services for assessment and road traffic accidents.

% of BVPIs in comparative quartiles



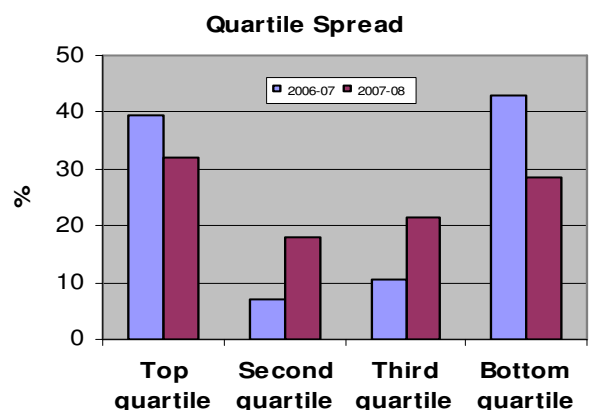
Review of service performance across the organisation

- 15 As mentioned above, this year performance has been reviewed under 9 themes of service delivery. This report provides members with the main performance headlines from each. Where possible, performance achievements that support our corporate priorities have been highlighted, in most cases using graphs.
- 16 The full details of historic performance and 2007/08 results for all indicators used in this review is available online via the 'meetings' section of the council's website.

Environment & cleanliness

- 17 This theme covers services such as street cleanliness, waste management & collection, and trading standards. Overall, performance across these services has continued to be good with several areas showing a high level of improvement. Fewer indicators are in the bottom quartile and of those with comparable data, 61% are above the unitary average.

Year	06-07	07-08
Number of indicators	43	40
Improvement headlines		
Indicators showing improvement	27 (63%)	23 (58%)
Indicators showing a decline	13 (30%)	8 (20%)
Stable or N/A indicators	3 (7%)	9 (23%)
Comparative headlines		
Indicators in top quartile	11 (39%)	9 (32%)
Indicators in second quartile	2 (7%)	5 (18%)
Indicators in third quartile	3 (11%)	6 (21%)
Indicators in bottom quartile	12 (43%)	8 (29%)
Indicators moving down a quartile	5 (18%)	4 (14%)
Indicators moving up a quartile	10 (36%)	7 (25%)



18 Notable improvement achievements are as follows:

18.1 Cleaner streets:

- the percentage of land and highways with unacceptable levels of litter/detritus stands at 13.5% for 2007/08 after 3 surveys have been completed. This score comfortably meets the target of 17% and the annual score for 2008/07 compares extremely well with the 2006/07 result of 19%. This places us in the 3rd quartile of unitary authorities and we would need to improve to 11.9% or less to move into the 2nd quartile. Neighbourhood Services now feel that we are performing highly and improvement targets for the next 3 years have now been set for this indicator that reflect limited scope for improvement.
- graffiti levels are at 4% (compared to 6% last year) and fly-posting is at 1%, both level with their targets.

18.2 Recycling & Landfill:

- Waste collected per head of population dropped to 512.25kgs per head of population, compared to the 538kgs collected in 2006/07. It is also well below the 540kgs target set for 2007/08. This moves York out of the bottom quartile.
- The reduction in landfill will continue to have a positive impact on the council's financial position, by avoiding the government's LATs charges. The percentage of household waste arising which has been land filled has moved up a quartile to the top (56.6% compared to 60%).
- School recycling was greatly expanded in February 2008. York now offers all schools in the area a paper/cardboard/glass/plastic/cans service and initial signs are positive – collecting between 5 and 6 tonnes per week rather than the 1 tonne per week when we offered just a paper service. Commercial recycling and further work with council offices is being planned next year.
- Waste recycling and composting for 2007/08 has improved rising 8.4% on last year with a year end figure of 43.4%.

18.3 Bin Collection:

- Performance on the key quality measures of missed bins and proportion of missed bins collected by next day have both improved in the second half of 2007/08 following some poor months through the spring and summer. Missed bins per 100,000 collections has made significant improvements (55.6 compared to 77.6).
- The percentage of missed bins put right by the next day for 2007/08 was 80%, compared to 58% achieved in 2006/07.
- The council office recycling scheme now covers about 70% of the council's office based workers. We have collected an estimated one tonne per week of recyclable material from these buildings, which is a good start.
- 75% of customers were satisfied with household waste collection moving York out of the bottom quartile and the waste inspector in June 2007 suggested that we compare well on satisfaction with other authorities that have moved to an alternate week system.

19 Areas of concern:

- 19.1 Cleaner Streets: While the graffiti fail rate has dropped, the proportion of sites where there is some graffiti has almost doubled. 50% of the areas surveyed in

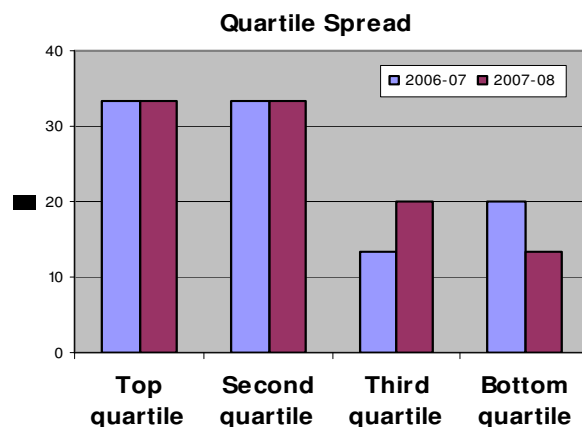
February 2008 contained some level of graffiti compared with 28% in the winter survey last year.

- Litter and graffiti were a particular problem for 'secondary retail', 'other highways' and 'recreation areas' with fail rates well above the overall level. In response the spring clean campaign, which has run during May, has targeted shopping areas, snickleways and recreation areas in various parts of the city for extra cleaning.
- During summer 2008, the street environment officers (SEOs) will produce an environmental action plan to address local graffiti and cleanliness problems.

Transport & planning

20 This theme covers services such as strategic & operational transport, planning applications and the maintenance of highways & footpaths. Performance across these services has remained steady with many indicators remaining in the same quartiles as last year. Over 70% of those indicators with comparable data are above last year's unitary average.

Year	06-07	07-08
Number of indicators	28	23
Improvement headlines		
Indicators showing improvement	7 (25%)	5 (22%)
Indicators showing a decline	6 (21%)	8 (35%)
Stable or N/A indicators	15 (54%)	10 (43%)
Comparative headlines		
Indicators in top quartile	6 (35%)	5 (33%)
Indicators in second quartile	6 (35%)	5 (33%)
Indicators in third quartile	2 (12%)	3 (20%)
Indicators in bottom quartile	3 (18%)	2 (13%)
Indicators moving down a quartile	4 (24%)	2 (13%)
Indicators moving up a quartile	2 (12%)	3 (20%)



21 Notable improvement achievements are as follows:

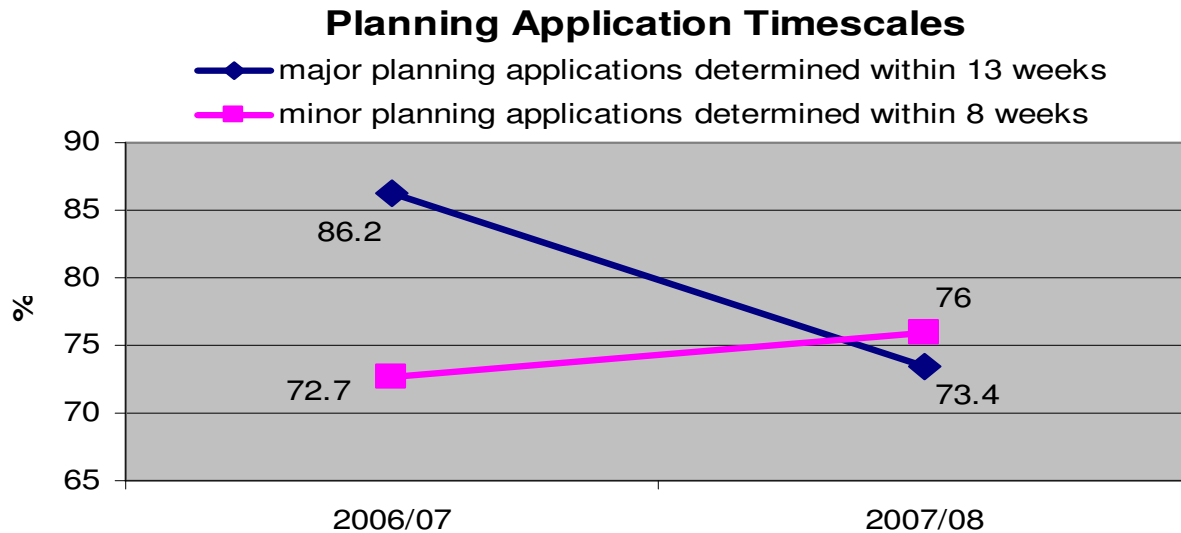
- 21.1 Pedestrian crossings: The percentage of pedestrian crossings with facilities for disabled people has exceeded 2006/07 performance of 67% and the target of 69% achieving 74% for 2007/08. This moves York up from the bottom quartile to the third.
- 21.2 Planning Applications: The percentage of minor planning applications determined within 8 weeks has improved moving out of the bottom quartile into the third (76% compared to 73%)
- 21.3 School Journeys: The percentage of school pupils walking to school has improved from 53% in 2005/06 to 59 % last year.

22 Areas of concern:

- 22.1 Park and Ride: 3.12 million passengers used Park and Ride in 07/08. This is just below the 3.14 million passengers in 2006/07 and below the target of 3.25 million passengers. Performance is anticipated to improve in future with the expansion of current sites and the development of new Park and Ride sites.
- 22.2 Planning Applications: The % of major planning applications determined within 13 weeks has dropped from the top quartile to the second (73% compared to 86%). However this is still above the target of 65%.

22.3 Bus Journeys: Number of local bus passenger journeys originating in the authority area undertaken each year (passengers using local bus network) has declined to an estimated 14.56 million below the target of 15.4 million.

22.4 Footpaths: The % of total length of footpaths and other rights of way which are easy to use by the general public (e.g. signposted or way marked where they leave the road) has decreased (69% compared to 77%)



Economy

23 This theme covers services such as economic development, employment & skills, and life chances for children looked after. Overall performance across these services has continued to be steady with most indicators being local and therefore comparative analysis is not possible.

Year	06-07	07-08
Number of indicators	17	12
Improvement headlines		
Indicators showing improvement	6 (35%)	5 (42%)
Indicators showing a decline	4 (24%)	3 (25%)
Stable or N/A indicators	7 (41%)	4 (33%)
Comparative headlines		
Indicators in top quartile	0 (0%)	0 (0%)
Indicators in second quartile	0 (0%)	0 (0%)
Indicators in third quartile	0 (0%)	1 (100%)
Indicators in bottom quartile	1 (100%)	0 (0%)
Indicators moving down a quartile	1 (100%)	0 (0%)
Indicators moving up a quartile	0 (0%)	1 (100%)

Local Indicators – No Comparator Data

24 Notable improvement achievements are as follows:

24.1 Unemployment: York's unemployment rate is still 1.5% below the regional level and 1.1% below the national level, which is a slight drop on 06/07 figures.

24.2 Adult learning:

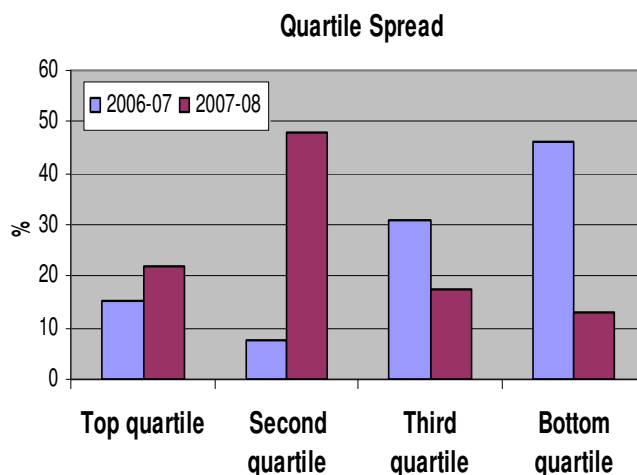
- The number of adults registering and completing courses through public libraries has continued to improve by 12% since last year (1002 compared to 897) and 31% over the last 2 years.

- The number of adults achieving an entry level 1 qualification has improved by 60% (107 compared to 67), the number achieving level 2 has stayed the same (151) and the number achieving level 3 has improved by 41% although the actual numbers are still low (24 compared to 17).

Housing & benefits

25 This theme covers services such as housing management & repairs, homelessness, and housing/council tax benefit provision. Overall performance across these services has been very good with a high proportion of indicators showing levels of improvement, this adds to the continued improvement from the previous year. 70% of indicators are in the top 2 quartiles compared with 23% last year.

Year	06-07	07-08
Number of indicators	48	43
Improvement headlines		
Indicators showing improvement	25 (52%)	23 (53%)
Indicators showing a decline	15 (31%)	4 (9%)
Stable or N/A indicators	8 (17%)	16 (37%)
Comparative headlines		
Indicators in top quartile	4 (15%)	5 (22%)
Indicators in second quartile	2 (8%)	11 (48%)
Indicators in third quartile	8 (31%)	4 (17%)
Indicators in bottom quartile	12 (46%)	3 (13%)
Indicators moving down a quartile	7 (27%)	1 (4%)
Indicators moving up a quartile	4 (15%)	11 (48%)

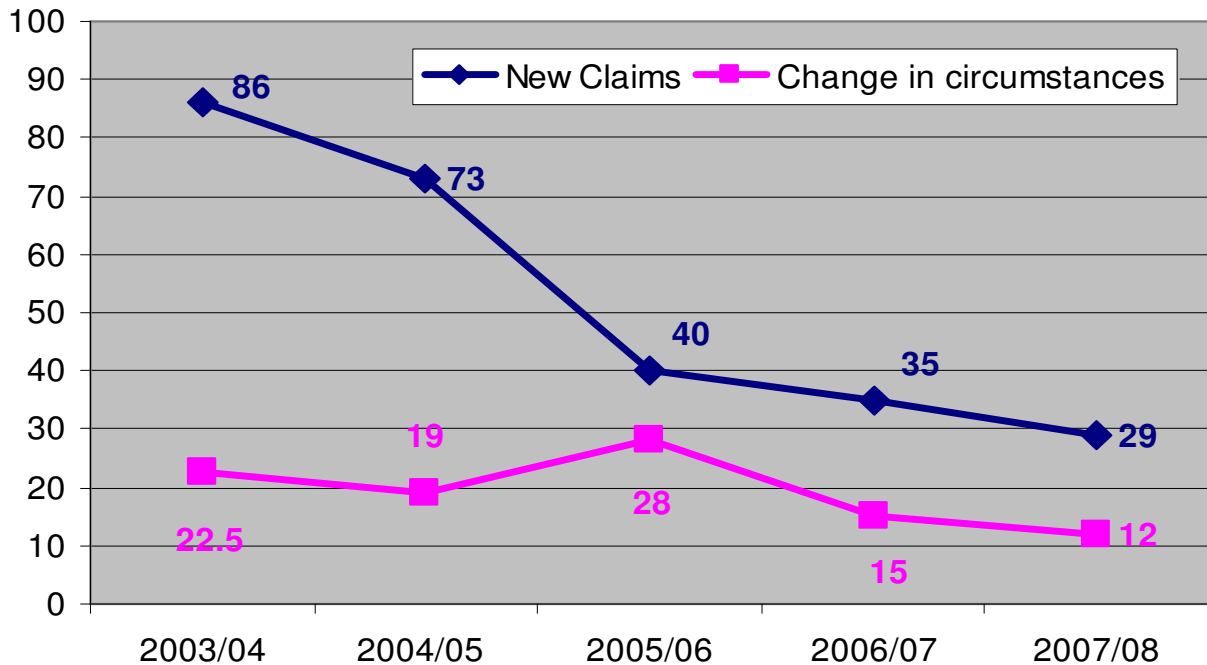


26 Notable improvement achievements are as follows:

- 26.1 Housing Repairs: Improved performance on housing repairs using the housing repairs partnership, with 90% of urgent repairs being completed within government set time limits.
- 26.2 Housing General Fund activities – 31 local households have been helped to get a foot on the property ladder through the Golden Triangle and Homebuy schemes; 338 households were prevented from becoming homeless – a 33% increase on the previous year.
- 26.3 Sleeping Rough: The number of people sleeping rough on a single night within the area of the local authority has improved (2 compared to 8), moving York up 2 quartiles to the 2nd.
- 26.4 Relet Times: The average time taken to re-let local authority housing has nearly halved over the last 2 years (19 compared to 35 days)
- 26.5 Rent Arrears: The % of local authority tenants with more than 7 weeks (gross) rent arrears has improved moving up 2 quartiles from 4th to 2nd (6.19 compared to 8.4)
- 26.5 Evictions: The % of tenants evicted as a result of rent arrears has nearly halved moving up a quartile from 4th to 3rd (0.37% compared to 0.62%)
- 26.6 Satisfaction: Satisfaction of tenants of Council housing with the overall service provided by their landlord has improved 7.5 percentage points (88% compared to 80.5%). In particular, satisfaction of ethnic minority tenants has improved significantly (76% compared to 67%) moving up 2 quartiles to the 2nd.

26.7 Benefit Claims: the speed of processing new claims continues to improve for 5 years running, up 18% this year (28.8 days compared to 35 days). Also the speed of processing change of circumstance notifications has improved (12.3 days compared to 15 days). This moves York up 2 quartiles to the 2nd quartile.

Housing and Council Tax Benefit - speed of processing (days)



27 Areas of concern:

27.1 Homelessness: The average length of stay in hostel accommodation of households which include dependent children or a pregnant woman and which are unintentionally homeless and in priority need has nearly doubled (10.08 weeks compared to 5.67).

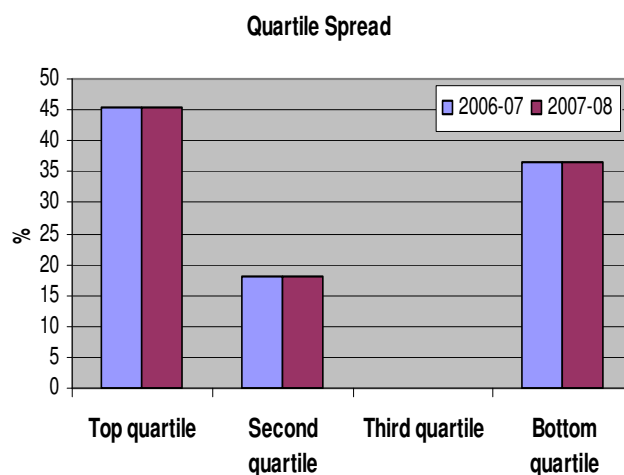
27.2 Recovered Benefit Payments: The amount of Housing Benefit overpayments (HB) recovered as a percentage of all HB overpayments has dropped to the bottom quartile (61% compared to 70%). However, there was a massive rise in 2006/07 of 70% compared to 2005/06 of 36%.

27.3 Affordable homes: The 50% affordable housing target remains a challenge; however it is essential that work continue to maximise all opportunities to meet this target. The outturn figure of number of affordable homes developed is low (51 compared to 56) as this reflects delays in the progress of major schemes at Germany Beck and Derwenthorpe, Housing Corporation funded sites, and still reflects the transition from 25% to 50% of new homes being affordable for developments over a certain size, according to central government planning policy.

Culture

28 This theme covers services such as libraries, sports & leisure, museums & galleries and the maintenance of parks & open spaces. Overall performance across these areas has remained good with a similar amounts showing improvement as well decline. There has been no quartile movement; however, over three quarters of the comparable indicators are above the unitary average.

Year	06-07	07-08
Number of indicators	35	32
Improvement headlines		
Indicators showing improvement	17 (49%)	11 (38%)
Indicators showing a decline	7 (20%)	9 (31%)
Stable or N/A indicators	11 (31%)	12 (38%)
Comparative headlines		
Indicators in top quartile	5 (45%)	5 (45%)
Indicators in second quartile	2 (18%)	2 (18%)
Indicators in third quartile	0 (0%)	0 (0%)
Indicators in bottom quartile	4 (36%)	4 (36%)
Indicators moving down a quartile	0 (0%)	0 (0%)
Indicators moving up a quartile	1 (9%)	0 (0%)



29 Notable improvement achievements are as follows:

29.1 Satisfaction:

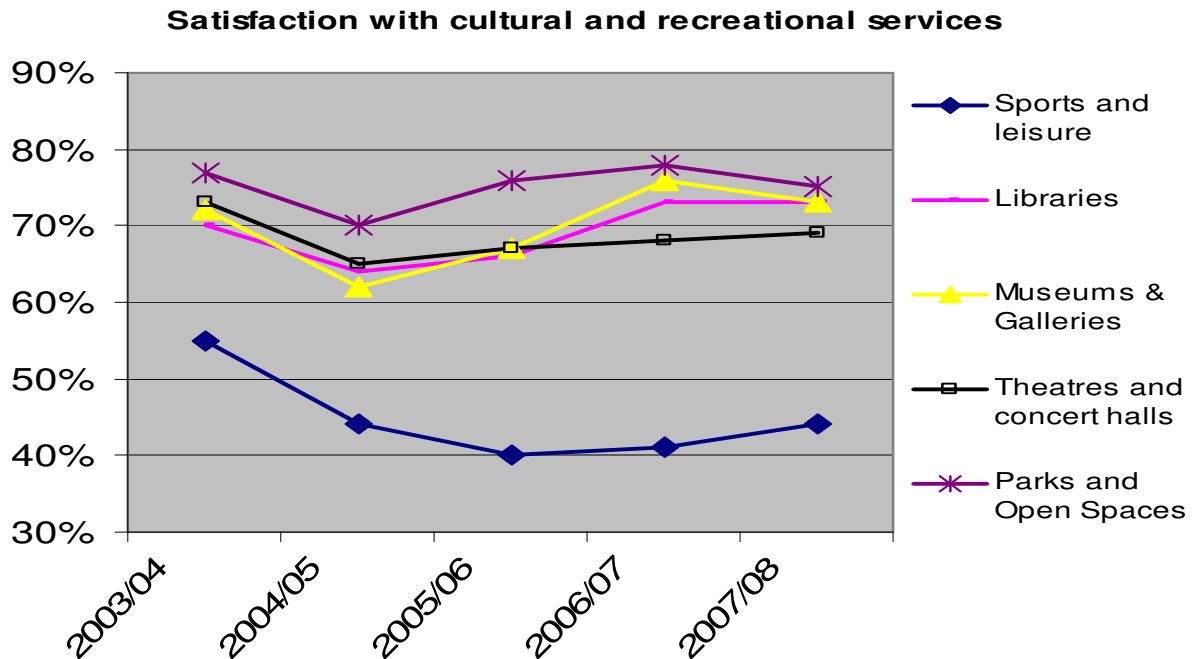
- The % of residents satisfied with the cultural & recreational provision in the city - Sports and leisure has risen slightly (44% compared to 41%). However this is still a bottom quartile result.
- The % of residents satisfied with leisure activities for young people has improved (21% compared to 17% - measured through residents opinion survey).

29.2 Park Awards: Green Flag awarded to West Bank Park, Rowntree Park and Glen Gardens. Rowntree Park score exceeded 80% for the first time taking it into the highest category possible. All sites have been entered again for 2008 with judging taking place during May. The sites also contribute to the Council's 2007 Yorkshire In Bloom Silver Gilt award.

29.3 Disability Access to buildings: The % of authority buildings open to the public in which all public areas are suitable for and accessible to disabled people has improved by 6 percentage points (87% compared to 81%).

29.4 Art Events: The number of arts events for young people has exceeded the target as have events generally and those targeting communities with low participation rates.

29.5 Swimming Pools: £1.2m has been spent on updating Yearsley Pool. Users have returned to the pool after the 16-week closure with a 20% increase in visitor numbers compared to the same period the year before. The new plant and building structure has also achieved a 50% reduction in energy costs. The new pool project at Oaklands Sports Centre and York High School has progressed to timescale and is currently out to tender. Pool expected to open by summer 2009.



30 Areas of concern:

30.1 Satisfaction: some areas including museums/galleries and parks/open spaces have fallen slightly in the satisfaction survey, which is different to 05/06 where all 5 areas rose. However they have all stayed within the same quartile within the top 2.

30.2 Museum and galleries visits: The number of visits to museums and galleries per 1000 population has fallen by 17% (4144 compared with 4987). However York is still in the top quartile by a considerable margin. This is highlighted as a concern due to the change in the way this will be counted next year when only people who are residents are counted.

30.3 Library Visits: the number of visits per 1000 population has fallen 10% (4217 compared to 4688)

- this drop is largely owing to our second busiest library (Acomb) being closed for 8 months for refurbishment. Moreover, on several occasions throughout the year, libraries had to close due to staff shortages. During 08/09 we expect to see performance improve owing to several factors including: the proven success of the newly refurbished Acomb library (currently experiencing visitor levels which are double its previous levels, since re-opening in Feb 08); the relocation of New Earswick Library into the Children's Centre in May 2008 and the implementation of a new system of monthly performance monitoring for all libraries.

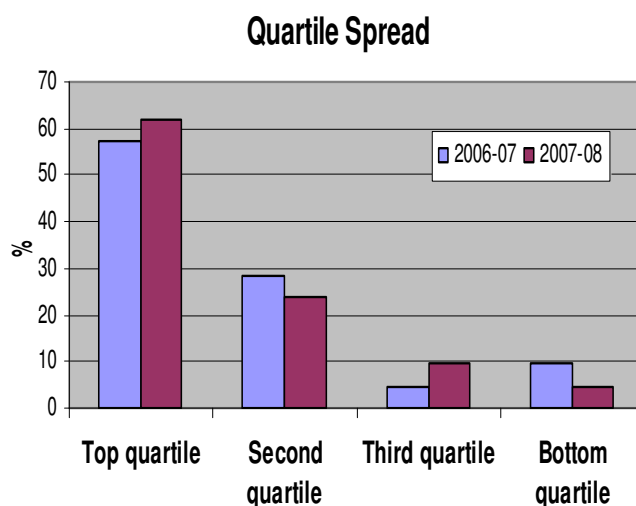
30.3 Library books: The % of requests for books met within 7 days (60% compared to 67%), within 15 days (77% compared to 80%) and within 30 days (90% compared to 93%) all saw a reduction from last year.

- This area is being addressed by work on the new stock policy, due May 2008, which will ensure that we purchase enough copies of popular items to satisfy customer demand (e.g. introducing an automated process to purchase extra copies of items with waiting lists). Request times are also being addressed as part of ongoing process improvement.

Children & education

- 31 This theme covers services such as educational attainment and children's social services. Performance across these services is traditionally very high and provides the only 4 star service block for CPA and has just received an outstanding score on the JAR inspection. These indicators have shown significant improvement since last year with a high majority (74%) of indicators making improvement with more being in the top quartile than last year. Over 90% of comparable indicators are above the unitary average.

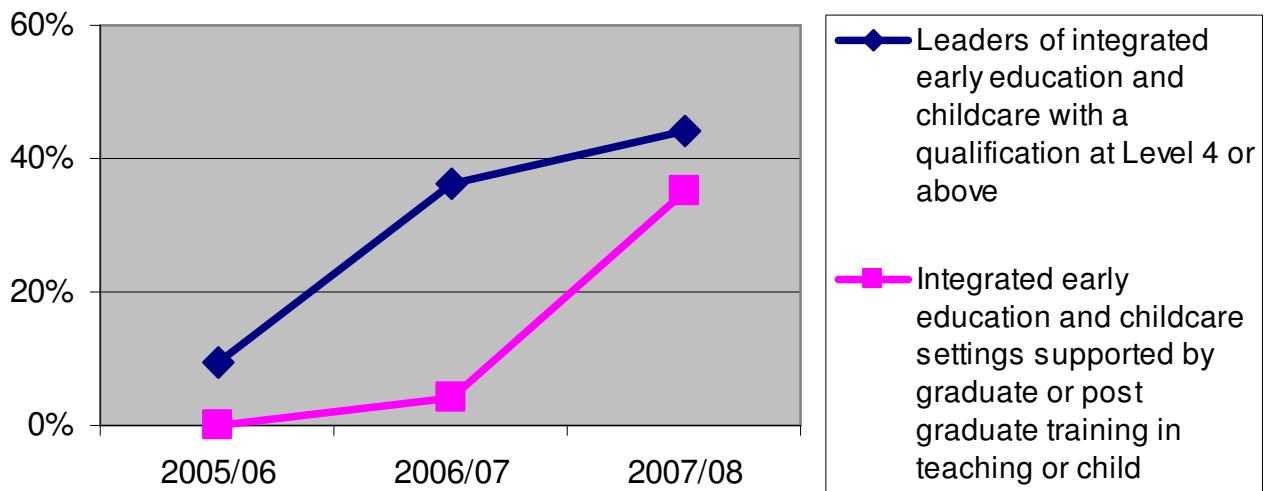
Year	06-07	07-08
Number of indicators	35	35
Improvement headlines		
Indicators showing improvement	28 (80%)	26 (74%)
Indicators showing a decline	7 (20%)	7 (20%)
Stable or N/A indicators	0 (0%)	2 (6%)
Comparative headlines		
Indicators in top quartile	12 (57%)	13 (62%)
Indicators in second quartile	6 (29%)	5 (24%)
Indicators in third quartile	1 (5%)	2 (10%)
Indicators in bottom quartile	2 (10%)	1 (5%)
Indicators moving down a quartile	5 (24%)	4 (19%)
Indicators moving up a quartile	4 (19%)	6 (29%)



- 32 Notable improvement achievements are as follows:

- 32.1 Attainment: Educational attainment in York continues to improve across key subject areas. The most significant are pupils achieving 5 or more at A* - C GCSEs or equivalent (67.5% compared to 61.7%) and the number of pupils living in the 30% most deprived areas in the country have continued to improve in L4+ English, Maths and Science.
- 32.2 Attendance: Attendance in York schools continues to be good and is improving. It is better than national average and in the top 3 performing local authorities in the region of Yorkshire and Humberside.
- 32.3 Early Education: The % of leaders of integrated early education and childcare settings funded or part funded by the local authority with a qualification at Level 4 or above has increased from 36.3% to 44% moving York up into the second quartile. This is a massive improvement from 2 years ago where we scored only 9.3%. The number of these supported by staff with graduate or post graduate training in teaching or child development has massively increased (35% compared to 4%) which moves York up a quartile from the bottom.

Early Education Setting Leaders with Level 4 or above qualification



32.4 Looked After Children:

- The stability of placements improved to 10.6% (compared to 14.65%) of LAC with 3 or more placements during the last financial year.
- The % of children under 16 who have been looked after for 2.5 years who have been in the same placement for at least 2 years or who have been placed for adoption has improved (65% compared to 57%). This is still lower than the target of 77%.
- The % of LAC leaving care aged 16 or over with at least 1 GCSE at grades A* - G or GNVQ improved significantly (76.6% compared to 37.5%). This moves York up from the bottom quartile to the top.
- Support for Looked After Children is described in the Joint Area Review as outstanding.

32.5 Out of School Provision: The number of pupils in 'Out of School' provision has improved (109 compared to 125). This is also notable as in 2004/05 the figure was 216.

32.6 Sport: The % of school children (5-16) doing at least 2 hrs of sport a week improved (90% compared to 71%), moving York beyond the target of 85%.

32.7 Extended Schools: The number of primary schools designated as meeting the core offer for Extended Schools has increased to 53 compared to 15 last year.

32.8 Provision for children and young people with learning difficulties and/or disabilities is described in the Joint Area Review as outstanding.

33 Areas of concern:

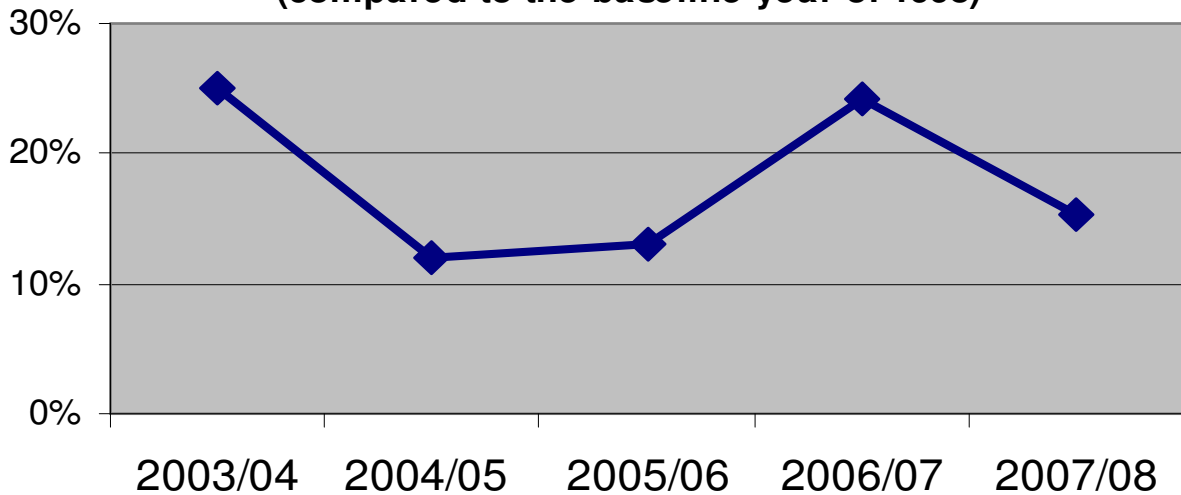
33.1 Teenage Pregnancies: Conception numbers for females under 18 has fallen this year (15.3% increase against the 1998 baseline compared to 24%). This is still high compared to the target of -23.3%. However, the good news is that this is below the national level (England is at 40.4%) and regional levels (Y & H is at 46.6%).

33.2 NEET: The % of young people with learning difficulties (aged 16-19) not in education, training or employment has risen (19% compared to 17.5 last year) but the actual numbers have stayed at similar levels to last year.

- Overall there is a good range of post 16 provision for young people but action is being taken to address the relatively high levels of disabled young people who are NEET through more forward planning to ensure a higher level of quality provision and support. Implementation of the September Guarantee will ensure that more young people age 16 and 17 receive appropriate offers of post 16 learning that meets their needs and reduces drop out at age 17.

33.3 Looked After Children: The % of LAC absent from school for more than 25 days has continued to rise (21.1% compared to 17.6%).

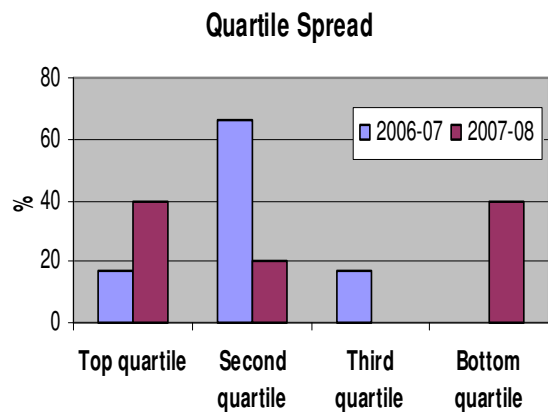
**Change in the No of conceptions to females under 18
(compared to the baseline year of 1998)**



Adult Social Services

34 This theme covers services such as independent living, elderly person homes and support for carers. Overall performance across these services has been mixed with more areas showing improvement than a decline. There are more indicators in the top quartile this year, however because of the small number of indicators these are always likely to move up and down more from year to year.

Year	06-07	07-08
Number of indicators	12	10
Improvement headlines		
Indicators showing improvement	7 (58%)	7 (70%)
Indicators showing a decline	5 (42%)	3 (30%)
Stable or N/A indicators	0 (0%)	0 (0%)
Comparative headlines		
Indicators in top quartile	1 (16.5%)	2 (40%)
Indicators in second quartile	4 (67%)	1 (20%)
Indicators in third quartile	1 (16.5%)	0 (0%)
Indicators in bottom quartile	0 (0%)	2 (40%)
Indicators moving down a quartile	0 (0%)	2 (40%)
Indicators moving up a quartile	2 (33%)	2 (40%)



35 Notable improvement achievements are as follows:

35.1 Direct Payments: The number of adults receiving direct payments from the council has continued to improve moving to the top quartile from the third (125 compared to 77). This is a massive increase on the 35 in 05/06.

35.2 Adults 18-64 admitted on a permanent basis to supported Residential or Nursing Care (per 10,000 population) remains low and in the national health's top band (1.04 compared to 1.33)

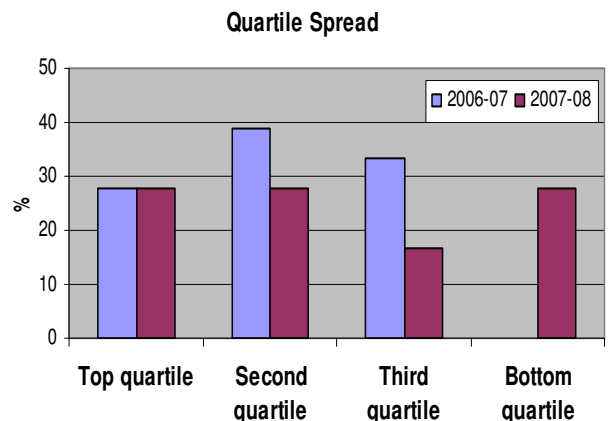
36 Areas of concern:

36.1 Assessments: The % of new older clients (aged 65 and over) waiting an acceptable time for assessments to be started and completed has decreased (71.5% compared to 80.5%). This moves York into the bottom quartile from the second.

Community Safety

37 This theme covers areas such as crime, anti-social behaviour, road safety, licensing, racial incidents and street lighting. Performance across this fairly broad area has generally been good with several areas are showing improvement; however there are more in the bottom quartile than last year due to the road traffic accident BVPIs. Over half of those with comparable data are above the unitary average. Community Safety has improved well over the last 3 years with very high reductions in crime levels.

Year	06-07	07-08
Number of indicators	47	47
Improvement headlines		
Indicators showing improvement	26 (55%)	19 (40%)
Indicators showing a decline	11 (23%)	9 (19%)
Stable or N/A indicators	10 (21%)	19 (40%)
Comparative headlines		
Indicators in top quartile	5 (28%)	5 (28%)
Indicators in second quartile	7 (39%)	5 (28%)
Indicators in third quartile	6 (33%)	3 (17%)
Indicators in bottom quartile	0 (0%)	5 (28%)
Indicators moving down a quartile	3 (17%)	7 (39%)
Indicators moving up a quartile	5 (28%)	5 (28%)



38 Notable improvement achievements are as follows:

38.1 Crime: March 2008 is the end of the 3-year Community Safety Plan period, when the targets set by the home office will reach a conclusion. Year end performance shows are that York will achieve a 37% fall in crime over the 3 year period, exceeding the target by a significant amount. According to the British Crime Survey, there were nearly 13,304 crimes in 2006/07 and in 2007/08 there were 11,119 crimes falling 16.4%.

38.2 By looking at crimes per 1000 population York compares extremely well over the last 3 years:

- Vehicle Crime (theft from, of and interference with a vehicle) has fallen 31%, moving York up from the third quartile to the second.
- Domestic burglaries has fallen 8.6% moving York up from the third quartile to the second.
- Violent crime (common assault and wounding) has fallen 5.5% moving York to the top quartile.
- Criminal Damage has fallen 11.75%.

	2005/06	2006/07	2007/08	06/07 – 07/08 % change
Domestic burglaries per 1000 population	13.08	12.76	11.66	-8.62%
All violent crime per 1000 population	21.97	19.20	18.15	-5.47%
Number of criminal damage cases	4381	4189	3697	-11.75%
Robberies per 1000 population	0.67	0.70	0.69	-1.43%
Vehicle crimes per 1000 population	16.65	19.03	13.08	-31.27%

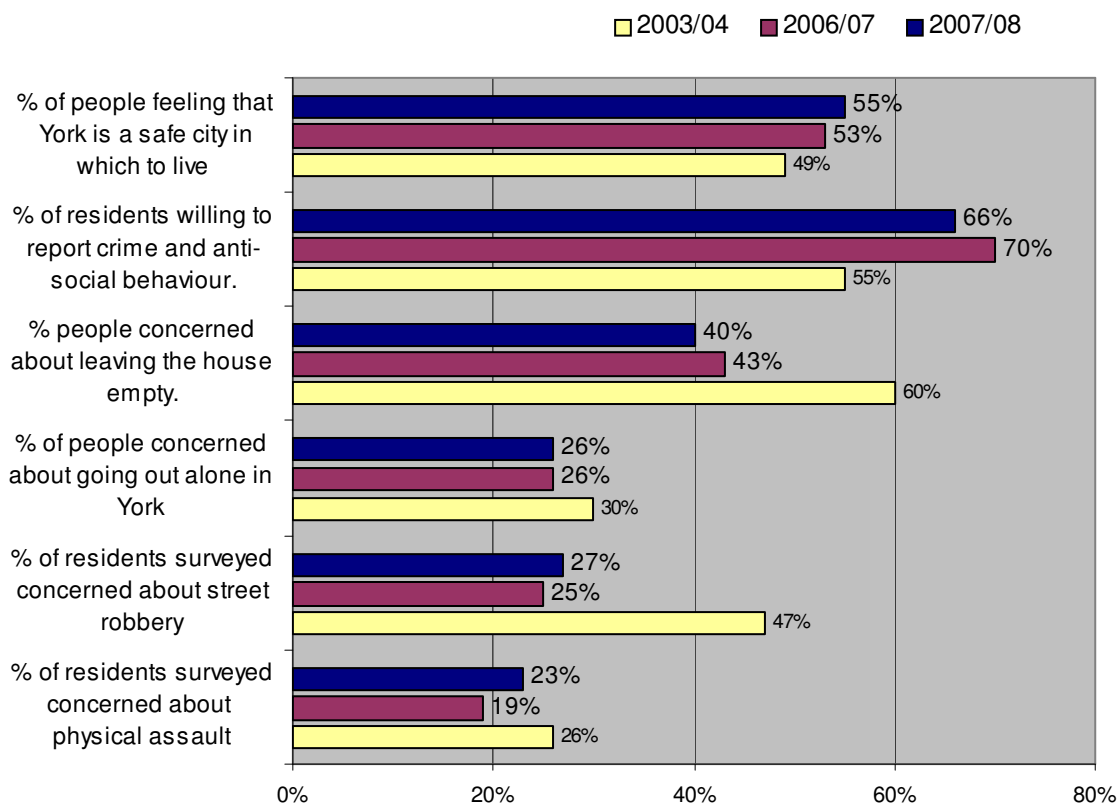
38.3 Perception about crime: York has continued to improve for the 3rd year running with residents feeling York is a safe place to live (see graph below – “Community Safety – perceptions in York”).

38.4 Young Offenders: The % of young offenders aged 10-17 who are supervised by the Youth Offending Team in education, training and employment has increased (69.3% compared to 61.4%). There has also been a reduction in re-offending by young people within 12 months and a reduction in those offending while on bail.

38.5 Illegal Alcohol Sales: The % of illegal alcohol sales identified by the Test Purchase Programme has decreased below the target level of 10% (2007/08 stands 8.6%, compared to 12.1% in 2006/07 and 17% in 2004/05).

38.6 Street Lighting: The average time taken to repair a street lighting fault, where the response time is under the control of a DNO (Distribution Network Operator) has improved by 23% since last year (10.36 days compared to 13.47) and 45% over the last 2 years when it took 18.9 days. However, street light repair that is under the control of CYC has declined significantly and has moved us down 2 quartiles.

Community Safety - perception in York



39 Areas of concern:

39.1 Road Traffic Accidents: All 5 indicators in the bottom quartile relate to RTAs. 160 people were killed or seriously injured (KSI) in road traffic collisions rising 37% (compared to 101 in 2006/07, 2005 calendar year), moving York down to the bottom quartile.

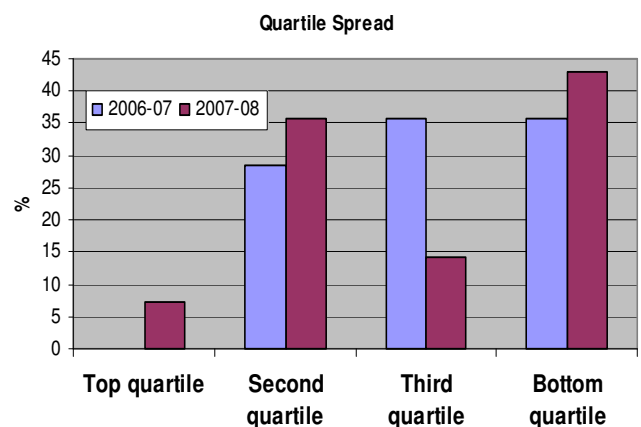
- However, it is important to look at the trends over a longer period. Over the past 6 years the overall level of casualties has fallen. Although the serious injury figure is higher than the previous year, the level of fatal accidents were at one of their lowest levels and analysing the early and unconfirmed 2007 calendar year figure of 93 shows that future performance is improving. Additionally new funding (£250,000 Road Safety Grant) which became available in April 2007 is also anticipated to help reduce the number of KSI casualties.

39.2 Crime: Theft from a person is significant as it has not fallen at the same rate as other indicators (1% compared to the overall fall rate of 16.4%).

Corporate Health

40 Performance for Corporate Health looks at progress against CPA, LPSA performance for reward grants; staff performance & welfare; equalities and feedback from our customers (i.e. Customer First statistics and customer satisfaction results). The overall improvement headlines are shown in the table and graph below. More indicators are showing improvement than last year with a few indicators showing quartile movement to a higher quartile. Only 43% of those with comparable data are above the unitary average which is an improvement from last year (40%).

Year	06-07	07-08
Number of indicators	29	29
Improvement headlines		
Indicators showing improvement	12 (41%)	18 (62%)
Indicators showing a decline	12 (41%)	5 (17%)
Stable or N/A indicators	5 (17%)	6 (21%)
Comparative headlines		
Indicators in top quartile	0 (0%)	1 (7%)
Indicators in second quartile	4 (29%)	5 (36%)
Indicators in third quartile	5 (36%)	2 (14%)
Indicators in bottom quartile	5 (36%)	6 (43%)
Indicators moving down a quartile	4 (29%)	3 (21%)
Indicators moving up a quartile	4 (29%)	3 (21%)



41 Detailed results are covered from the next paragraph onwards, but here are a few additional headlines results which members may want to note:

41.1 Satisfaction: The % of citizens satisfied with the overall service provided by their authority has improved (51% compared to 44%)

41.2 Accessibility - % of authority buildings open to the public in which all public areas are suitable for and accessible to disabled people has improved (87% compared to 80%)

41.3 Ward Committees: The number of people participating in ward committee decisions has improved since last year by 10% (5081 compared to 4609)

Staff performance

- 42 Sickness absence for the council for 2007/08 is 9.54 days per FTE, a reduction of over 3 days per FTE from 2006/07, costing over £1.5million less than the previous year. This reduction is due to the high priority given both corporately and in directorates to better management of sickness absence, following the introduction in October 2007 of a new attendance management policy. The new policy requires return to work interviews after every absence, trigger points for review, regular monitoring and firmer procedures, including referral to occupational health.

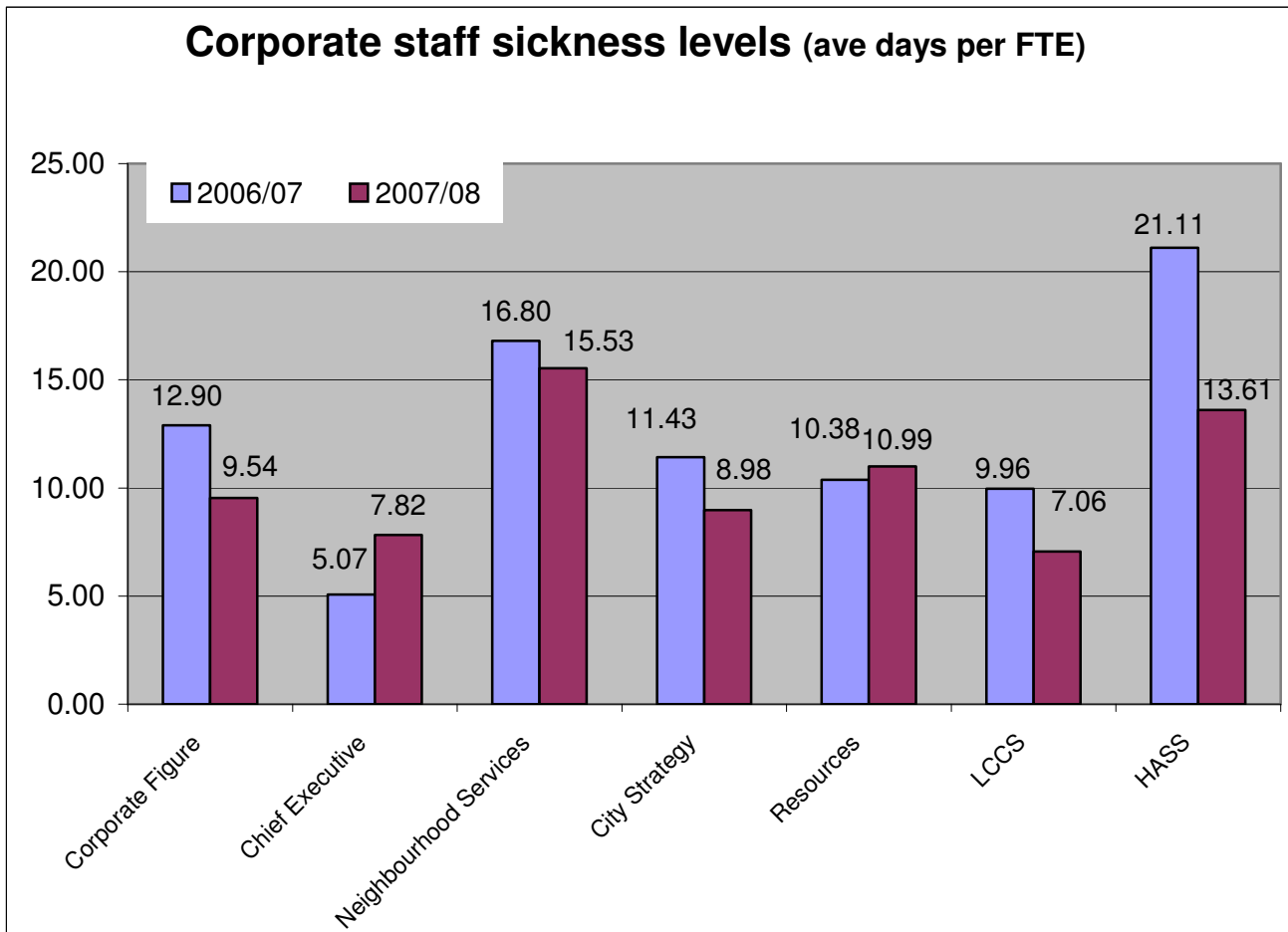
Directorate	2006/07	2007/08	% change
Corporate Figure	12.9 days	9.54 days	-26%
Chief Executive	5.07 days	7.82 days	+54%*
Neighbourhood Services	16.8 days	15.53 days	-7%
City Strategy	11.43 days	8.98 days	-21%
Resources	10.38 days	10.99 days	+6%
LCCS	9.96 days	7.06 days	-21%
HASS	21.11 days	13.61 days	-35%

* This large variance reflects a very low baseline in 2006/07

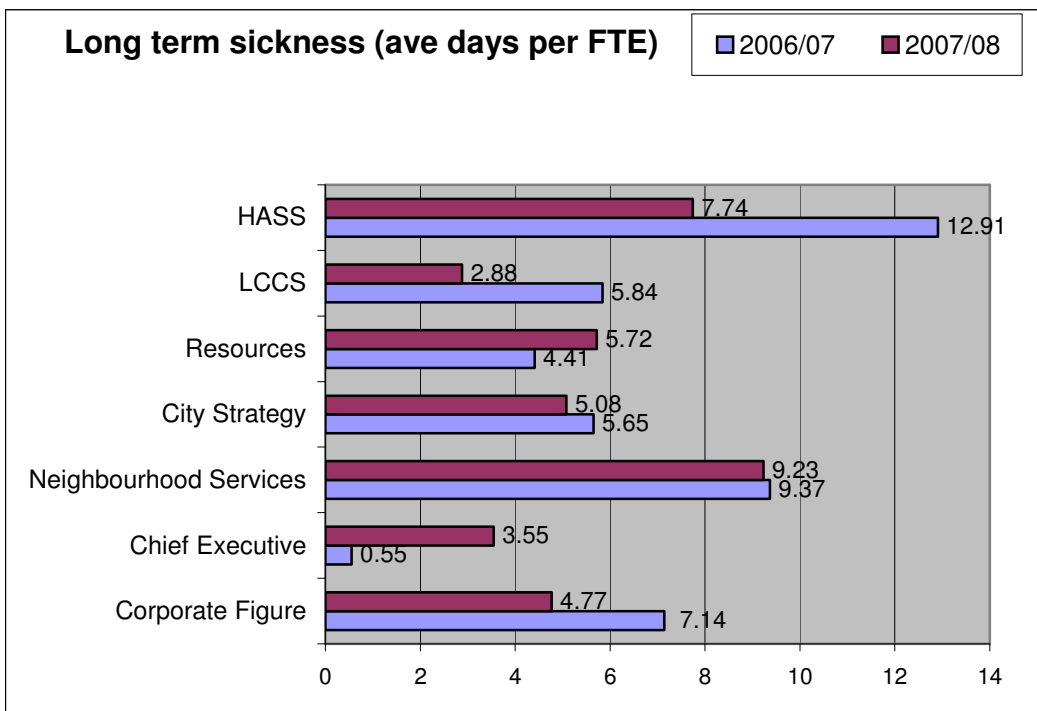
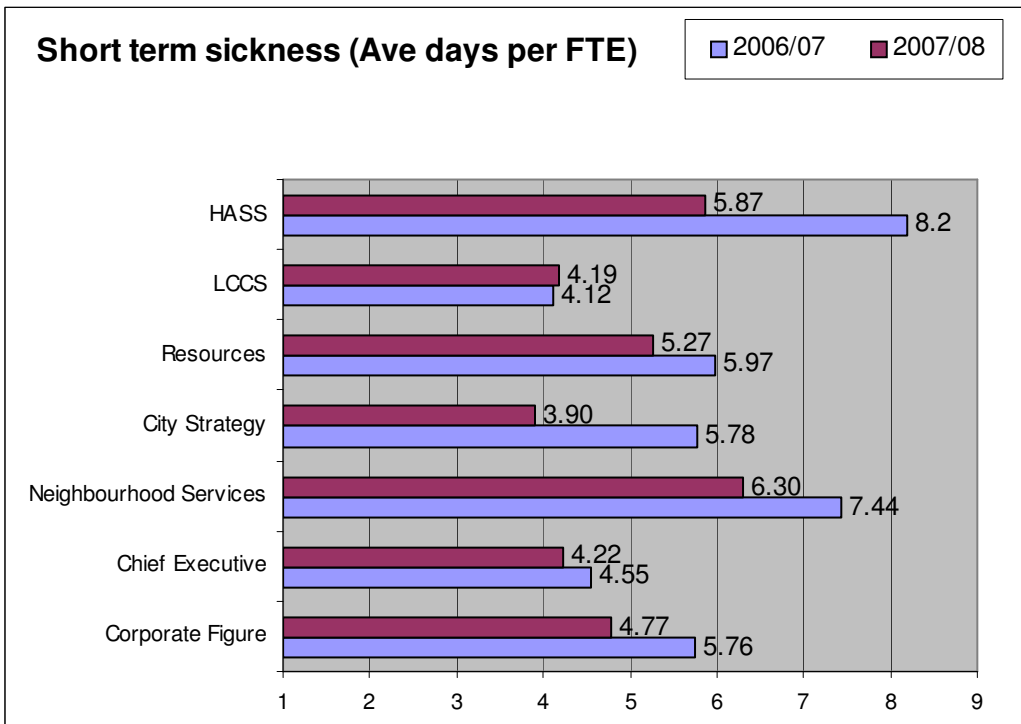
- 43 Managers were supported with corporate training, guiding them in absence interventions (16 training sessions held with over 200 CYC managers attending). Significant improvements are also being made in the way absence data is collected, recorded and reported. Referrals are now better managed by the newly contracted York Hospitals NHS Trust occupational health service.
- 44 The second phase of the project, maximizing attendance, is currently being scoped. Options include health promotion, policies and benefits - such as healthy lifestyles, healthy eating, back care, smoking cessation support and fitness programmes. We are also implementing HSE stress standards across the council (already piloted in HASS) and improving access to flexible and work-life balance benefits for staff. This is part of an employee assistance programme, which will offer staff domestic, legal and financial advice as well as telephone counselling. Initiatives are also being introduced to reduce absence due to musculo-skeletal problems with physiotherapy and back-care programmes and rehabilitation programmes.
- 45 Our comparative performance on sickness absence has also improved. This was extremely poor last year with only one of the 47 unitary authorities (Hartlepool), performing worse than York for sickness. Assuming quartiles for 2007/08 stay fairly stable, this year's outturn of 9.54 days moves us up 21 places to 25th out of 47 authorities. The council has also moved into the third quartile and is now performing below the unitary average of 9.6 days (below being better than above in this case). If our sickness levels continue to fall in 2008/09, we should move into the second quartile threshold, which is now just 0.3 days per FTE lower than our current performance (see table below).

How does York compare to other councils?

BVPI 12: Sickness	Performance thresholds	York's position
Unitary average	9.60 days per FTE	
Top quartile	8.70 days per FTE or less	
Second quartile	8.71 - 9.22 days per FTE	
Third quartile	9.23 - 10.77 days per FTE	← 9.54 days for 2007/08
Bottom quartile	10.78 days per FTE or more	← 12.9 days for 2006/07



- 46 Further improvement should be possible next year as, although overall corporate sickness is down, the analysis by directorate highlights a number of services that experiencing higher than normal levels of sickness in 2007/08. Sickness absence in the Chief Executive's directorate for example, was well above normal levels for the directorate at 7.72 days per FTE, compared to 5 days in 2006/07. The main reason is a significant increase in long-term sickness, which currently stands at 3.5 days per FTE, compared to 0.5 days last year. Resources have also experienced higher levels of sickness, although this is not as significant as the Chief Executive's directorate and is also due to temporary problems with long-term sickness. It should be noted however, that these sickness levels still well below the council average and compare well to other councils.
- 47 It's the larger directorates such as LCCS, HASS and Neighbourhood Services, who will need to continue to reduce sickness if we are to make further reductions next year on our corporate sickness level. This year, reductions in sickness levels in HASS and LCCS in particular have helped to reduce the corporate figure due to their large staff numbers. LCCS for example, have reduced long-term sickness by around 50% and both City Strategy and Neighbourhood Services have made good reductions on their short-term sickness levels.



48 The table below shows that the majority of our staff based equality indicators have made some good improvements compared to 2006/07. Many have not been sufficient to move us up into a higher quartile and the direction of travel for some (e.g. the % of women in top 5% of earners) is a possible cause for concern. Two areas in particular, are worth mentioning:

48.1 The % of early retirements across the council has fallen significantly (0.46% compared to 1.12% in 2006/07). This exceeded our 2007/08 target of 0.85% and moved us from the 3rd to top quartile of unitary authorities. However, this improvement should be considered alongside a sharp increase in the number of ill health early retirements, which increased to 0.33% in 2007/08, a jump of 0.11% on the previous year.

48.2 The % of council employees that are from ethnic minorities increased again in 2007/08, exceeding the 1.4% target set and moving us closer to the 2nd quartile threshold. Unfortunately, the number of ethnic minorities staff in the council's top 5% of earners is still at 0 and places us in the bottom quartile.

Indicator	2005/06	2006/07	2007/08	Target	Quartile shift
% of women in top 5% of earners	44%	39%	38.4%	42%	3 → 4
% of ethnic minorities in top 5% of earners	0%	0%	0%	2%	4 → 4
% of disabled people in top 5% of earners	5.72%	2.51%	2.74%	3%	2 → 2
% of early retirements as a % of total workforce (excluding ill-health retirements)	0.78%	0.90%	0.13%	0.6%	3 → 1
% of ill health retirements as a % of total workforce	0.29%	0.22%	0.33%	0.25%	3 → 4
% of employees with a disability	1.90%	2.15%	2.24%	2.35%	2 → 2
% of employees from ethnic minorities	1.38%	1.27%	1.59%	1.40%	3 → 3

49 Staff turnover in the council has risen to 12.48%, a slight increase on the 12.02% we achieved last year, but still lower than the 13.1% experienced in 2005/06. It should be noted however, that turnover levels at the council are still well under the local government average. Turnover in Neighbourhood Services and the Resources directorate in particular have both experienced encouraging reductions.

Turnover

Directorate	2005/06	2006/07	2007/08
Corporate Figure	13.09%	12.02%	12.48%
Chief Executive	16.80%	14.43%	14.57%
Neighbourhood Services	16.85%	15.31%	13.80%
City Strategy	20.81%	14.37%	16.05%
Resources	11.98%	12.16%	9.74%
LCCS	11.21%	9.55%	11.61%
HASS	13.27%	12.91%	14.21%

Comprehensive Performance Assessment

50 The council is currently rated as a 'good' (3 stars out of 4) council, which is 'improving well' under the government's Comprehensive Performance Assessment (CPA). This year's result is based on performance and a Use of Resources assessment from 2006/07 (see table below).

Category		Last Year (based on 2005/06 results)	Now (based on 2006/07 results)	Change
Corporate Assessment		3	3*	→
Direction of Travel		'Improving adequately'	'Improving Well'	↑
Level 1	Children & Young People	4	4	→
	Adult Social Care	3	3	→
	Use of Resources	2	3	↑
Level 2	Benefits	3	3	→
	Culture	2	2	→
	Environment	3	3	→
	Housing	2	3	↑
Council Rating		3 star	3 star	→

* based on 2002 corporate assessment result

- 51 We improved in 3 of the 9 scorecard categories:
- 51.1 Our 'direction of travel' has moved to 'improving well', a high profile achievement given that it stands alone as a 2 part headline for CPA.
- 51.2 Housing has moved from a 2 to a 3, mainly as a result of improvements to tenant satisfaction, non-decent homes and housing repairs. Current performance levels suggest that this could move up to a 4 next year. However, we need to wait to see if the thresholds change as a result of comparative improvements in other unitary councils.
- 51.3 Use of Resources has moved from a 2 to a 3 (see breakdown of criteria below). This is an extremely important result and must be maintained if we are to keep an overall score of 3 (good). Scoring a 2 on both UoR and the corporate assessment would see the council's overall CPA score moving down to a 2, regardless of improvements to service block scores.

UoR assessment element	Score	
Financial Reporting	2	4 = well above minimum requirements (performing strongly)
Financial Management	3	3 = consistently above minimum requirements (performing well)
Financial Standing	2	2 = at only minimum requirements (adequate performance)
Internal Control	3	1 = below minimum requirements (inadequate performance)
Value for Money	3	
2007 UoR Judgement	3	

- 52 CMT have identified key actions which support improvements under the Use of Resources KLOE (Key Lines of Enquiry) and these have been incorporated into the recently approved Single Improvement Plan (SIP), which also addresses other recommendations from the recently completed CPA corporate assessment inspection.
- 53 We will receive one more formal CPA result in December 2008 (based on 2007/08 performance and the recent corporate assessment), before CPA is replaced with the Comprehensive Area Assessment (CAA), introduced as part of the government's new performance framework.

LPSA 2

- 54 Based on the data and projections now available, the amount of reward grant it is estimated we will achieve is approximately £2,345,714, which represents 60 % of the overall available grant, approximately 5% above that predicted last year (see Annex 2 for full LPSA results).
- 55 The reduction in crime figures has been noticeable over the last two years, and has meant that stretch targets for both vehicle crime and burglaries have been achieved. While the reduction in violent incidents has been encouraging, it has fallen marginally short of the stretch target, and so the reward grant will not be payable in full. The category of violent crime included both "common assault" and "wounding" incidents. While common assault incidents were much lower than anticipated (723 compared to a target of 1339), unfortunately wounding incidents were far higher (1506 compared to a target of 916).
- 56 Equally, the reduction in youth offending has been encouraging, and the preventative work undertaken by the Youth Offending Team has led to full achievement of the stretch targets in this area.

- 57 Progress towards reducing the percentage of young people not in education, employment or training (NEET) has been good, and is at present on track towards the stretch target when final data is collected in November. The stretch target was achieved at the data collection point last November, and the latest data is taken from January, a point when numbers tend to be higher.
- 58 We are now approaching the concluding months of LPSA2, but the final out-turns will not be available until early 2009. Academic year data 2007-08 will be available in the autumn, but the figure for those killed or seriously injured on the roads is based on the calendar year 2008 result.

Customer First

- 59 The table below sets out our performance on customer first standards over the past 4 years. This year the council is performing near to or exceeding 3 of our 6 very high corporate standard targets.

	letters	Stage 2 complaints	stage 3 complaints	visitors to reception	visitors needing further help	phone answering
standard	95%	95%	95%	100%	100%	95%
2004/05	79%	68%	55%	98%	98%	94%
2005/06	94%	76%	40%	99%	97%	93%
2006/07	95%	83%	50%	99%	98%	93%
2007/08	97%	78%	69%	99%	97%	94%
Trend	↗	↘	↗	→	→	↗

- 60 The full results for 2007/08 (including data on individual directorates) is set out in Annex 3. The main headlines however are as follows:
- 60.1 Letters: All directorates apart from one, are exceeding the corporate standard of 95%. Neighbourhood Services achieved 71%, but because of the small number they received compared to other directorates, this did not affect the corporate average.
- 60.2 Complaints: The proportion of stage 3 complaints dealt with within 10 days improved by 19% on 2006/07 levels, mainly due to improvements made in HASS, who dealt with 82% of the total received by the council. The proportion of stage 2 complaints dealt with within 10 days declined slightly in 2007/08, but is still performing higher than that achieved 2 years earlier. HASS complaints are often more complex than other directorates, with much more detailed investigation usually required. The corporate standard of 95% is therefore difficult, if not impossible to achieve under the current arrangements and may need to be reviewed.

Health & safety

- 61 In the past year, there has been an increase in reported accidents among Council staff, 63 compared to 62 for the previous year (2006/07), and well above the target of 59.
- 62 A large amount of work has been done to start to promote a culture of Health and Safety across the organisation in conjunction with the Council's Corporate Management Team (CMT), the Corporate Health and Safety Steering Group (HSSG) and in liaison with the Health and Safety Executive (HSE). A strategic review of Health and Safety has been completed and a revised management system is being implemented. Consequently it is hoped that performance will improve for 2008/09 and onwards.

- 63 Last year's accidents have also been analysed in relation to their prime cause. Manual, lifting or carrying are the most significant cause followed closely by slips and trips. Slips and trips were the cause of all the 'major injury' accidents to employees. The Health & Safety team will be working with the appropriate directorates to reduce such incidents.

Major injury incidents

Directorate	2006/07 Total	2007/08 Total
Chief Executives	0	0
Resources	0	0
City Strategy	0	0
HASS	1	0
LCCS	3	5
Neighbourhood Services	1	2
TOTALS	5	7

Over 3 days absence incidents

Directorate	06/07 Total	07/08 Total
Chief Executives	0	0
Resources	0	0
City Strategy	4	0
HASS	18	17
LCCS	13	13
Neighbourhood Services	23	26
TOTALS	58	56

Equalities

- 64 A corporate Equality Improvement Plan is now in place. The actions in the Plan aim to improve Council processes and systems and to ensure equalities is embedded in the culture of the organisation whilst they meet legal and inspection requirements. The Plan has 7 improvement areas: Updating the Equality policy strategy and schemes; a sustainable programme of Equality Impact Assessments; mapping, collecting and using Equality data; engaging of the relevant communities of interest; corporate and directorate self-assessments against the Equality Standard for local government; on-going training and development for staff; and peer review and benchmarking.
- 65 Training and staff development as well as equality data mapping, collection, analysis and use are key to the success of the Equality Improvement Plan. Performance Officers are currently analysing available data and performance indicators to see where it is possible to undertake equality profiling in the six equality strands. Furthermore the Council has invested additional resources to employ a specialist equalities data officer to help spearhead better equalities data collection and practices across all directorates.

Corporate priorities

- 66 Annex 5 provides Members an overview of what the council delivered in relation to our 10 corporate priorities and 4 corporate values. Notable achievements include:
- 66.1 Significant reductions in anti-social behaviour and crime, corresponding with yet another increase in the % of residents who feel York is a safe place to live.
- 66.2 Further improvements to the cleanliness standards of our streets and highways, with the number falling below government cleanliness standards now standing at 12% - a significant improvement on the 30% achieved in 2003/04.

- 66.3 Recycling rates increasing to 43%, with continuing reductions in the amount of household waste landfilled.
- 66.4 Improvements in educational attainment for children living in the most deprived areas of York.
- 66.5 90% of York's children are now doing at least 2 hours of sport per week – a significant jump on the 62% achieved in 2005/06.

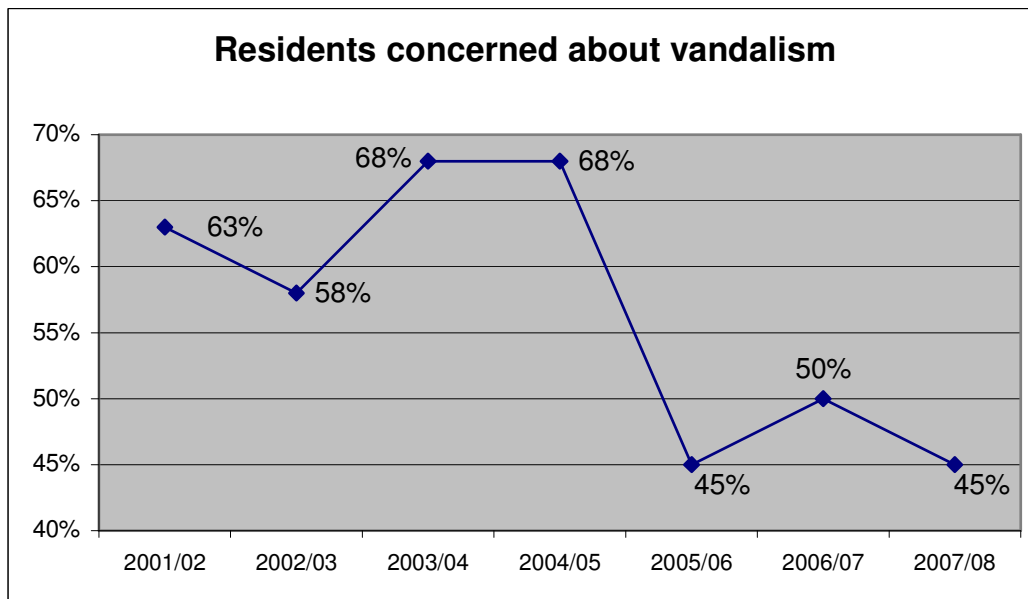
Customer satisfaction & perception

- 67 Overall satisfaction with council services has improved in 2007/08, although results varied in different service areas (see Annex 4). Of the 38 results collected this year, 15 (40%) improved, 4 (11%) showed a decline in satisfaction and 19 (49%) showed a stable trend.
- 68 Many of the results shown in Annex 4 cover satisfaction or perception with specific services such as Libraries, housing, etc. However, seven indicators could be said to represent higher-level satisfaction and perception across the city, which Executive members may want to take particular note of.

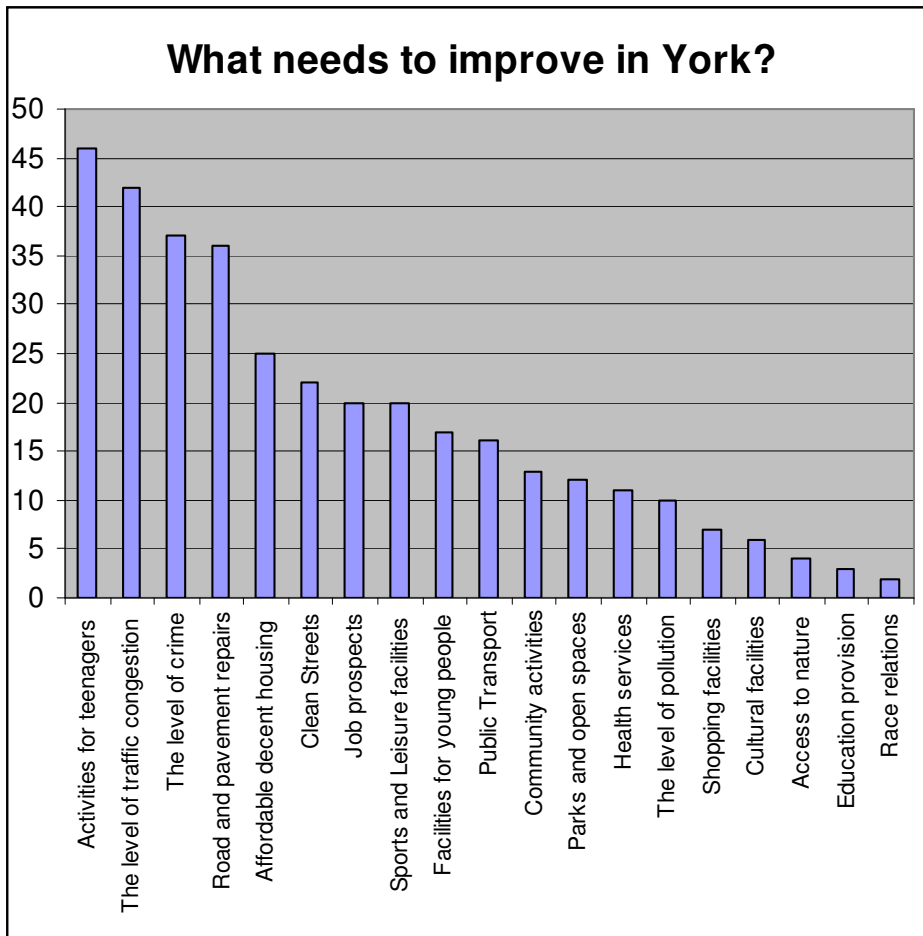
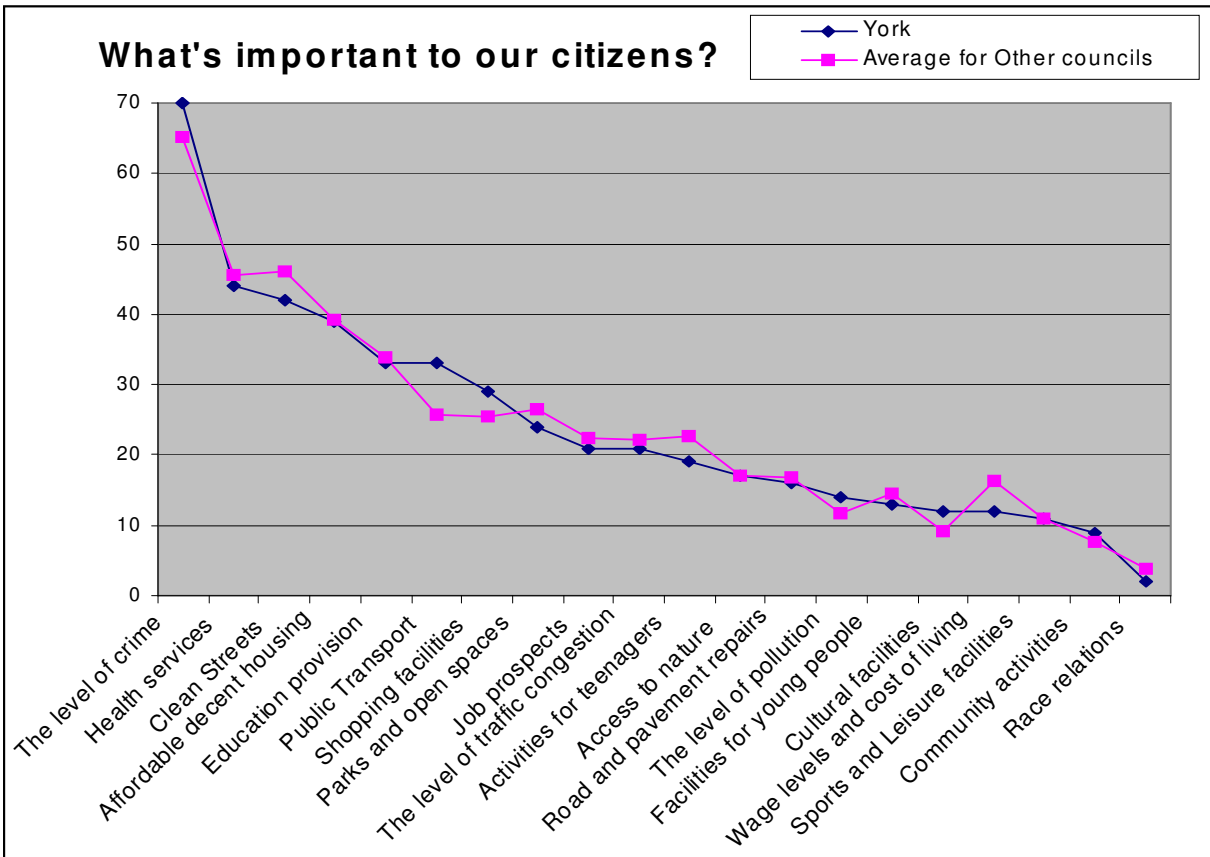
7 key results over the past 5 years

Measurement	2003/04	2004/05	2005/06	2006/07	2007/08	Improving?
Satisfaction with the overall service provided by authority	59%	53%	50%	44%	51%	↑
People feeling that York is a safe city in which to live	49%	47%	51%	53%	56%	↑
% of people satisfied with the York area	70%	81%	75%	74%	78%	→
People satisfied with their local area/neighbourhood	72%	78%	73%	75%	81%	↑
Satisfaction with cleanliness standards in their area	60%	62%	61%	71%	67%	→
% of people who feel the council keeps them informed	61%	53%	50%	54%	45%	↓
% of people who are satisfied with the amount of information provided by the council	63%	46%	46%	44%	38%	↓

- 69 The most notable service improvements occurred in HASS, where the overall satisfaction average improved significantly, mainly due to major increases in satisfaction with:
- council housing tenants, which increased to 88%, a significant jump on the already high performance of 80% in 2006/07.
 - tenant participation in housing management and decision making, which increased to 64% from 57% in 2006/07.
- Both these indicators are now performing in the top quartile and could contribute to us possibly scoring a 4 in the 2007/08 CPA housing block.
- 70 Another notable result was the % of citizens concerned about vandalism, which is now back to 2005/06 levels (45%). This is a significant improvement from 2004/05 when it stood at nearly 70%.



- 71 There were a small number of service areas where comparative performance has dropped into, or continues to be in the bottom quartile:
- 71.1 satisfaction and usage of sports & leisure facilities, which is still at the lower end of the bottom quartile.
 - 71.2 satisfaction with household waste collection, where we are only in the third quartile, despite having improved from 69% in 2005/06 to 75% in 2007/08. This is a general area of performance, which has seen fairly significant improvements across other unitary councils (especially in recycling), leading to quartile thresholds changing quite a bit.
 - 71.3 satisfaction with land being clear of litter and refuse, which dropped to 67% from 71% in 2006/07. This small change has seen us drop from top to second quartile.
- 72 In addition to gathering information on service satisfaction, we also ask our residents *“what services they think are important in making York a good place to live”* and *“what services need to be improved the most.”* The graphs on the next page show that currently, our citizens feel that activities for teenagers, community safety, affordable housing, road repairs and traffic congestion are important and in need of improvement.
- 73 Members should note however, that across most authorities, many areas often score low (e.g. race relations), or often have a ranking order as a result of traditional opinion or the sheer size of an issue compared to others (e.g. crime and Health Services compared to access to nature). The unitary average is therefore also shown to help highlight any stark differences of what’s important in York.



Report back on the 2007/08 Local Area Agreement

74 The Strategic Partnerships Team in City Strategy are currently reviewing progress on our First LAA. The WoW Executive Delivery Board will consider a separate report on this on the 3rd July.

Implications

75 There are no financial, IT or property implications from this report. There are however, possible implications for the following areas:

75.1 Legal: There are no formal legal implications. However, under the 1999 Local Government Act on Best Value, we have a duty to publish annual BVPI outturns before the 30th June each year. The Audit Commission will inspect these indicators, together with policies and procedures that help to develop and calculate them, in July and August 2008.

75.2 Crime and Disorder: Paragraphs 37-39 provides performance headlines regarding the progress under the theme of 'community safety'.

75.3 HR & equalities: paragraphs 42-49 provide members with progress made in relation to staff performance, including equality based staff indicators. These are assessed by the government under the corporate health performance theme which has recently been identified as an area for future improvement .

Risk Management

76 There are no risk management issues associated with this report. However, it does help Executive members understand the implications of poor performance and/or not taking corrective action.

Recommendations

77 Members are asked to note the performance issues identified in this report.
Reason – to ensure members have a full understanding of how the council is performing and what areas need to be improved.

Contact Details

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Report Approved



Date 11 June2008

For further information please contact the author of the report

Specialist Implications Officer(s) *List information for all (None)*

<i>Implication ie Financial</i>	<i>Implication ie Legal</i>
<i>Name</i>	<i>Name</i>
<i>Title</i>	<i>Title</i>
<i>Tel No.</i>	<i>Tel No.</i>

Wards Affected: *List wards or tick box to indicate all*

All

For further information please contact the author of the report

Background Papers:

Best Value Performance Plan 2007/08

Annexes

Annex 1: Overview of performance for 2007/08

Annex 2: Local Public Service Agreement update (LPSA2)

Annex 3: Customer First Performance results

Annex 4: Customer satisfaction and perception results

Annex 5: Progress on our corporate priorities

Overview of BVPI performance for 2007/08

Annex 1

	Environment and Cleanliness	Transport and Planning	Economy	Culture	Housing & Benefit	Children and Education	Community Safety	Adult Social Services	Corporate Health	Overall
2007-08										
No of Indicators	40	23	12	32	43	35	47	10	29	271
Improvement Headlines										
Indicators showing an improvement	23 (58%)	5 (22%)	5 (42%)	11 (34%)	23 (53%)	26 (74%)	19 (40%)	7 (70%)	18 (62%)	136 (50%)
Indicators showing a decline	8 (20%)	8 (35%)	3 (25%)	9 (28%)	4 (9%)	7 (20%)	9 (19%)	3 (30%)	5 (17%)	57 (21%)
Indicators staying stable or n/a indicators	9 (23%)	10 (43%)	4 (33%)	12 (38%)	16 (37%)	2 (6%)	19 (40%)	0 (0%)	6 (21%)	78 (29%)
Comparative Headlines										
No in Top Quartile	9 (32%)	5 (33%)	0 (0%)	5 (45%)	5 (22%)	13 (62%)	5 (28%)	2 (40%)	1 (7%)	45 (33%)
No in 2nd Quartile	5 (18%)	5 (33%)	0 (0%)	2 (18%)	11 (48%)	5 (24%)	5 (28%)	1 (20%)	5 (36%)	39 (29%)
No in 3rd Quartile	6 (21%)	3 (20%)	1 (100%)	0 (0%)	4 (17%)	2 (10%)	3 (17%)	0 (0%)	2 (14%)	21 (15%)
No in Bottom Quartile	8 (29%)	2 (13%)	0 (0%)	4 (36%)	3 (13%)	1 (5%)	5 (28%)	2 (40%)	6 (43%)	31 (23%)
No moving down a Quartile	4 (14%)	2 (13%)	0 (0%)	0 (0%)	1 (4%)	4 (19%)	7 (39%)	2 (40%)	3 (21%)	23 (17%)
No moving up a Quartile	7 (25%)	3 (20%)	1 (100%)	0 (0%)	11 (48%)	6 (29%)	5 (28%)	2 (40%)	3 (21%)	38 (28%)

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Local Public Service Agreement 2005 - 2008

Annex 2

Deliverer	Measure	Baseline	2006/7 actual	2007/8 latest	Performance at the Conclusion of LPSA2 (2007/8 unless otherwise stated)		Comments	Reward grant available	Reward grant prediction (minimum of 60%)
					Without Stretch	With Stretch			
Neighbourhood Services - Neighbourhood Pride Unit	The proportion of relevant land and highways (expressed as a percentage) that is assessed as having combined deposits of litter and detritus that fall below an acceptable level.	27% (median of 2003/4 and 2004/5)	19%	13.5%	19%	17%	The Street Scene review has targeted those specific areas that are measured within the BV199 standards and the common complaints received from residents, namely the build-up of detritus and the levels of street cleaning in terraced areas. Performance in this area has been excellent, and the stretch target has been comfortably exceeded.	£262,335	100% £262,335
	The % of people satisfied with local cleanliness	60% (2003/4)	71%	67%	66%	70%	This indicator represents 20% of the grant available for the street cleanliness target.	£65,584	0%
Neighbourhood Services - Waste Strategy Unit	Total tonnage of household waste arisings which have been sent by the Authority for recycling.	10,500 tonnes (2003/4)	23,440 tonnes	25,530	22,150 tonnes	23,988 tonnes	Improved rates of recycling have meant that the stretch target has been exceeded by a good margin.	£327,919	100% £327,919
Safer York Partnership	Number of burglaries	2,346 (2003/4)	1,081	989	1,642	1,501	Performance has been encouraging and the performance stretch has been achieved in full.	£327,919	100% £327,919
Safer York Partnership	Number of Incidents of violent crime	2,506 (2003/4)	2,548	2229	2,255	2,181	While the number of incidents is higher than anticipated, there was an improvement on the target without stretch, but only 35% of the difference between the two targets was achieved.	£327,919	0%
Safer York Partnership	Theft or unauthorised taking of vehicle (inc. attempts)	1,066 (2003/4)	660	492	746	682	There has been a noticeable reduction in the crime figures for this indicator, and therefore the reward grant should be payable in full.	£155,762	100% £155,762
	Theft from a vehicle (inc. attempts)	3,258 (2003/4)	2,363	1680	2,281	2,085	Reduction in the number of thefts from vehicles, have been significant this year, leading to the stretch target being exceeded by a wide margin.	£155,762	100% £155,762

Deliverer	Measure	Baseline	2006/7 actual	2007/8 latest	Performance at the Conclusion of LPSA2 (2007/8 unless otherwise stated)		Comments	Reward grant available	Reward grant prediction (minimum of 60%)
					Without Stretch	With Stretch			
	Vehicle interference	544 (2003/4)	492	303	381	348	There has been a significant reduction in the incidence of vehicle interference since last year, and this trend has been sufficient to attract payment of reward grant in full.	£16,396	100% £16,396
Neighbourhood Services - Environmental Health and Trading Standards	The percentage of illegal sales detected through Test Purchase Programme	17% (2004/5)	13.6% (average of 2005/6 and 2006/7)	9.95% (average of 2006/7 and 2007/8)	20%	10% (average of 2006/7 and 2007/8)	Performance in this area has been encouraging and has hit its stretch target. This indicator is calculated by taking an average of the performance over the last 2 years of the agreement.	£109,305	100% £109,305
	The percentage of residents reporting that 'noisy neighbours or loud parties' in their area represent either a 'very big problem' or a 'fairly big problem'	13% (2005/6)	14%	13%	13%	9%	A deterioration in performance last year means that the performance stretch is unlikely to be achieved. One possible reason for this growth in concern about noise is the increased visibility and accessibility of the council's service.	£109,305	0%
	The percentage of residents that 'agree strongly' or 'tend to agree' when asked "Do you agree or disagree that York is a safe city to live in, relatively free from crime and violence?"	47% (2004/5)	53%	56%	63%	68%	Despite a net improvement in the performance of this indicator over the last two years, it seems unlikely that any stretch in performance can be achieved.	£109,305	0%
Learning Culture and Children's services - Youth Offending Team	A complete count of the number of young offenders who receive; a) a Final Warning or b) are sentenced to a (YOT supervised) disposal by the courts or c) are released from Custody (into YOT or ISSP Supervision) between 1 October and 31 December in the year and who re-offend within 12 months	37.60% (year ending Dec 2003)	N/A	30.5 % (draft)	35.7%	34.60%	Measurement of this indicator is a rolling count of re-offending rates until the completion of the cohort to which it relates. Performance to meet the stretch re-offenders must number fewer than 23 from a cohort of 66 by the conclusion of the agreement. Considerable work was undertaken to identify those at risk of re-offending, and this work, in conjunction with a favourable number of pre-court outcomes (e.g. Final Warnings) has led to the stretch target being exceeded.	£163,960	100% £163,960
	Average number of offences committed per young offender, whilst subject to a bail or remand episode during the specified year.	3 (2004/5)	N/A	2.7(draft)	2.9	2.8	York YOT has put considerable effort into targeting offenders who are likely to offend on bail through support from the bail and remand officer. Draft figures will be finalised by Sept 08.	£163,960	100% £163,960

Deliverer	Measure	Baseline	2006/7 actual	2007/8 latest	Performance at the Conclusion of LPSA2 (2007/8 unless otherwise stated)		Comments	Reward grant available	Reward grant prediction (minimum of 60%)
					Without Stretch	With Stretch			
City Strategy - Transport Planning Unit	Number of people killed or seriously injured (KSI) in road traffic incidents on York's roads.	122 (2004)	160 (2006)	93 (draft)	95	85	Performance for this indicator (measured per calendar year) has been poorer than expected. As the performance stretch is measured as an average over the life of the agreement, there would need to be fewer than 100 road deaths and serious injuries in the two year period to meet the LPSA target. It seems very unlikely therefore that this target will qualify for payment of reward grant.	£327,919	0%
					Mean yearly average Jan 2006 - Dec 2008				
Resources - Public Services	The number of new successful claims or increases in existing awards of the benefits listed below achieved with the help of the City of York Council: Housing Benefit (HB), Council Tax Benefit (CTB), Attendance Allowance (AA) or Disability Living Allowance (DLA) or Pension Credit.	1,070 (year ending Dec 2005)	530	1773 (cumulative to date)	2,140	2,840	At present, it appears that performance is unlikely to be sufficient to attract any reward grant..	£327,919	0%
					Cumulative total for the two years ending 2007/8				
Learning Culture and Children's Services – Lifelong Learning	The number of adults achieving an Entry Level 3 qualification as part of the Skills for Life Strategy through Adult and Community Learning York.	27 (academic year ending July 2005)	17 (academic year 2005/6)	24 (academic year 2006/7)	93	113	Numbers have steadily increased from 2005/06 with a 20% increase in the numbers achieving the overall target in 06/07. If a similar level of performance were seen in 2007/08 (and that would still mean a further substantial increase), that would still leave us short of the target by some 180 learners. It therefore seems unlikely that the target will be hit. Currently some remedial measures are being implemented but at this stage it is unclear as to whether they are going to have enough of an impact and produce the numbers required to achieve the target.	£32,792	0%
	The number of adults achieving a Level 1 qualification as part of the Skills for Life Strategy through Adult and Community Learning York.	64 (academic year ending July 2005)	67 (academic year 2005/6)	107 (academic year 2006/7)	220	360		£114,772	60% £68,863
	The number of adults achieving a Level 2 qualification as part of the Skills for Life Strategy through Adult and Community Learning York.	124 (academic year ending July 2005)	151 (academic year 2005/6)	151 (academic year 2006/7)	497	559		£114,772	60% £68,863
	The number of adults registering for and completing learning programmes offered by or in York's public libraries.	763 (academic year 2004/5)	897 (academic year 2005/6) (1660 cumulative to date)	1002 (academic year 2006/7) (1899 cumulative)	2,349	2,519		£65,584	100% £65,584
					Cumulative total for the 3 academic years ending July 2008				

Deliverer	Measure	Baseline	2006/7 actual	2007/8 latest	Performance at the Conclusion of LPSA2 (2007/8 unless otherwise stated)		Comments	Reward grant available	Reward grant prediction (minimum of 60%)
					Without Stretch	With Stretch			
Learning Culture and Children's Services – Access & Inclusion	Percentage of young people age 16-18 who are NEET (not in education, employment or training).	4.50% (Nov 2004)	3.73%	3.87%	4%	3.70%	Good progress being made towards Nov 2008 target. Interim target of 3.9% was exceeded in Nov 2007. Changes have been made to the formula for calculating NEET since the targets were agreed in 2006.	£327,919	100% £327,919
					November 2008				
Learning Culture and Children's Services - Sport and Active Leisure	% of adult residents participating in at least 30 minutes moderate intensity sport and active recreation (including recreational walking) on 3 or more days a week	24.78% (2006/7 and baseline)	24.78% (2006/7 and baseline)	Measured again in 2008/9	0% increase	baseline +3%	Predicting the eventual performance of this indicator is extremely difficult given the longitudinal nature of the study. The Sport England survey to establish its baseline won't be repeated until 2008/9 making estimates of interim progress problematic. Data on healthy lifestyles from the Talkabout surveys show encouraging improvement, though the methodology used here is significantly different.	£262,335	??
	% of 5-16 year olds participating in an average of 2hrs high quality PE and school sport per week within and beyond the curriculum during one complete school year.	62% (2005)	71% (2006)	90%	85%	88%	This data is collected from the national annual PESSCL survey which encompasses all schools in school sports partnerships (SSP's) This represents a 19% increase in last years figures and exceeds the target a year early. Success has been attributed to the joint work between the CYC Sport and Active leisure team with the 2 SSP's in the city, and the additional funding which LPSA2 has afforded to build staffing capacity but must now be sustained through 2009.	£65,584	100% £65,58

12 out of 22 indicators reached their stretch targets in full. 2 have reached 60%, 7 have failed to reach 60% of their stretch targets, and 1 has no available data until a survey is completed.

A number indicators are still awaiting final target figures. This is due to the data being collected via the residents' survey, which is to be undertaken in late 2008, in one case, and for academic year data 2007/08 to become available, which is expected during autumn 2008. The figure for people killed or seriously

The drop in the majority of crime figures has been a major contributor to the achievement of targets. In previous reports, the figures for youth offending were unavailable; the current figures show that stretch targets have been reached, despite the challenges of dealing with a small cohort where even one additional offence has a statistically large impact.

Customer First Indicator Results**Annex 3**

Letters dealt with within 10 days Target = 95%	Result for 2006/07	Result for 2007/08		Change from 2006/07	Target achieved?
		Total rec'd	% answered		
Chief Executive's	97%	4,081	98%	improved	✓
City Strategy	93%	1,111	97%	improved	✓
HASS	93%	9,923	96%	improved	✓
LCCS	98%	6,749	97%	declined	✓
Neighbourhood Services	92%	400	71%	declined	✗
Resources	100%	10,343	97%	declined	✓
York Customer Centre	n/a	n/a	n/a	n/a	n/a
Total for Council	95%	32,607	97%	declined	✓

Stage 2 Complaints dealt with within 10 days Target = 95%	Result for 2006/07	Result for 2007/08		Change from 2006/07	Target achieved?
		Total rec'd	% answered		
Chief Executive's	n/a	0	n/a	n/a	n/a
City Strategy	75%	1	100%	improved	✓
HASS	74%	47	72%	declined	✗
LCCS	100%	9	100%	stable	✓
Neighbourhood Services	n/a	2	100%	stable	✓
Resources	100%	5	80%	declined	✗
York Customer Centre	n/a	n/a	n/a	n/a	n/a
Total for Council	83%	64	78%	improved	✗

Stage 3 Complaints dealt with within 10 days Target = 95%	Result for 2006/07	Result for 2007/08		Change from 2006/07	Target achieved?
		Total rec'd	% answered		
Chief Executive's	n/a	0	n/a	n/a	n/a
City Strategy	17%	4	75%	improved	✗
HASS	50%	24	67%	improved	✗
LCCS	n/a	0	n/a	n/a	n/a
Neighbourhood Services	n/a	1	100%	stable	
Resources	n/a	0	n/a	n/a	n/a
York Customer Centre	n/a	n/a	n/a	n/a	n/a
Total for Council	50%	29	69%	improved	✗

Visitors seen within 10 minutes Target = 100%	Result for 2006/07	Result for 2007/08		Change from 2006/07	Target achieved?
		Total visitors	% seen		
Chief Executive's	100%	2,048	100%	stable	✓
City Strategy	100%	33666	100%	stable	✓
HASS	96%	25,557	96%	stable	✗
LCCS	100%	47,845	100%	stable	✓
Neighbourhood Services	100%	5,950	100%	declined	✗
Resources	99%	41,435	99%	stable	✗
York Customer Centre	n/a	n/a	n/a	n/a	n/a
Total for Council	99%	156,501	99%	stable	✗

Those needing further help seen within 10 minutes Target = 100%	Result for 2006/07	Result for 2007/08		Change from 2006/07	Target achieved?
		Total visitors	% seen		
Chief Executive's	100%	1,649	100%	stable	✓
City Strategy	100%	5124	100%	stable	✓
HASS	87%	2,718	94%	improved	✗
LCCS	100%	27,499	100%	stable	✓
Neighbourhood Services	100%	3,570	71%	declined	✗
Resources	100%	1,378	100%	stable	✓
York Customer Centre	n/a	n/a	n/a	n/a	n/a
Total for Council	98%	41,938	97%	improved	✗

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Customer satisfaction & perception

Annex 4

Ref code	Description	Directorate responsible	2002/03	2003/04	2004/05	2005/06	2006/07	2007/08	Improving? Declining? Stable?
BVPI 103	% of 'residents' satisfied with local provision of public transport information	City Strategy	76%	57%	55%	59%	54%	53%	Stable
BVPI 104	% of all respondents satisfied with the local bus service	City Strategy	66%	67%	67%	74%	71%	68%	Stable
BVPI 111	% of planning applicants satisfied with the service received	City Strategy	No survey	74%	No survey	No survey	81%	81%	Qualified but improving
VH 37	% of people satisfied with the condition of roads & pavements in York	City Strategy	43%	47%	51%	56%	51%	49%	Stable
BVPI 3	% of citizens satisfied with the overall service provided by their authority	Corporate	76%	59%	53%	50%	44%	51%	Improving
BVPI 4	% of complainants satisfied with the handling of their complaint	Corporate	No survey	30%	21%	24%	33%	30%	Declining
CC2	% of people feeling that York is a safe city in which to live	Corporate	48%	49%	47%	51%	53%	56%	Stable
CG12	% of people who feel the Council keeps them informed	Corporate	63%	61%	53%	50%	54%	45%	Declining
CG13	% of people surveyed satisfied with the amount of information provided by the Council	Corporate	72%	63%	46%	46%	44%	38%	Declining
COLI 53	% of residents who feel that the Council takes their views into consideration when making decisions which affect them	Corporate	33%	32%	25%	29%	25%	27%	Stable
COLI 5	% of people satisfied with local area/ neighbourhood	Corporate	77%	72%	78%	73%	75%	81%	Improving
No code	% of people satisfied with the York area	Corporate	No survey	70%	81%	75%	74%	78%	Improving
BVPI 74a	Satisfaction of tenants of Council housing with the overall service provided by their landlord	Housing & Adult Social Care	89%	83.4%	80%	79%	80%	88%	Improving

Ref code	Description	Directorate responsible	2002/03	2003/04	2004/05	2005/06	2006/07	2007/08	Improving? Declining? Stable?
BVPI 74b	Satisfaction of tenants of Council housing with the overall service provided by their landlord (black & minority ethnic)	Housing & Adult Social Care	81%	79%	76.92%	100%*	67%	76%	Sample size too small to comment
BVPI 74c	Satisfaction of tenants of Council housing with the overall service provided by their landlord (non black & minority ethnic)	Housing & Adult Social Care	89%	84%	80.03%	78%	81%	88%	Improving
BVPI 75a	Satisfaction of tenants of Council housing with opportunities for participation in management and decision making in relation to housing services provided by their landlord	Housing & Adult Social Care	58%	66%	71.56%	55%	57%	64%	Improving
BVPI 75b	Satisfaction with participation (Black & Ethnic Minorities)	Housing & Adult Social Care	44%	100%	57.14%	50%	33%	70%	Sample size too small to comment
BVPI 75c	Satisfaction with participation (non Black & Ethnic Minorities)	Housing & Adult Social Care	58%	66%	35%	55%	57%	63%	Improving
COLI 6	% of tenants satisfied with the maintenance of local open spaces	Housing & Adult Social Care	80%	84%	84%	77%	67%	No survey	N/
BVPI 118a	Libraries: % of users reporting success in obtaining a specific book to borrow	LCCS	No survey	67.5%	No survey	No survey	86%	No Survey	N/
BVPI 119a	% of residents satisfied with the cultural & recreational provision in the city - Sports and leisure	LCCS	56%	55%	44%	40%	41%	44%	Stal
BVPI 119b	% of residents satisfied with the cultural & recreational provision in the city - Libraries	LCCS	68%	70%	64%	66%	73%	73%	Stable
BVPI 119c	% of residents satisfied with the cultural & recreational provision in the city - Museums & Galleries	LCCS	72%	72%	62%	67%	76%	73%	Stable
BVPI 119d	% of residents satisfied with the cultural & recreational provision in the city - Theatres and concert halls	LCCS	71%	73%	65%	67%	68%	69%	Stable
BVPI 119e	% of residents satisfied with the cultural & recreational provision in the city - Parks and Open Spaces	LCCS	67%	77%	70%	76%	78%	75%	Stable
CYP7	% of residents satisfied with leisure activities for young people (measured through residents opinion survey)	LCCS	24%	18%	25%	29%	17%	21%	Improving
BVPI 118b	Libraries: % of adult library users reporting success in gaining information as a result of a search or enquiry	LCCS	75%	67.4%	No survey	No survey	86%	No survey	N/A

Ref code	Description	Directorate responsible	2002/03	2003/04	2004/05	2005/06	2006/07	2007/08	Improving? Declining? Stable?
BVPI 118c	Libraries: % of users who were satisfied with the overall library service	LCCS	No survey	92.4%	No survey	No survey	92%	No survey	N/A
BVPI 90b	% of people satisfied with waste recycling facilities	Neighbourhood Services	No survey	70%	62%	54%	75%	77%	Stable
BVPI 90c	% of people satisfied with waste disposal (Local tip)	Neighbourhood Services	No survey	69%	69%	67%	86%	85%	Stable
COLI 15	% of York residents concerned about vandalism	Neighbourhood Services	58%	68%	68%	45%	50%	45%	Improving
COLI 16	% York residents concerned about speeding cars and lorries	Neighbourhood Services	61%	69%	73%	62%	62%	60%	Stable
COLI 22	% of residents concerned about young people hanging around on the streets.	Neighbourhood Services	46%	61%	67%	53%	54%	53%	Stable
COLI 28	% of residents willing to report crime and anti-social behaviour.	Neighbourhood Services	60%	55%	57%	76%	70%	69%	Stable
COLI 29	% of people concerned about going out alone in York	Neighbourhood Services	34%	30%	27%	25%	26%	26%	Stable
COLI 30	% people concerned about leaving the house empty.	Neighbourhood Services	52%	60%	55%	44%	43%	40%	Stable
BVPI 89	% of people satisfied with cleanliness standards in their area (based on residents satisfied that the authority has kept the land clear of litter and rubbish)	Neighbourhood Services	47%	60%	63%	61%	71%	67%	Declining
BVPI 90a	% of people satisfied with household waste collection	Neighbourhood Services	78%	91%	87%	69%	72%	75%	Stable
E30 (CPA)	% of customers satisfied with Trading Standards	Neighbourhood Services	98%	96%	86%	91%	84%	86%	Improving
E31 (CPA)	% of businesses satisfied with Trading Standards	Neighbourhood Services	79%	85%	100%	97%	89%	100%	Improving
No code	% of people saying noisy neighbours are a problem	Neighbourhood Services	No survey	20%	17%	13%	14%	12%	Improving

Ref code	Description	Directorate responsible	2002/03	2003/04	2004/05	2005/06	2006/07	2007/08	Improving? Declining? Stable?
BVPI 80a	Benefits satisfaction survey - contact/access	Resources	No survey	76%	No survey	74%	68%	No survey	N/A
BVPI 80b	Benefits satisfaction survey - service in benefits office	Resources	No survey	71%	No survey	72%	72%	No survey	N/A
BVPI 80c	Benefits satisfaction survey - telephone service	Resources	No survey	56%	No survey	60%	58%	No survey	N/A
BVPI 80d	Benefits satisfaction survey - staff in benefits office	Resources	No survey	76%	No survey	74%	73%	No survey	N/A
BVPI 80e	Benefits satisfaction survey - clarity of forms	Resources	No survey	60%	No survey	58%	52%	No survey	N/A
BVPI 80f	Benefits satisfaction survey - time taken for decision	Resources	No survey	58%	No survey	53%	55%	No survey	N/A
BVPI 80g	Benefits satisfaction survey - overall satisfaction	Resources	No survey	71%	No survey	68%	64%	No survey	N/

Safer City – anti-social behaviour

	2005/06	2006/07	2007/08	06/07 – 07/08 % change
% of people who think York is a safe place to live	51%	53%	56%	+3 percentiles
% of people who feel noisy neighbours are a problem	13%	14%	12%	- 2 percentiles
% of people willing to report crime and anti-social behaviour	76%	70%	69%	- 1 percentile
Domestic burglaries per 1000 population	13.08	12.76	11.66	-8.62%
All violent crime per 1000 population	21.97	19.20	18.15	-5.47%
Number of criminal damage cases	4381	4189	3697	-11.75%
Robberies per 1000 population	0.67	0.70	0.69	-1.43%
Vehicle crimes per 1000 population	16.65	19.03	13.08	-31.27%

Improvement headlines

In accordance with the recommendations in an independent consultant's review of Safer York Partnership, the roles and responsibilities within the SYP support team have been reviewed and incorporated into the Neighbourhood Services Directorate. The Council has contributed to the Joint Strategic Intelligence Assessment and development of the new Community Safety Strategy 2008-11.

Greater cohesion now exists between the Council and Safer Neighbourhoods Policing Teams through the implementation of the Community Engagement Strategy. Work is ongoing to develop the final draft of the Anti-Social Behaviour Strategy and to develop the SYP Board's DAAT responsibilities.

Other key results to note:

- Feeling and Being Safe in York Campaign during July-September 2007 resulted in a 7% decrease in crime during a period when crime historically rises.
- York has achieved the lowest rank in the family of most similar CDRPs.
- Reviewed the structure of the SYP team and integrated the Council and Police community safety staff into one team.
- 3% reduction in Common Assault
- 12% reduction in Criminal Damage
- 16% reduction in Woundings

Cleaner streets and open spaces

% of sites with unacceptable levels of:	2006/7	2007/8 target	2007/8 1 st survey (May 07)	2007/8 2 nd survey (Oct 07)	2007/08 3 rd survey (Feb 08)	2007/08 final result
BV199 a Litter fail rate	15%	None set	4%	13%	21%	12%
BV199a Detritus fail rate	24%	None set	7%	7%	31%	15%
BV199a: Combined litter and detritus	19.2%	17.0%	5%	10%	24%	13.5%
BV199b: Graffiti	6%	4%	1%	5%	6%	4%
BV199c: Fly-posting	0%	1%	0%	1%	1%	1%

The following table also demonstrates significant improvements that have been made regarding the cleanliness of roads, streets and opens spaces:

Key measures from Directorate Plan:	2006/7	1 st survey (May)	2 nd survey (Oct)	3 rd survey (Feb)	2007/8 outturn	2007/8 target	2008/9 Target
BV199a: % of relevant land with levels of litter and detritus below acceptable standards.	19.2%	5%	10%	24%	13.5%	17%	16%*
BV89: % of people satisfied with local cleanliness	71%				67%	70%	72%

* The 2008/09 target that was set in 2006/07 has been achieved already although realistically it will be difficult to further improve performance without additional resources being provided. The challenge now is to maintain current performance, improve customer satisfaction and to manage the growing problem of graffiti.

Improvement headlines

There has been significant progress under this priority in 2007/08. The main performance indicator (BVPI 199) has improved from 19% of areas not meeting an A or B standards to 13.5%. BV199 measures the cleanliness of the local area as members of the public would perceive it. The measure is based on a survey of at least 900 sites across the city – at which we check levels of litter, detritus, graffiti and fly-posting. Each year we do three surveys – each covering about 300 sites in 5 city wards. The final annual survey was completed in February 2008 and covered Acomb, Heworth, Huntington & New Earswick, Hull Road and Strensall wards.

While the winter survey generally gives the poorest result, and the survey was carried out during and after particularly stormy periods of weather, the fail rate of 24% is disappointing. The detritus score in particular was disappointing and has been the subject of discussion with operatives throughout the spring. Overall though the service has dramatically improved on the 2006/07 level of 19%, with a final overall result of 13.5% over the year. More details are set out below.

Satisfaction with local cleanliness (BV89) dropped slightly despite the improved level of cleanliness in the city. The graph above suggests that while we appear to have made a fairly significant change in cleanliness levels (we are disappointed now with a result we

would have been delighted with 2 years ago), public satisfaction has not moved significantly.

Graffiti and fly-posting are also measured using the BV199 survey. The measured level of graffiti has risen. 50% of the areas surveyed in February 2008 contained some level of graffiti compared with 28% in the winter survey last year. Under the survey method used, the level of graffiti must be significant in order to fail (below acceptable standard) – and just 6% of the areas surveyed had graffiti bad enough to fail.

Litter and graffiti were a particular problem at 'secondary retail', 'other highways' and 'recreation areas' with fail rates well above the overall level. In response the spring clean campaign, which ran during May, has targeted shopping areas, snickleways and recreation areas in various parts of the city for extra cleaning. However long term solutions to combat litter and graffiti in these areas need to be considered and are highlighted below. The 2007/8 surveys have highlighted once again, that detritus is a particular problem in high density residential areas where staff have difficulty cleaning due to parked cars.

The survey results and ongoing intelligence about other environmental problems drives the street environment service's proactive work plan. The service is currently working on improvements in service delivery in response to the 18 ward neighbourhood action plans (NAPs) devised through the neighbourhood management team. Findings and trends identified in the latest BV199 survey (and also found in BV199 results since its introduction in 2003), and other surveys across the city are being used to help develop a ward-level response to environmental issues. The majority of NAPs identified safety and the environment as priorities in the wards, and the street environment service will contribute to addressing these priorities.

During summer 2008, the street environment officers (SEOs) will produce an environmental action plan to address local graffiti and cleanliness problems. The 2007-08 surveys identified a slight increase in fly posting and graffiti, particularly in 'other highways' and 'secondary retail' areas. In response both the SEOs and the environmental enforcement officers will carry out more frequent inspections of these areas to ensure timely removal. Preventative work with the community will also be included in the plan on top of the on-going work with safer neighbourhood teams. SEOs will work with barrow staff to identify streets in high-density areas that have particular problems with detritus. Actions to address the problem will include additional street closures to facilitate access. Progress with these plans will be brought to ward planning meetings to be shared with councillors and other partners. Draft plans will also be shared with residents and local stakeholders to ensure that the plans reflect the views of the local community.

Throughout 2007/08, the two new environmental enforcement officers (EEOs) have been trained in various aspects of environmental law, and have established a work plan for combating environmental crime that ensures all wards benefit. In 2007/08, the officers have monitored and investigated fly tipping, with about 10 investigations ongoing each month. 86 duty of care inspections have been carried out in businesses – with the majority of businesses compliant in the duty of care for the waste they produce. 45 FPNs have been issued for litter, with routine monitoring going on around schools and secondary retail areas each week. Monitoring of early refuse presentation goes on each week with now about 2% of residents presenting refuse early. Working with local communities, landlords and residents to reduce early refuse presentation will continue to be a large part of the EEOs' role. Further development of the EEO role will continue in 2008/09.

The Council has worked in partnership with EnCams whilst various initiatives have been introduced to improve the local environmental quality of areas within York.

Other key improvements to note include:

- An independent review of the service was held by EnCams, who reported their findings to the Neighbourhood Services EMAP.
- City centre cleaning was extended during the summer months into the evening.
- New street cleaning arrangements were introduced for the residential areas in the city centre.
- A series of environmental campaigns were held to support the service.
- Caring for your Environment awards were introduced.
- The Council participated in Yorkshire in Bloom and were awarded a silver gilt award.
- Regular feedback and consultation sessions were held with staff and Member updates on operations was introduced.

Decreasing landfill and increase recycling

	2005/06	2006/07	2007/08
Household waste recycled and composted	24.08%	39.93%	43.29%
Waste land filled (<i>tonnage</i>)	74,070	74,210	68,010
Waste collected per head of population	526.78kg	538.54kg	536.3kg

	2006/07	2007/08
Tonnes of household waste collected	100,600	98,150
Tonnes of Commercial waste Collected	21,780	20,240
Tonnes of household waste recycled	23,440	25,400
Percentage of all waste recycled	39.93%	43.29%
Tonnes of BMW land filled below the (reducing) government targets	-13,170	-11,700

Improvement headlines

- Recycling: Waste recycling (including composting) has increased and landfill levels continue to decline, despite an increase in the amount of household waste collected. Members considered a report in October 2007, which set out options to extend recycling to terraced properties. Pilot schemes in the Groves area will commence on October 08.
- Office recycling: Since the beginning of the year, office managers have been heavily involved in implementing a new scheme in their own buildings where desk side waste bins have been either removed or used solely for paper/cardboard. Additional bins have been provided for plastic bottles and cans. The new scheme is focused on the main city centre administrative buildings (De Grey House, 10-12 George Hudson Street, Guildhall, Mill House, 2-9 St Leonard's, IT&T Museum Street, Finance office) and this covers over 70 % of all of the Council's office based workers. We are delighted with the reception that the scheme has received from staff, despite some initial hiccups. All the offices visited have taken up the scheme and posters are on display for staff to see. People spoken to are enthusiastic about the scheme, and are

keen to make suggestions to improve the scheme further. Since the scheme started we have collected an estimated one tonne per week of extra recyclable material.

- Schools and Commercial Waste Recycling commenced during the year. All schools are now successfully recycling and business organisations are being signed up to the service.
- Waste Minimisation Strategy has been delivered with considerable success with total waste arising falling from 122,380 tonnes in 2006/07 to 118,390 tonnes in 2007/08. This represents a 3.2% reduction at a time when the numbers of properties increased by 1.5%.

Life chances of disadvantage and disaffected children

	2005/06	2006/07	2007/08	Target	Improving?
% of pupils living in the 30% most deprived areas in the country (IDACI) gaining L4+ in English at KS2	66%	67%	69%	72%	Yes
% of pupils living in the 30% most deprived areas in the country (IDACI) gaining L4+ in maths at KS2.	62%	64%	68%	71%	Yes
% of pupils living in the 30% most deprived areas in the country (IDACI) gaining L4+ in science at KS2.	76%	75%	78%	79%	Yes
Reduction in the number of conceptions recorded for females aged 15-18 years old, per 1000 residents in the area, from 1998 recorded figures.	3.5%	24.3%	15.3%	-23.3%	Yes
Average number of offences committed per young offender, whilst subject to a bail or remand episode during the specified year.	3.0	N/A	2.7	2.8	Yes
% of 3-year-olds receiving a good quality, free, early years education place in the voluntary, private or maintained sectors	101.1%	104.1%	102.5%	100%	Stable

Improvement headlines

- Services have worked successfully to narrow the gap at all key stages for pupils working below age related expectations. Innovative and targeted intervention at school and pupil level has accelerated the progress of under achieving and low achieving pupils, confirming a four-year trend, which shows reduced numbers of pupils below threshold at each key stage in core subjects. Key stage 2 results have significantly improved compared to last year, and in particular schools in deprived areas – Westfield, Haxby Road and Hob Moor Primary schools.
- Teenage pregnancies: More encouraging signs of progress against teenage pregnancy targets with latest figures at least evidencing fewer conceptions in 2006 compared to 2005. That improvement takes the authority to clearly below the national comparative figure for under 18 pregnancies. The performance for under 16s conceptions had always been below national comparators.

- As we reduce NEET figures we expect to see an increased % representation within young people with learning difficulties/disabilities (LDD). There have also been important new developments at the Skills Centre/Applied Learning Centre at Archbishop Holgate School with introduction of a new post 16 future commissioning role for the authority.
- CLA: A sharp and sustained focus on the educational support and progress of looked after children has helped to achieve more children with at least 1 pass in a GCSE or equivalent reflecting our success in maximising children's potential, given their history prior to care.
- Parenting Programmes: 248 families have engaged in targeted parenting programmes. These programmes are intensive and range from 12 week to full year courses. The excellent uptake is in direct response to the additional funding secured via pathfinder status funding which had enabled us to provide an expanded set of programmes.
- Children's Centres: York now has 8 accredited Children's Centres - Hob Moor & Clifton Children's Centres designated by DCSF on 20th July 2007; New Earswick and Westfield on 29 February 2008; Carr, Haxby Road, The Avenues, St. Lawrence's on 12 March 2008. There are also other major capital school builds on line:
 - ✓ Danesgate Skill Centre opened.
 - ✓ YorOk website launched providing easier access to information and advice.
- Exclusion: Good progress has been made to in reduce the numbers of young people outside of mainstream educational provision, but we face more challenges to ensure they receive the newly defined requirement for full engagement.
- Significant success in delivering targeted free childcare provision to 2, 3 and 4 year olds.
- For the second year running we have seen a decrease in the number of pupils on the 'Education Otherwise' roll; this is despite an increase in the number of pupils being permanently excluded.
- A survey of secondary schools took place in regard to their use of the *Beat the Bullies Teaching Pack*. Although the use of the pack was not widespread, since the survey all secondary schools have requested additional copies of pack.
- Alternative Learning Programmes an intensive education resource provided by the Youth Service, have been highly effective in supporting the reintroduction of children into school and other mainstream education, and will be rolled out further.

Health & lifestyles

	2005/06	2006/07	2007/08	Improving?
% of school children (5-16) doing at least 2 hours sport per week	62%	71%	90%	Yes
% of adults participating in at least 30 mins moderate intensity sport & active recreation	New for 06/07	24.8%	Not yet available	N/A Target -27.8%

Improvement headlines

- *Neighbourhood planning model*: In 2007/08 we developed a model designed specifically to be used within the neighbourhood planning process to enable local members to:

- ✓ identify community health issues at ward level that the Council can influence based on 10 “key determinants” of health
- ✓ have at their finger tips relevant ward level information across the 10 determinants
- ✓ identify local issues and priorities arising from the information
- ✓ identify Council services that may get involved in neighbourhood plans in order to address the issues identified

This approach will be piloted imminently in one ward and from there rolled out to all wards over the rest of this year.

- *Physical Activity Strategy* : Active York has reconvened the physical activity forum to pursue the city’s physical activity strategy. Working with key personnel from the PCT discussions have begun about commissioning services around a physical activity *buddying* scheme
- *Active Travel* : A bid has been submitted to become a cycling England “Cycle City” and we have reached the interview stage. A significant part of the bid focuses on projects to improve physical activity rates. A strong consultation process has engaged a range of health partners
- *Physical activity coordinators* : We have deployed two full time physical activity coordinators working within the Sport and Active Leisure team. Working to increase the sport and physical activity participation rates of adults (16+) across the city, these coordinators have facilitated and supported a variety of opportunities and sessions in particular for those whose participation is too low to derive any health benefit. Examples include; organisation of the 50+ games, community based exercise leaders working with sure start to encourage young mums to engage in dance and physical activity, Provision of a permanent chair based exercise instructor at Oaklands running classes for new participants.
- *Disability sports Coach* : Short term external funding has allowed the deployment of a community sports coach to address the low participation rates of residents in the city with physical and learning disabilities (currently 12% lower than the city average for adults and as significantly low for young people). Work has included: training of 12 new coaches and instructors through the inclusive fitness initiative and the Mencap “get together” scheme to enable disabled individuals to utilize the purpose provided fitness equipment at our facilities, adult and junior boccia clubs working towards Special Olympics registration, soccability at Copmanthorpe, tennisability at York tennis club and tagability with the York City Knights. A new link to the physiotherapists at York hospital has also been made resulting in a stability and balance session running at Hob Moor for young people with special needs.

External funding for these posts is only short term to 2009 and it is imperative that further funding can be found after this period to enable continuation of this work. Effecting a step change in residents’ attitudes towards physical activity and subsequently activity habits requires a long-term approach. Evidential data will be available with regard to the impact of these interventions from 2010 at the earliest.

- *Corporate health* : Work has begun on identifying work place initiatives to increase physical activity. Work place assessments, *fit* building design, individual action plans, corporate benefits to sports facilities, active travel, lunchtime led walks, pedometer challenges and work team competition are all initiatives which we are currently sourcing funding for.

Increasing local skills & knowledge

Statement	Measures	2005/06	2006/07	2007/08	Improving?
	York's unemployment rate compared to national average	1.3% below	1.2% below	1% below	Slight decrease
	York's unemployment rate compared to regional average	1.5% below	1.5% below	1.2% below	Decrease
	Number of working age population achieving NVQ 4		31.7% (till Dec 06)	Not released yet	N/A
An increase in the number of adults achieving basic literacy, numeracy and ICT classes and achieving qualifications	Number of adults registering and completing courses through our public libraries	763	897	1002	Yes
	Number of adults achieving entry level 1, 2 or 3 qualifications as part of Skills for Life	215	236	282	Yes
A significant increase in youngsters accessing and succeeding in gaining vocational qualifications	% of young people achieving vocational qualifications at age 16.	33.3%	40.5%	42%	Yes
	Number of entries taking vocational subjects at KS4	551	787	1034	Yes
A decrease in the % of young people not accessing education, employment or training	Decreased %of NEET numbers	5.2%	5.9%	3.87%	Yes
A narrowing of the gap between those leaving school with minimal qualifications and high achievers	% of pupils living in the 30% deprived areas in the country (IDACI) gaining L4+ in English at KS2	66%	67%	69%	Yes
	% of pupils living in the 30% deprived areas in the country (IDACI) gaining L4+ in Maths at KS2	62%	64%	71%	Yes
	% of pupils living in the 30% deprived areas in the country (IDACI) gaining L4+ in science at KS2	76%	75%	78%	Yes
	% of pupils living in the 30% deprived areas in the country (IDACI) gaining 5 A*-C inc English and Maths at GCSE	26%	25%	29%	Yes

Improvement headlines

- There has been a reduction in the number of people who are NEET (not in employment, education or training) despite York already being well below the national average. This is however, an increased representation within this group of young people with learning difficulties/disabilities (LDD). This factor will continue to influence post 16 commissioning, provision and work with providers.

- The numbers of adults completing basic literacy, numeracy and ICT classes and achieving qualifications has steadily increased from 2005/06 with a 20% increase in the numbers achieving the overall target in 06/07. However, to achieve a similar level of performance in academic year 2007/08 (which would still mean a further substantial increase), that would still leave us short of the target by some 180 learners. Currently some remedial measures are being implemented but at this stage it is unclear as to whether they are going to have enough of an impact and produce the numbers required to achieve the target.
- Parenting Programmes: 248 families have engaged in targeted parenting programmes. These programmes are intensive and range from 12 week to full year courses. The excellent uptake is in direct response to the additional funding secured via pathfinder status funding which had enabled an expanded set of programmes being available.
- There has been increased ESOL (English for speakers of other languages) provision over the year including the introduction of programmes aimed specifically at the Polish community, alongside a Beginners Polish programme to learn Polish.
- “Explore” which is the Acomb Library Learning Centre has been completed. It opened in February 2008. This has led to a huge increase in people visiting the library with over 600 people a day and very encouraging participation in adult education programmes.
- The Danesgate Skills Centre opened in January 2008 offering Horticulture, Health and Beauty and Construction for a total of 38 learners. The Centre was officially opened in May 2008.
- York had a successful gateway 2 application and will be delivering 8 of the 10 available diplomas from September 2009.
- The new build for Manor School is ahead of target, the building work at York High is on target and plans for the Joseph Rowntree School continue.

A stronger economy

- Following the conclusion of the Future York Group report, the City of York Council has been leading on the response to its recommendations. Central to this has been the refresh of the Sustainable Community Strategy and a revised Local Area Agreement. These have been incorporated into the Service Plan for Economic Development and Partnerships and the Directorate Plan for City Strategy. A revised economic development strategy will be produced in draft form by July 2008, to coincide with revisions to partnership working in the City with respect to economic development matters.
- The Corporate Assessment states: “there have been good achievements in terms of the local economy. York outperforms its regional comparators in relation to employment, earning and skills. Income inequalities are lower than comparable areas and strong plans for the future are in place.”
- Science City York has now been established as a Company Limited by Guarantee to develop knowledge led businesses in the City and beyond.
- Visit York has also been established as a Company Limited by Guarantee to further develop tourism in the City and enhance its economic impact.

- Further work has been done through both Future Prospects and the York Training Centre to improve the skills and employability of local residents, with a particular focus on those most marginalised in the labour market.
- Average earnings in York for 2007 (Gross weekly pay = £451.2) are above regional rates (Yorkshire and Humberside = £422.3), ie 106.8% above regional rates but slightly below national rates (Great Britain = £458.6), i.e. 98.4% of national rate. The trend over time is for average earnings in York to be improving against national rates. These have now been translated into targets against NI166 and included in the LAA. Income differentials within the City are narrowing with the percentage difference between the 25th percentile and the median for earnings now being 71.9%. This has also been included as a local indicator in the LAA.
- Support for the York City Centre Partnership has continued to help enhance the economic vitality and viability of the City Centre.
- There has been an increasing amount of work to support the Leeds City region, particularly through the development of a Multi Area Agreement focussed on skills, transport and labour market mobility.

A sustainable Council

This is the first year that this area has been given corporate priority status. However, a number of actions and improvements took place in 2007/08, including:

- The creation of the Environmental Sustainability Strategy & Action Plan towards a Climate Change Strategy for York. This strategy sets out actions for the City of York Council to tackle the pressing environmental challenges facing the city. It incorporates the areas of control and influence that the council has and puts a priority on leading the development and implementation of a citywide climate change strategy.
- The creation of the Sustainable Design and Construction Interim Planning Statement. This document sets out what is expected from applicants and outlines standards for achieving sustainable design and construction in all types of developments.
- The completion of the Carbon Management Programme – Strategy & Implementation Plan. This document sets targets for reduction of carbon dioxide emissions from City of York Council activities and outlines a project structure enabling these targets to be achieved.
- The implementation of the ‘Switch off’ campaign. This council-wide initiative was introduced to encourage energy efficient practice across the council’s directorates.
- The review of the council’s Sustainable Communities Strategy. This work resulted in the creation of a Sustainable City chapter within this strategy. Work has also begun on creating an action plan for ensuring the LSP and council collectively achieve this goal.

Work on developing a sustainable council continues and there are a number of ongoing actions taking place in 2008/09:

- As part of the LDF process all documents, including the Core Strategy are subject to Sustainability Appraisal (SA). CYC is one of the few Councils to include Ecological Footprinting as a part of this process. SA documents produced so far include: Scoping Reports for the Core Strategy, Allocations Development Plan Document and

York Northwest Area Action Plan. In addition Sustainability Statements have also been produced to accompany the Core Strategy Issues and Options Consultation on the Core Strategy and the York Northwest Area Action Plan.

- The development of the Climate Change Strategy for York. This work is currently under way and led by the Council. It will provide a detailed plan of how the city will mitigate and adapt to climate change in the future.

The work mentioned above provides a framework and a series of policies which will provide the platform for future improvements. As such it will take time to demonstrate real reductions in behaviour and activities of the council and others. This has however provided a way forward, detailing a road map as to how the key actions will be achieved in the future, and in particular how the council will reduce carbon emissions and how the city will mitigate and adapt to future climate change. Importantly it sets a number of targets to measure future success. It is worth noting that the work undertaken in 2007/2008 will have greatly raised awareness towards reducing environmental impacts and to the issues surrounding climate change and sustainable development.

Affordable homes

Significant progress has been made under this priority for 2007/08 in place in delivering the actions related to this Improvement Priority. These include:

- Developing a corporate approach to CYC land / asset disposals where the potential for affordable housing provision is actively considered as priority.
- Development of a new Private Sector Renewal Strategy and a new Housing Strategy.
- Publication of a Strategic Land Availability Assessment.
- Appointed a developer partner to redevelop the Discus Bungalow sites redelivering 195 dwellings to replace the 100 Discus bungalows, 100 of which are affordable housing delivered through Social Housing Grant and Housing Association funding. Of the remaining 95 dwellings, 50% are new affordable homes.
- Appointed a Rural Housing Enabler as part of a sub-regional bid to support delivery of new affordable homes within the rural areas of York.
- A comprehensive private sector stock condition survey has been undertaken and the results will be available to CYC mid June.
- A sub-regional investment bid for affordable housing, private sector & LA home improvements for 2008-11 has been submitted which will deliver approximately £22m of government grant and £24m of match funding from Housing Association to deliver these improvements / new homes.
- Redevelopment of Peasholme resettlement centre has started.
- A void quality standard for the councils own stock has been introduced.
- The City of York Council is part of a sub regional partnership, which will deliver Choice Based Lettings of the majority of our stock. This has attracted £100,000 of government grant funding.
- Refurbishment of three travellers sites in York has now been completed.
- The number of CYC homes that fail the decent homes standard has been reduced in line with the HRA business Plan

- A bid for New Growth Point status as part of the Leeds City Region for York North West has been submitted. A decision is due summer 2008
- Working with the Leeds City Region together with the Housing and Sustainable Communities Group, a bid for an Eco Town within the Leeds City Region has been shortlisted (in the first 15). An assessment of suitable sites was presented to the City Region Leaders on the 12th June 08.
- Two National Performance Indicators (NPIs) have been included as key improvement targets within York's 2008/09 LAA.
 - NPI 155 – Number of affordable homes delivered in York (target is 185 by March 2009 and 350 by March 2011).
 - NPI 187 – Tackling fuel poverty by reducing the number of people receiving income based benefits living in homes with low energy efficiency.

Environmentally friendly transport

	2004/05	2005/06	2006/07	2007/8
Walking around city centre (Weekday avg)	N/A	40249	41161	Survey complete, results awaited
Cycling (12 hour)	10634	10864	10690	Survey complete, results awaited
Concessionary Bus Trips	N/A	2,085,000	2,833,000	3,069,000
Park and Ride Trips *	2,376,242	2,684,156	3,137,467	3,135,740
Total Bus Trips *	14,450,577	14,493,549	15,144,371	14,650,000

* including concessionary trips

Improvement headlines

There have been a number of notable successes in 2007/8 toward delivering on this priority including, a successful bid to the Regional Transport Board for Phase 1 of the Access York Project to increase the number of park and ride sites from 5 to 7. We submitted a bid to Cycling England to become a Cycling Demonstration Town and expect news of our success shortly.

A new park and ride bus services contract was awarded to First York for a new generation of environmentally friendly buses. A publicity campaign "Live life in the bus lane" promoting the use of buses was successfully run at the end of the year. Whilst there have been a number of new initiatives and projects last year, the numbers using public transport and other environmentally modes has levelled out. New initiatives are needed for implementation this year if numbers are to increase.

This priority seeks to encourage the use of public transport, cycling and walking by enhancing the facilities and services available. It also looks at the controls the Council may impose upon the private use of the car to encourage a mode shift toward the alternative means of transport. Here's a review of progress across the different transport modes.

Trains

- Progress the scheme to provide a Rail Halt at Haxby through the Regional Transport Board and the DfT. This scheme is currently not on the Regional Funding Allocation programme although DfT have agreed the project in principle subject to agreeing the

business case. The business case is awaiting confirmation from Network Rail that they will part fund the project and that there is an operational opportunity to introduce the service. Recent discussions with Network Rail have resulted in a significant increase in the costs and the operational opportunities not identified or resolved.

- Investigation into Tram Train for the Harrogate Line and for York. This is a City Region Scheme that would improve the service on the Harrogate Line and provide an opportunity to serve new developments at British Sugar and York Central as well as park and ride sites around the city. A feasibility scheme has recently been completed and submitted to the Council for comment. With the selection of the Sheffield to Huddersfield line by DfT as a trial for tram train it is not expected that this scheme will progress in the short term.

Buses

- The Collaborative Transport Project seeks to make the Council and potentially other public sector transport more efficient and more effective with better management of resources and will be introduced over a 3 year period. That is an ongoing project expected to last for a further 2 years.
- A Review of Dial a Ride Service has been undertaken and short-term implementation of changes is planned for 2008/09 with the service ultimately being incorporated in the Collaborative Transport Project.
- Maintenance of the existing park and ride sites. Some of the sites are in need of planned maintenance to the sites for surfacing, soft landscaping, drainage and painting. This will be delivered over the next 2 years.
- Enhancement of the existing park and ride service with new city centre stops, improved customer information, new on site services. These are planned to take place over the next year.
- Following a successful tendering, First York were awarded the bus service provider contract for the park and ride service. Detailed negotiation of the contract is complete and will be signed shortly. The contract is for 5 years extendable to 8 years and will provide an enhanced service with new buses with the lowest emissions rating currently available. The new service will be launched in Autumn 2008 with the arrival of the new buses.
- Major changes were made to the location of the park and ride service at the Designer Outlet to locate the site at the front of the development. A new site office for the service has been designed and a planning application has already been submitted. The new building is “transferable” and incorporates a number of sustainable design and operation features.
- At the beginning of 2008 an opportunity arose to bid for funding through the Regional Funding Allocation. A bid was made for phase 1 of the Access York Project that includes new park and rides sites at Askham Bar, Poppleton, and Clifton Moor. These sites will increase the capacity of park and ride by an additional 2250 spaces. In addition there will be improvements to bus priorities on each of the radials as well as a new roundabout on the A1237 Outer Ring Road at its junction with the A59 Harrogate Road. The Regional Transport Board approved the project at the beginning of April. We are currently preparing the Major Scheme business case for DfT and are progressing the planning and information gathering to support the consultation and planning applications.

- Review of the commercial bus service routes. The Council in collaboration with the bus companies carried out a review of the commercial services in 2001 that resulted in the introduction of the Metro style service. It is proposed to review these services again this year to identify any changes in demand throughout the city and to encourage the operators to change their services to meet the demand. This review will also consider the issue of an orbital bus service for the city as described in LTP2.
- The majority of buses in the city are using euro 2 engines although some are using euro 4. All new vehicles from April 2008 will as a minimum be required to have euro 5 engines. The difference in emissions between the engines is significant and will have a hugely beneficial effect upon the city's air quality when the new vehicles are introduced. We will be encouraging bus companies to change to lower emission engines through the service review and through the quality bus partnership.
- The Quality Bus Partnership was relaunched in August and a number of work streams have been established to review services and integration. The government's proposed Local Transport Bill will enable local authorities to work with the bus operators either through quality contracts or quality partnerships to improve bus services particularly around timings, frequency and fares.
- Extension of MetroCard into York. MetroCard is a two-part travel card promoted by the West Yorkshire Passenger Transport Executive (Metro) for travel in West Yorkshire. It comprises a uniquely numbered PhotoCard and limited period travel ticket. MetroCard provides unlimited free travel on the services where the ticket is valid. MetroCard repays regular travellers within the Metro area by cutting the cost of travel. Work is currently being undertaken to extend the area to include Harrogate, Skipton, Selby and Barnsley. Extending the scheme into York would be complex and challenging because of the relationship to fares set by TransPennine.
- There are a number of bus operators in the city and transfer between operators could be improved if an integrated ticketing system was adopted. A study has been initiated and we expect the results at the end of June. Introduction of a citywide scheme is expected to be costly and take at least 2 years to introduce.
- As part of a City Region initiative we supported a successful bid to the Regional Assembly for the introduction of Yorcard across the region. There is currently a pilot project operating in South Yorkshire to assess the benefits and issues around the scheme that allows the use of an ITSO compliant smartcard for public transport. If the pilot project is successful the proposal is to rollout the scheme progressively across the region.
- The reliability of real time on - street bus information has been improved with the introduction of more reliable information into the database. When the new park and ride buses are introduced we expect to get coverage of all First buses in York, which will improve reliability and allow introduction of the mobile text service. We would also be seeking to enhance the number of stops with real time information and increasing the number city space columns.
- Coach Facilities in the city are limited and the numbers continues to grow each year. In addition coach parking can become a problem when this is indiscriminate around the city. A feasibility study has been commissioned and is due to be reported later in the summer.
- The national Concessionary Bus Travel Scheme was introduced in April 2008. The impact upon bus services into and out of the city is still being assessed. Distribution of travel passes is ongoing but the majority were issued before or around 1 April.

This will be major enhancement to the elderly and disabled to travel not just in their own city but in any city in England.

- A review of alternative fuels for buses was prepared and discussed by members at the traffic congestion scrutiny committee. There are no proposals to consider this issue further at this stage.
- Earlier this year working in collaboration with First York and Leeds and Bradford Airport, York Air Coach was introduced. The service is hourly and patronage continued to rise throughout the summer but through the winter patronage has fallen significantly.
- A feasibility study has been completed for bus priority and cycling on the A19 Fulford Road. The proposal was extensively consulted on and implementation of the first phase will be in 2008/09.
- We are actively considering the future transport needs for the city up to 2029 through the LDF core strategy consultation. It is proposed that next year an outline business case for Phase2 of the Access York Project will be prepared and submitted to the Regional Transport Board for inclusion on their programme and then to promote the scheme as a major scheme bid through the DfT. The scheme is likely to include increased capacity for the northern outer ring road and bus and cycle facilities on the radial routes into the city. The study is due for completion in the summer of 2008.

Cycling

- The cycle network has evolved over time and there is a need to rationalise and prioritise it to ensure that key links are meeting the needs and demands of cyclists. A review is planned for later this year so that the priority network can be completed and enhanced in future years depending upon funding availability.
- Cycle storage and security in the city has been an issue that the Safer York Partnership have been addressing with some success this year. The Council has agreed to a scheme that includes partnership working with an external provider to convert the former Lendal Sub-Station as a secure cycle store, cycle repair and purchase facilities.
- A key section of the cycle network that needs to be completed is over Clifton Bridge on Water End. A scheme is currently being designed ready for consultation later this year. The scheme would create shared areas with pedestrians on either side of the bridge. The scheme would also be linked to a structural maintenance scheme for improving the parapets to modern standards. It is anticipated that the scheme would link up with the network at Salisbury Road and Clifton Green and would be implemented next year. A further scheme is planned to complete the Haxby route through the grounds of the hospital.
- At the end of the year the Council submitted a bid to Cycling England to become a Cycling Demonstration Town. Recently we were advised that we have been successful with our initial bid and moved to the second stage of the selection procedure. If we are successful then the project will start in September 2008 and run for 3 years. The bid is for £4.94m over 3 years and is to be match funded from Council resources. Key initiatives include filling the gaps in the existing network, raising of cycle awareness, additional secure cycle parking and storage, provision of major crossing points over the river. Major targets for the project include increasing cycling amongst low participation groups.

Walking

- A review has been commissioned of the city centre foot streets. This review will identify what opportunities there may be to extend the foot streets and the implications of that. Capital schemes may be developed in the future to deliver the outcome of the review.
- A scheme is currently being prepared in conjunction with the Minster to create a piazza type area on Deangate and Minster Gates principally for pedestrians but also incorporating cyclist facilities. That scheme is planned for completion in Summer 2010.
- A review is required of all signalised pedestrian crossings in the city as some are old and in need of improvement. The review will look at the effectiveness of the crossings and if conversion from pelicans to puffins would be advantageous. An improvement programme will result from the review that will be implemented over several years.
- The maintenance of footways in the city will continue to be a priority for maintenance funding.

Softer Measures

- In the past the Council have run successful campaigns encouraging the use of public transport, cycling and walking. If funding is provided in the future a further campaign will be implemented. The Council is also active in promoting cycling particularly to children. If funding allows we would like to develop a more intense Child Safety Cycling Campaign that could result in every child in the city receiving a leaflet advising on the do's and don'ts of cycling. We will continue to provide cycle training to all primary school children and are proposing to extend this to older children and adults. The council is also currently investigating the opportunities for creating a citywide cycle club to match with improved cycle facilities and enhanced network.
- We will continue working with schools to prepare and review green travel plans. We're also seeking to extend these to influence parents to reduce the impact of the "school run".
- A major bus promotional campaign was publicised "Live life in the bus lane". The campaign was well received both by the bus operators and by patrons.

Traffic Management

- A review of the Car Parking service has been completed and the recommendations will be implemented shortly. Parking is an important tool for controlling demand and encouraging the shift of transport mode to other more sustainable means. Issues regarding capacity within the city and charges are constantly under review to ensure the balance toward other means is maintained.
- We will be looking at the opportunities for introducing environmentally friendly concessions to parking charges in the Council's off street car parks when we have access to a reliable database of car environmental credentials.
- Investigation of schemes for traffic demand management based upon restriction or charging for vehicles that do not achieve environmentally friendly standards.

- Walking and Cycling trips are not expected to improve on 06/07. However, concessionary bus trips have increased and park and ride has remained static but overall there has been a fall in bus trips. Reasons could be significant increases in fares. No recognisable modal shift back to cars.

Progress on 'Providing strong leadership'

- Feedback captured at all levels of the council on corporate culture has given a clear picture of the issues and where we are on a continuum of improvement. The key group to focus on are the senior managers who seem to have the greatest need for support in managing improvement.
- Appraisal and induction is key to success in terms of achieving consistency across the council within the new Business Model. Directors need to ensure that PDRs reflect the Leadership and Management Standards agreed in York and the competencies needed to deliver them.
- The Senior Managers meeting needs to be attended by more than the 70% who normally attend and can be used to work on corporate improvements to leadership
- Analysis of staff survey results as they related to key DIP indicators on leadership to establish the baseline, including:
 - ✓ 'I think it is okay to speak up and challenge the way things are done'
YES 36%
 - ✓ 'I think the different directorates co-operate to get work done'
YES 26%
 - ✓ 'I have the authority I need to make service improvements'
YES 31% (even at PO/CO level only 52% agreed)
- Pursuing these 3 issues in more detail in 2 workshops – one with middle managers and one with front line staff. We plan to report the findings to Corporate Management Team with recommendations on action to be taken.
- There will also be ongoing monitoring of staff opinions on key leadership issues identified in the DIP via the next Staff Survey (Autumn 2008) and 2 more workshops before the end of 2008.
- The role of the Leadership Champion will be modified as we move into mainstreaming this work within organisational development element of the Business Model

Progress on supporting and developing people

We have made significant progress on this priority but still have a long way to go. Key developments include the launch of the new Health and Safety Management System, a complete review of Attendance Management in the Council with greater success than anticipated at the end of Phase One leaving us ready to move into Phase Two, which has an emphasis on the well-being agenda.

We have also made good progress in rolling out Equalities and Fairness training to a greater number of people than ever before. These are all developments which are in keeping with our single improvement plan.

Progress on encouraging improvement in everything we do

The Gershon targets for 2005/06 to 2007/08 of £8.8m have been exceeded by £1.8m over the 3 year period of which £500k are cashable savings that can be carried forward into 2008/09 as a contribution to the new 3% all cashable targets. These efficiencies have been delivered through the savings part of the annual budget process.

The value for money part of the use of resources assessment has remained at a score of 3 (good). A corporate efficiency review programme and corporate procurement programme has now been approved by the council's executive and progress is being made on several of these projects to contribute towards the more challenging efficiency targets.

Progress on delivering what our customers want

Since 2007/08 we have mainly been working on:

- Delivering Phase 2 of easy@york programme.
- Refreshing Customer First measures and standards.
- Improving the handling of Customer Complaints / Feedback.
- Customer Consultation/Customer Insight research (part of NPI 14).
- Leading the customer service delivery stream of the Admin Accom project to ensure the design supports customers' needs for the future.

Easy@york

Implementation of easy@york Phase 1 has delivered major improvements to the quality of customer service leading to improvements in customer satisfaction. The introduction of the York Customer Centre to handle telephone contact and the development of a transactional web site have extended the channels of access and improved the quality and responsiveness of the Council's customer services. Commencement of phase 2 will extend the scope of these improvements over the next three years as additional services are redesigned and face to face customer contact is consolidated in Hungate.

York Customer Centre

York Customer Centre (YCC) call handling has improved and calls are now answered more quickly, with service levels being met and fewer abandoned calls. We have also introduced extended hours of service for Council Tax billing and we now plan to extend opening hours and pilot Mon-Sat opening for 3 months at the end of 2008. Our next step is to explore the potential for delivering shared services via the YCC for other agencies/authorities.

Customer First measures and standards

Development of refreshed customer standards and improved Customer feedback policies and processes have been delayed by the Governments delay in defining NI 14 - Reducing Avoidable Contact, as part of the new National Performance Framework. CYC are one of the pilot local authorities for NI 14, working with the Cabinet Office (CO), Dept of Communities and Local Government (DCLG) and IDeA to review, inform and shape this indicator. When this indicator is finalized it will be incorporated into the recommendations

for revised Customer First Standards/Measures as part of the Customer Strategy which is due to come to the Executive in October.

Customer Complaints / Feedback

A final draft of the corporate Customer Complaints/Feedback policy have been drafted and will also be incorporated into the Customer Strategy.

Customer Insight research

From initial consultation with customers through Talkabout we have evidence of the key drivers of satisfaction for our customers. These are being built into our Customer Strategy and designs for easy@york Phase 2 and the new York Customer Centre. The establishment of a single customer database as part of the easy programme gives us potential in the future to provide much more integrated services to customers by linking our back office services together.

Hungate – York Customer Centre

We are currently in the design phase of the construction of the York Customer Centre (YCC) in Hungate, which will deliver joined up, high quality services that our customers can access quickly, easily and conveniently in one place. To verify the customer access requirements for the YCC Hungate, we have undertaken a more comprehensive customer footfall survey to inform and shape customer provision in Hungate. As the service provision in YCC Hungate will be centred on meeting the needs and expectations of customers, we have developed a model for efficient and effective customer flow management which will be incorporated into the physical design and the customer journey.

Other headlines on delivery and improvement include:

- Easy Programme – Revenues Service go live, Benefits preparation – go live August 2008.
- Admin Accommodation Project - First stage YCC design complete.
- Procurement of corporate Mobile Working solution to extend reach and speed of customer service.
- Equalities Recovery Action Plan – including Equalities and Community Cohesion Strategy.
- YCC handled 410,535 calls in the year 2007/8.
- Customer satisfaction surveys - 99% of respondents were either 'fairly' or 'very satisfied' whilst the second survey showed an increase in those 'very satisfied' from 79% to 93%.
- Reduced number of abandoned calls by 96%, from 16.3% to 0.7%.
- Increased the percentage of calls answered in 20 seconds during the year by 105%, from 46.7% to 95.7%.
- Reduced the average speed of answer by 83%, from 41 to 7 seconds.
- Increased the average call handling time by 14%, from 1.39 to 1.59 seconds.

- Increased the number of service requests handled by 42%.
- The new web-site experienced 1,388,590 visits over the course of the year at an average of 3,793 per day representing 990,930 visitors.
- Transactional web site with 33k transactions processed.
- The YCC collected payments of £1.64m from nearly 13,000 transactions, up 97% on the previous year.
- 88% reduction in complaints in the YCC in 2007/8.
- 72% of Revenues transactions handled entirely at point of customer contact.
- 96% of all service requests completed within SLA – 98.91% completed within 1 day of the SLA (up from 92% last year).
- Council Tax call answering at year end billing time (for the months of March and April) radically improved – 91.4% calls answered in 20 seconds - up from 23.3% last year. During that period the abandoned call rate was just 0.7% this year compared to 39.3% last year.
- Processing times for Benefit claims have improved better than target – 29 days against a target of 32 days - on average 17% quicker than last year.
- Change of circumstances are handled 20% quicker - 12 days against a target of 14 and last years performance of 15.
- Collection rates are better than last year – Council Tax up by 0.52% and Business Rates by 0.13%.
- Achieved accreditation to the National Customer First Contact Centre accreditation scheme.



Executive**30th June 2008**

Report of the Head of Finance

General Fund - Provisional Revenue Out-turn 2007/08**Summary**

1. This report sets out the projected 2007/08 out-turn position on the General Fund Revenue Account, the Housing Revenue Account (HRA), the Collection Fund and the Public Sector Agreements (PSAs) for 2007/08. In line with this information it asks the Executive to consider requests for underspent project budgets to be carried forward and resultant transfers to reserves.
2. Detailed out-turn reports have been presented to all Executive Members via EMAPs. This report therefore focuses attention on key issues which have arisen in line with CPA expectations. As a result this report summarises overall performance but only provides detailed information on those areas which are deemed to be significant due to the reasons for their occurrence or the overall size of the variance.
3. It should be noted that responsibility for a number of corporate budget areas is reserved to the Executive and, as such, areas are reported at a more detailed level.
4. All general fund portfolio areas except Children's Services, Leisure and Culture and Chief Executives Department have managed to out-turn within their budget level of funding. Overall these areas have combined to provide an underspend of £2.56m. In addition corporate budget areas have also contributed a £3.76m underspend providing an overall underspend of £6.32m.
5. To achieve these objectives the report focuses on the following issues:
 - a. the provisional out-turn for the General Fund, which comprises departmental budgets and centrally held budgets (paragraphs 16 to 50 and the requests to carry budgets forward into 2008/09 (paragraphs 51 to 55);
 - b. key issues identified as part of the out-turn process;
 - c. out-turn details for the Housing Revenue Account and other non-general fund budget areas (paragraphs 29 to 31 and 43 to 44);
 - d. the out-turn position on the Collection Fund (paragraphs 56 to 57);
 - e. an update on the achievements of efficiency savings/additional income incorporated into the 2007/08 budget (paragraph 58);
 - f. the out-turn position on the use of Reserves and Balances (paragraphs

- 59 to 63);
- g. the position of the Local Public Service Agreements (paragraphs 66 to 67);
- h. An updated position on the use of the 08/09 contingency (paragraph 68).
6. As a result of the action taken within directorates to manage the overspends identified in earlier monitoring reports there has been an improvement of £2.901m from the last monitor. As shown below this will enable £4.807m to be transferred into the general fund reserve.

	£'m
Portfolio Underspends	3.027
Portfolio Overspends	-0.467
Corporate Underspends	3.760
Total	6.320
Carry Forward Requests	-1.513
Net Underspend	4.807
Amounts required to support 2008/09 budget	-1.823
Amount approved by Urgency for equal pay	-0.550
Available for future support of reserves	2.434

7. The level of total reserves at 31 March 2008 incorporating these underspends but before allowing for agreed carry forwards is £11.386m. After allowing for the use of balances already approved as part of the 2008/09 budget process (£1.823m), the carry forward requests (£1.513m), utilising underspends for newly identified pressures (£28k), requests for supplementary estimates in 2008/09 (£164k), increased NDR rebates (£150k) and the transfer of funds in from the insurance reserve (£300k) the available level of reserves at 31 March 2009 is forecast to reduce to £8.308m. The minimum level of recommended reserves for 2007/08 is £5.201m (£5.361m in 2008/09). The position on reserves and balances for 2007/08 is further discussed in paragraphs 60 to 64.
8. While the overall reserves position and out-turn have improved due to a number of one-off occurrences this masks the true financial position of the authority. In overall terms the council faces a number of on-going budget pressures and in future budget rounds we will need to identify appropriate actions to control and mitigate them. The use of reserves to plug such gaps is unsustainable as it merely offsets problems to the future and can lead to a continuing deterioration in the council's underlying financial position. The council's policy of only using one-off resources to meet one-off spending pressures is designed to explicitly negate such a risk.
9. In addition to the overall resilience of the council's budget the report highlights a number of key concerns for members to consider:
- The scale of underspends within the Resources and HASS Directorates and on the Corporate Budgets;
 - The scale of pressures facing social care services provided by HASS and

LCCS and the need to plan for the future costs of waste disposal

- c. Whether improvements should be made to the quality of financial monitoring within the authority.
10. The other main messages in the report are as follows:
- a. Children's Services reported an underspend against the Dedicated Schools Grant (DSG) budgets of £0.422m. As the approved budgets already included an assumed deficit carry forward to 2008/09 of £198k, this means that a net sum of £224k is now required to be held in a reserve to spend on schools in future years (i.e. £422k underspend against budget, less the already assumed £198k deficit carry forward).
 - b. The HRA working balance is provisionally £6.546m of which £0.359m is required as a carry forward to 2008/09 to fund decent home elements of the capital programme and £0.039m is requested to be carried forward.
 - c. Neighbourhood Services are reporting a gross surplus of £0.148m against a year end deficit budget of £0.229m on their trading account (this represents a £144k underspend). It is proposed to transfer £144k to the trading account reserve to assist with future pressures.
 - d. The collection of in-year debt on both council tax and national non-domestic rates (NNDR) has improved from those experienced during 2006/07 and the year-end surplus on the total Collection Fund is £417k, which is £168k more than that included in the 2008/09 budget. This will release £135k to the council as part of the 2009/10 budget setting process.
 - e. In examining the apparent movements between the second monitor and this report it has become clear that the council needs to make improvements in its financial management arrangements. During 2008/09 further work will need to be undertaken to produced enhanced management awareness of the underlying financial position through improvements to budget forecasting and the identification of slippage.

Background

11. The council requires departments to maintain expenditure within their overall budget allocation. Where an unpredictable event occurs that is not manageable within these budgets, a report should be presented to the Executive seeking additional funding either through an allocation from the contingency fund or from reserves. In line with this requirement all departments have taken positive action to ensure that tight financial controls are in place to manage spending pressures within their allocated resources.
12. The out-turn is based on the latest balances after the closedown of all the Council's revenue and capital accounts and the finalisation of the year end accounting exercise, which takes all known outstanding liabilities and income due to the Council into consideration. The Executive should note that there is the possibility of further adjustments following the Audit Commission's formal review of the accounts during August and September.
13. The Executive should also note that all the provisional out-turn reports have

been prepared prior to the implementation of year-end pension adjustments in order to comply with accounting standard FRS17. This has been done in order to keep consistency with figures reported in monitoring reports throughout the year. The FRS17 adjustments will be included in the figures reported within the Statement of Accounts which is elsewhere on this agenda.

14. There has been a continued improvement in the Council's position since the second monitoring report projected an underspend of £2.901m that in itself showed a significant improvement on the first monitor which gave a projected overspend of £0.421m. There are many reasons for this and these are set out in the body of this report and in the earlier detailed reports submitted to EMAPs.
15. The financial year end is an artificial cut off point, essential for control and reporting purposes. However, the existence of an underspend at that particular date does not mean that the resources are no longer required to complete ongoing projects. Through the carry forward of such funding into the new financial year on-going projects can be better managed especially through the removal of perverse incentives for budget holders to spend outstanding budgets before the year-end. The total amount recommended for carry forward is £1.513m. The details of these proposals are set out in full in Annex 4.

The General Fund

16. The General Fund net expenditure budget for 2007/08 set by Council on 1st March 2007 was £105.088m (£103.226m before the use of balances and reserves). Following the in year adjustments detailed at Annex 1 this budget increased to £106.911m.
17. The summary out-turn for the General Fund is shown in Table 1. A comparison of the movement in the projected under and overspends from the last monitoring report is set out in Annex 2. Information on the components of the variations can be obtained by reading the individual EMAP reports. As central budgets are not reported to an EMAP an analysis of the key items at Annex 3.

Table 1 – Summary Out-turn which comprise current under and overspends, central services is included

Portfolio	Current approved gross budget				Provisional out-turn £000	Variance Out-turn to Net Budget	
	Gross Budget £000	Notional Interest £000	Income £000	Net Budget £000		£000	%
Children's Services	69,216	5,338	(47,360)	27,194	27,608	414	+1.5
Leisure and Culture	12,180	1,102	(4,404)	8,878	8,925	47	+0.5
Economic Development	5,584	180	(3,405)	2,359	2,343	(16)	-0.7
City Strategy	31,083	3,403	(19,633)	14,853	14,804	(49)	-0.3
Neighbourhood Services	20,981	434	(6,819)	14,596	14,219	(377)	-2.6
Chief Executive's Department	9,708	-	(4,605)	5,103	5,106	3	+0.1
Resources	65,085	2,588	(61,556)	6,117	4,584	(1,533)	-25.1
Housing General Fund	14,565	84	(12,976)	1,673	1,563	(110)	-6.6
Adult Social Services	57,978	778	(24,310)	34,446	33,507	(939)	-2.7
Total of Portfolios	286,380	13,907	(185,068)	115,219	112,659	(2,560)	-2.2
Centrally Held Budgets							
Notional Interest	-	(13,907)	-	(13,907)	(13,907)	-	-
Contribution from Cap Finance Account	-	-	(8,448)	(8,448)	(8,448)	-	-
Treasury Management	11,373	-	(4,106)	7,267	4,927	(2,340)	-32.2
Other Central Budgets	6,580	-	-	6,580	5,131	(1,449)	-22.0
General Contingency	200	-	-	200	-	(200)	-100.0
Non-availability of Trading profit/loss	-	-	-	-	229	229	
Non DSG General Fund Total	304,533	-	(197,622)	106,911	100,591	(6,320)	-5.9
Children's Services (DSG)	84,107	-	(84,107)	-	(422)	(422)	
General Fund Total	388,640	-	(281,729)	106,911	100,169		

18. All general fund portfolio areas except Children's Services and Leisure and Culture have managed to out-turn within their budget level of funding. Overall these areas have combined to provide a £2.56m underspend. In addition corporate budget areas have also underspent by £3.76m producing a gross underspend on the non-dsg general fund of £6.32m. Children's Services has overspent by £414k, or by 0.6% against gross general fund turnover of £69,216k, although this excludes the Dedicated Schools Grant (DSG) element of the budget that underspent by £0.422m compared to the approved budget.
19. As explained earlier this report does not look to provide a full detail of all services but instead focuses on those areas where significant variances have occurred. Members may wish to focus their attention on the following areas and issues:
- a. Significant Underspends
- Resources have underspent by £1.533m (2.3%). This is largely due to improved collection of housing & council tax debt, recovery of housing benefits overpayments, slippage in the replacement FMS and social care systems. After carry forward requests of £0.66m, there is a net underspend of £0.873m to contribute to reserves. More details are provided in paragraphs 34 to 37.
 - Central budgets have underspent by a total of £3.76m principally comprising Treasury Management £2.34m (32.2%) and other Central Budgets £1.449m (22%). Full details of the position on central budgets is reported at paragraphs 45 to 50.
- b. Whilst the overall reserves position and out-turn have improved due to a number of one-off occurrences this masks the true financial position of the authority. In overall terms the council faces a number of on-going budget pressures and in future budget rounds will need to identify appropriate actions to control and mitigate them. Particular concern attention may wish to be directed at the resilience of budgets in:
- Housing and Adult Social Services.
 - Waste Management
 - Leisure, Culture and Childrens Services.
- c. The overall quality of monitoring, especially in relation to the variations between the second monitor and this report.

LCCS

20. LCCS has out-turned with a deficit of £39k, an improvement since the last monitor of £464k. Within this there is a deficit of £414k on Children's Services General Fund budgets and Leisure and Culture of £47k, this is supported by underspends on DSG budgets of £422k. The basis of this was reported to the Children's Services and Leisure and Culture EMAPs in June 2008¹. However this position masks particular pressures, most notably in

¹ It should be noted that the Leisure and Culture underspends are the result of a number of events that due to their individual scale are not dealt with in this report.

respect of the position within Children's Social Care where additional costs of £592k have been identified mainly due to an increase in the number of looked after children requiring fostering support.

21. Members need to be clear about the significance of the overspend in Children's Services. Most of the pressure relates to the increase in the number of looked after children requiring fostering support and Home to School Transport for children with special educational needs. Both of these service areas are demand led and expenditure is likely to be recurring in 2008/09 with pressures already identified of £592k. Of this a sum of £472k has already been provided for when the 2008/09 budget was set (£250k in the Children's Services budget and £222k in the corporate contingency budget) leaving an estimated shortfall against available resources of £120k. An update will be reported as part of the first 2008/09 monitor cycle when the directorate is expected to request the release of the contingency provisions.
22. The majority of the Directorate's underspend has occurred in areas funded by the DSG where budgets have underspent by £422k (an increase of £390k from the second monitor). Under the regulatory framework attached to the DSG, any unspent grant can either be allocated to schools at the year-end or carried forward and added to the following financial year's DSG. Following consultation with the Schools Forum, it is proposed to carry forward the final DSG underspend to 2008/09. As the approved budgets already included an assumed deficit carry forward to 2008/09 of £198k, this means that a net sum of £224k underspent DSG will be carried forward to 2008/09 (i.e. £422k underspend against budget, less the already assumed £198k deficit carry forward). The major changes from the second monitoring report are set out briefly below:

	£000
<u>Underspend Areas</u>	
a) Underspend on the Schools Specific Contingency budget mainly in relation to provision for the Job Evaluation.	(113)
b) A reduction in the costs charged against the NQT training budget.	(47)
c) An increase in the underspend on Out of City Placements of £95k but offset by increased costs on Inclusion Support Packages of £48k.	(155)
d) An increase in income generated from selling places in York Special Schools to other Local Authorities	(85)
e) Reduction in overspend on Nursery Education	(34)
<u>Overspend areas</u>	
f) Additional costs at the Pupil Referral Unit	58

City Strategy

23. City Strategy has out-turned with an underspend of £65k (Economic Development £16k, City Strategy £49k). There is no further discussion of the Economic Development position in this report other than to note the request for a carry forward of £15k to enable yorkengland.com to provide a service on

property enquires for retail premises.

24. The remainder of City Strategy has underspent by £49k. As this underspend has been achieved despite shortfalls in key income the out-turn position masks what may be significant underlying problems in the directorate. Key variances which should be noted by the Executive are:

	£000
<u>Underspend Areas</u>	
a) Staff Based Savings	(313)
b) Deferred highways maintenance spend as approved by Executive (to fund anticipated overspend on concessionary travel)	(370)
c) Yorwaste increased dividend	(154)
<u>Overspending Areas</u>	
d) Additional costs of concessionary travel	379
e) Shortfall on Land Charges income offset by Building Control Income	106
f) Shortfall on Penalty Charge Notices	155
g) Shortfall on Park & Ride income	116

25. It is important to consider whether any variances highlighted are of a recurring nature that will affect 2008/09. The shortfall of Planning income remains a concern, as does the projected reduced income from PCN's and Concessionary Travel.

26. From the £47k underspend there is a carry forward request of £31k for waste PFI procurement costs.

Neighbourhood Services

27. The Neighbourhood Services budgets are split into Non-Trading which is underspent by £377k and Trading activities which is overspent by £229k. This is a significant change from the last monitor when the projected position was a combined overspend of £639k.

28. On the Non-Traded Services there have been two significant underspends and one significant overspend. These are:

- a) Ward Committee Revenue Schemes £81k.
- b) An underspend of £370k has also occurred on Waste Processing, offset by a £361k overspend on waste collection as a result on landfill tax savings offset by the costs of collection.
- c) In addition there have been staffing vacancies across the directorate resulting in an underspend of £214k.

29. The traded services elements of the directorate had a budgeted turnover of £7m, with a target contribution of £85k to the general fund.

30. At the second monitor report Neighbourhood Services were reporting a significant trading loss, but also reported that expenditure was being closely

monitored and external work was being sought. The provisional out-turn position is that the service will have made a £229k loss on its activities without taking into account the transfer from general fund balances.

31. Early reviews of the 2008/09 budget position have identified that the traded services may face additional one-off cost pressures relating to functional areas. On this basis it is considered prudent for the Commercial Services reserve to be increased back to previous levels of £300k. If additional cost pressures in 2008/09 are avoided then this reserve could be suitably reduced in future years.
32. From the underspend of £377k, there were three carry forward requests totalling £115k all of which relate to non-traded areas. These are detailed in Annex 4.

Chief Executives Department

33. The Directorate had a slight overspend of £3k for 2007/08. The main variances are detailed below:

	£000
a) Staffing vacancies across the Directorate	(149)
b) Use of temporary and agency resources to deliver specific projects.	158

Resources

34. At £1.533m Resources has the largest underspend of any directorate; this is largely in line with the report at the second monitor, however, continues to be a cause for concern. The year end out-turn for EASY is not comparable with the monitor 2 position. The year end position relates only to transactions in 2007/08, whereas previous months have taken into account cumulative spend vs budget. This has resulted in a reduction of £904k in the underspend. It is important to note, however, that this underspend is largely due to improved recovery of debts. Adjustments have been subsequently built into the base budget for 2008/09. Further underspends relate to slippage on the FMS replacement and Framework i systems, due to delays in procurement; a carry forward request for this project has been requested. Other carry forward requests relate to I. T. development plans and Easy project work.
35. Key variances against budget include:
 - i) Public Services – A net underspend of £608k relates primarily to the review of the Council tax benefits subsidy, achieving 100% subsidy on Local Authority Error overpayments and a reduction in the bad debt provision for benefits. These have been offset by additional costs incurred on the benefits improvement work as part of the Easy Project (£+264k).
 - ii) Financial Services – This service shows an underspend of £332k of which

£234k is due to slippage on the FMS project, and is the subject of a carry forward bid essential to fund the project implementation with the anticipated go live date early in 2009. Staff savings contribute to the balance of the underspend.

- iii) Audit & Risk Management – An overspend of £76k includes a shortfall from the Yorkshire Purchasing Organisation (YPO) dividend of £40k
 - iv) IT&T - The underspend of £250k reflects a combination of projects being reprioritised, deferred or cancelled, and projects coming in under budget. There is a carry forward bid for £129k in respect of three projects, Voice and data managed service, Electronic Data Management and Mobile working.
 - v) Easy Project – An in year underspend of £82k reflects the position against the current years programme, with funding for Phase 2 being held as a reserve balance. A carry forward bid for the underspend of £82k is required in order that the benefits integration and income collection projects may be finalised.
 - vi) Property – A net underspend of £170k is generated from several areas. There is a carry forward request of £50k to fund the business management review.
36. The overall scale of the directorate's underspend and the underlying change from previous months are both a cause for concern, although approximately 2/3 of the underspend was due to one-off issues. This is an issue which the council as a whole will need to address as part of future monitoring arrangements.

37. The directorates carry forward requests are detailed at Annex 4.

Housing and Adult Social Services

38. In total the general fund part of HASS has underspent by £1,049k an increase in the underspend of £1,272k from the projected position in the second monitor. As the Housing General Fund element of the overall underspend was £110k, a £85k improvement since monitor two this is not dealt with further in this report other than to ask the Executive to note that the service has made requests for a £18k carry forwards detailed at Annex 4,
39. The major reasons for the underspend on the remainder of HASS expenditure are as follows:
- a) continued staff vacancies, equating to £1,039k across the directorate.
 - b) Increased success in obtaining additional funding (continuing health care (CHC) and independent living fund (ILF)). Whilst aware of the changes in rules the impact was unknown. The impact has not been built into the base budget for 2008/09.
 - c) Efficiencies in the in-house home care service were achieved earlier than

expected.

The overall scale of the directorate underspend and in particular the change from the second monitor are causes for concern and alongside other reported underspends requires addressing as part of the future monitoring arrangements.

40. The breakdown of the underspends / variances are as follows:

	£000
Corporate Services (<i>£360k underspend</i>)	
<u>Underspend Areas</u>	
a) Staffing vacancies and other miscellaneous savings to offset budget pressures across the department.	(360)
Adult Services (<i>£818k underspend</i>)	
<u>Underspend Areas</u>	
b) Internal Home Care Service – early achievement of efficiencies and staff vacancies	(450)
c) Residential & Nursing Care – due to lower than anticipated admissions	(291)
d) Elderly Persons' Homes – £156k on staffing due to cover for staff sickness and increased staffing levels in order to comply with CSCI standards, offset by £290k – additional income from customers.	(149)
<u>Overspending Areas</u>	
e) Community Support Budget	125
f) Repairs and maintenance as a result of the Repairs Partnership	154
Mental Health Services (<i>£289k underspend</i>)	
<u>Underspend Areas</u>	
g) MH staffing – difficulty throughout the year in recruiting to certain posts resulting in significant under spend.	(107)
h) Fewer placements made in residential and nursing.	(177)
Learning Disabilities Services (<i>£526k overspend</i>)	
<u>Overspend Areas</u>	
i) Community Support Budget	493
j) Cost of transporting Clients – continuation of previous year's pressures	228

41. The department continues to face significant financial challenges going in to 2008/09. These are currently being analysed and will be reported in future monitoring reports.

42. There were several requests for carry forwards on schemes totalling £655k, as set out in annex 4.

Housing Revenue Account

43. HASS is also responsible for managing the council's housing stock financing for which is ring fenced within the HRA.
44. The provisional out-turn on the HRA is a working balance of £6,546k at 31 March 2008, compared to the projection reported in the last monitor of £5,577k. The reasons for this increase were presented to the EMAP meeting. Of the working balance, £351k will be required to fund the re-phased works on the capital programme in 2008/09 and £8.4k will be required to fund the carry forward requests leaving a net surplus of £6,187k on the HRA. It is recommended that this surplus remains on the HRA and be used to fund HRA revenue expenditure or as a revenue contribution to capital expenditure (£5.857m between 2008/09 and 2010/11) to fund the capital programme.

Centrally Held Budgets

45. There are a number of budgets which are held centrally and reported direct to the Executive.

Treasury Management (Out-turn Projection (£2,340k), movement from second monitor projection (£664k))

46. Treasury Management has spent £4,927k against the revised net budget of £7,267k, an underspend of £2,340k. The key variances are detailed below:
- a) An increase in average balances of over £23m against original budget has resulted in an £919k underspend, this is largely attributable to capital programme slippage and the early receipt of major capital grants in advance of profiled spend.
 - b) Increases in interest rates have contributed to a £553k underspend. Interest rates rose twice in the year from 5.25% to 5.75% by July as inflationary concerns became prominent before finishing the year at 5.25% following interest rate cuts in December and February as the inflationary concerns diminished and fears about the slowdown in the global economy and credit crunch concerns gathered pace. The interest earned on the Council's investments was much higher than originally budgeted as interest rates went against advice from the council's professional advisors when the budget was set. Expectations were that interest rates would fall to 4.75% by the end of the year, however due to the rise in inflationary concerns in the early part of the financial year and the drying up of the liquidity markets from August onwards interest rates paid on investments were markedly higher than anticipated.
 - c) The higher than anticipated cash balances and unfavourable long term borrowing rates has meant that £5m of planned £12m borrowing has been delayed therefore not incurring interest payments, resulting in an

underspend against the budget of £506k.

- d) A number of capital programme schemes financed using prudential borrowing were delayed in spending in 2006/07 resulting in a Minimum Revenue Provision saving of 156k.
- e) An additional dividend of £115k was received in relation to BCCI (which had not been predicted at monitor 2).

Other Central Budgets (Out-turn Projection (£1,449k), movement from second monitor projection (£806k))

47. These budgets, detailed in Annex 3, cover a variety of centrally held funding. Members were advised in the second monitor that it was likely that this area would underspend, but that this would depend upon demands from other service areas for corporately held resources. These have not materialised and as a result the provisional out-turn is showing an underspend of £1,449k. Key elements of this underspend are shown below.

	£000
<u>Underspend Areas</u>	
a) Specific Resources for Job Evaluation	(441)
b) Capital Programme Running Costs	(351)
c) Prices Provision	(580)
d) Net redundancy, cost of early retirements and pensions payments	(11)

48. The main causes for the change are that not all of the money set aside for project costs on job evaluation (£441k) were incurred in 2007/08. A c/f request of £340k has been made against this underspend. It has not been necessary to utilise provisions set aside for running costs of capital schemes and prices (including fuel prices) of £351k. There has not been the directorate call on prices that had originally been set aside. It should be noted that as part of the 2008/09 budget process £889k was given up as a saving from these budget areas.
49. The total expenditure on pensions and redundancy payments is £1,193k, an underspend of £11k. However, of this spend only £804k represents one off costs with £817k resulting in recurring costs in future years.

50. **Contingency**

As Table 2 summarises in terms of the general contingency there were pressures of almost £800k highlighted during the budget process, against which £600k was set aside. Departments have generally been successful in their attempts to contain pressures within their resource allocation. Overall there has been a small under allocation of the contingency. All of the £400k released is recurring in 08/09.

Table 2 – General Contingency

Amount included in Budget Papers £000	Portfolio Area		Recurring (R) One-off (N)	Approved in Year £000	Budget Total £000
		Original Allocation			600
		Releases Approved in Year			
50	City Strategy	Land Charges - Service Pressure	R	50	-50
150	City Strategy	Planning Income - Service Pressure	R	150	-150
200	City Strategy	Concessionary Fares - Provision set aside in case appeals against local schemes for concessionary fares lead to additional costs	R	200	-200
		Balance Remaining			200
		Items identified during budget setting but not requested in year			
170	Corp Servs	Pay Award - Allowance if pay award reaches 2.75%	R		
100	Corp Servs	VER and Redundancy Provision - Recurring allowance for additional pension and VER costs	R		
275	HASS	Social Care - Provision for unexpected social care costs	R		
50	Neigh Servs	Double Taxation - Funding of Double Taxation claims submitted by Parish Councils	R		
30	Neigh Servs	Non-Diversion to Landfill - To offset risk that landfill levels are not achieved	R		
		Total Approved		400	
		Balance unallocated			200

The item for provision of unexpected social care costs (£275k) is an issue that remains unresolved, and the EMAP for Housing and Adult Social Services has requested that budget be carried forward into 2008/09 to address this issue.

Carry Forward Requests

51. A major reason for the underspend shown in table one is the value of the projects for which budgetary provision existed in 2007/08, but where the projects could not be completed. The EMAP's have made requests for these budgets to be carried forward into 2008/09, and full details of the requests

from individual EMAPs for approval by the Executive, together with the bid for corporate budgets, are shown in Annex 4 (total £1,513k). Table 3 summarises the requests and compares them to the under/over spends in the provisional out-turn. In addition it has been assumed that the EASY transfer to reserves for future use on the project of £1,388k is not requested as a carry forward.

Table 3 – Carry Forward Requests

Portfolio	Provisional Out-turn £000	Bids to carry budgets forward £000	Net £000
Children's Services	414	-	414
Leisure and Culture	47	-	47
Economic Development	(16)	15	(1)
City Strategy	(49)	31	(18)
Neighbourhood Services	(377)	115	(262)
Chief Executive's Department	3	-	3
Resources	(1,533)	660	(873)
Housing General Fund	(110)	18	(92)
Adult Social Services	(939)	284	(655)
Total of Portfolios	(2,560)	1,123	(1,437)
<u>Centrally Held Budgets</u>			
Notional Interest	-	-	-
Contribution from Cap Finance Account	-	-	-
Treasury Management	(2,340)	-	(2,340)
Other Central Budgets	(1,449)	390	(1,059)
General Contingency	(200)	-	(200)
Non-availability of Trading profit/loss	229	-	229
General Fund Total	(6,320)	1,513	(4,807)

52. It can be seen from the preceding table that the total of the requests to carry budgets forward represent approximately 25% of the total general fund underspend in the year. On a departmental basis, the requests to carry forward budget are all less than the departmental underspend in the year, which is one of the criteria for carry forward. The other criteria are:
- Specific approved projects.
 - Not completed in year.
 - The projects are still required by the Council
53. It should be remembered that all carry forward sums will become one-off budgets for 2008/09. Approving all the carry forward requests will mean that the revised net increase in general fund balances will be £4,807k.

54. In addition to those General Fund requests shown above the HRA have also requested that £8.4k be carried forward into 2008/09. Again, details of this request are shown in Annex 4.
55. Another request has been received to utilise £28k of the 2007/08 underspend to provide financial support for users of the Barbican Auditorium for which no budgetary provision has been made. The details are as follows:

Three organisations are recognised as having a special civic status in the future arrangements for the Barbican auditorium: The Guildhall Orchestra, the Lord Mayor's Carol Concert, the Festival of Remembrance. The Council has previously provided financial assistance, particularly to the Guildhall Orchestra, in response to the difficulties caused by periods when the Barbican has not been available. In the case of the Guildhall Orchestra this period has been extended well beyond that originally envisaged. Furthermore, as the refurbishment is now due to start, the auditorium will not be available this year to the carol concert or the Festival of Remembrance due to the building works.

It is proposed that £26k is made available to support the Guildhall Orchestra recognising that they are incurring additional hire costs of around £3k for each of their concerts (three per year) and that the move to a variety of other venues has inevitably eroded the audience and therefore their income. This funding would allow the orchestra to re-establish its financial position and would replace the ongoing funding of £2.7k per annum from 2009/10 that the Council currently provides.

A further £2k would be made available as necessary to the Festival of Remembrance and/or the Carol Concert to assist with any additional costs that they may incur.

Collection Fund

56. The Collection Fund is a statutory account and receives all Council Tax and National Non-Domestic Rate (NNDR) income, together with any residual income from pursuing remaining arrears from the community charge. There is a legal requirement that an estimate of the year-end position is made during January to enable the council taxes of the billing authority (CYC) and the major precepting authorities (North Yorkshire Police and Fire Authorities) to be set including either a reduction (if there is calculated to be a surplus available) or an increase (if there is a deficit to recover) for the projection. The projection made in January 2008 was that there would be a £249k surplus at 31 March 2008, of which £200k was the Council's share. This sum was used when setting the 2008/09 council tax level .
57. The provisional out-turn gives a surplus of £417k and this reflects the increased level of collection (97.3% from 96.8% in 2006/07). The increased year-end surplus means that there will be £135k (CYC's share of the additional surplus) available for distribution to York Council Tax payers in 2009/10, or £0.21 per band D.

Update on the Achievements of Efficiency Savings/Additional Income/Growth Items Incorporated into the 2007/08 Budget

58. The 2007/08 budget included £6.3m of budget/efficiency savings and £8.3m for growth. With few exceptions they were all achieved. Where there were problems these were highlighted in relevant EMAP reports. Outturns on these growth and saving items are fully reflected in the outturn figures within this report. The total of savings items that could be classed as efficiencies under the Governments criteria contained in the budget proposals was £2.9m and a total figure of £2.8m of efficiency savings has been achieved during the year. The achievement of £2.8m efficiencies means that the council has exceeded the Government savings targets by £500k.

Reserves and Balances

59. Members are reminded that balances should only be used to fund non-recurring, that is one-off, expenditure. Using balances to fund recurring expenditure items creates funding problems in future years, as the resources no longer exist, but the expenditure will. Also, any further large approvals against these balances will reduce the scope for Members to utilise reserves to fund overspends or new investment in future years.
60. The available balance at the start of 2007/08 on the General Fund reserve amounted to £7,702k. Members have agreed the use of balances to fund several large and non-recurring items of expenditure amounting to £3,850k. In addition members agreed to release £300k from the Bellwin Reserve and transfer £189k from the additional refunds on NDR. Additional LABGI grant of £537k was received and net transfer of £332k from earmarked reserves no longer needed were transferred to GF.
61. The balance on the general fund reserve, before the year-end transfer is £5,210k. If the recommendations in this report are approved, there will be a transfer into this reserve of £6,320k of which £1,513k will be earmarked for carry forward requests). This will result in a projected general fund balance at 31 March 2008 of £11,530k (£10,017k after carry forwards are taken into account).
62. There are other revenue reserves, which are not included in the General Fund balance but which, as they are available to support general expenditure, are included in the reserves comparison purposes for the CPA calculation. These comprise the Neighbourhood Services Trading Services reserve (which is recommended to remain at £300k) and the Venture Fund (provisional out-turn £1,898k, and detailed in Annex 6). These additional balances are also included on Annex 5. The total of all the reserves is projected to be £13,728k at 31 March 2008. This compares to a recommended minimum level of reserves for 2007/08 of £5.2m.
63. Annex 5 provides projections of the council's reserves position until 2010/11. This shows that based on current information the overall reserves at 31 March 2011 will be £9.144m, £3,458k above the estimated minimum reserve threshold for that year of £5.686m. It should be noted that this assumes that no significant one-off events (such as flooding) have to be dealt

with in this period. Should such an event occur then the level of reserves available would be reduced from that shown. A summary of these projections is shown below:

	2007/8 £000	2008/9 £000	2009/10 £000	2010/11 £000
General Reserve	11,386	8,308	7,270	7,042
Neighbourhood Services				
Trading Reserve	439	300	300	300
Venture Fund	2,729	3,065	2,932	1,802
Total	14,554	11,673	10,502	9,144
Minimum Reserve	5,201	5,361	5,521	5,686
Threshold				
Headroom in Reserves	9,353	6,312	4,981	3,458

Virement Requests

64. The Council's financial regulations require that any virements between service plan heads of more than £100k are agreed by the Executive as part of the budget monitoring report. There are no requests in this out-turn report.

Supplementary Estimate Requests

65. The Council's financial regulations require that any requests for supplementary estimates are considered by the Executive as part of the budget monitoring report. To date no supplementary estimates have been requested, other than the release of contingency items covered elsewhere in the report.

Second Public Service Agreement (PSA)

66. The Council received government funding of £933k for 12 PSA targets under the second agreement. In addition the Executive agreed that a further sum of £1,095k would be made available from the Venture Fund to enable the schemes to meet their 'stretch' targets. The schemes are to be run over two years, and Officers have implemented proposals that would best achieve the targets set. Table 4 shows the individual targets, budgets, cumulative spend, the % of the stretch target achieved and an estimate of the value of the reward grant to be received at the end of the scheme.

Table 4 – PSA 2

Target		Approved Budget £000	Total Spent £000	Balance Remaining £000	% reward achieved %	Value of reward £000
Improve levels of street cleanliness	PSA1	181.6	181.6	-	100% on 1 target ? On other	262.3
Increase the recycling rate	PSA2	362.3	362.3	-	100	327.9
Reduce burglary	PSA3	19.6	18.1	1.5	100	327.9

Reduce violent crime	PSA4	20.4	20.4	-	0	0
Reduce vehicle crime	PSA5	20.0	19.0	1.0	100	327.9
Reduce antisocial behaviour and improve community safety	PSA6	84.0	40.9	43.1	100% on 1/3 targets	109.3
		161.0	111.9	49.1	100	327.9
Reduce offending by young people	PSA7	237.0	159.8	77.2	0	0
Improve road safety	PSA8	61.7	26.6	35.1	0	0
Increase benefit take up by older people	PSA9	53.0	42.7	10.3	0	0
Improve literacy, numeracy and employment skills	PSA10	262.0	198.1	63.9	60% on 2 and 100% on 1 target	203.4
		285.0	285.0	-	100	327.9
Improve life chances for young people	PSA11	175.0	103.9	71.1	100% on 1 target ?	65.6
					On other	
Total		1,922.6	1,570.3	352.3	500.0	2,280.1

67. As the PSA funding is subject to separate monitoring arrangements, the budgets and spend have not been included in the departmental totals in Table 1.

2008/09 contingencies.

68. Annex 7 sets out the list of items allocated for use of the contingency during 08/09 if needed, updated for those items where executive has approved their use already in 08/09.

It will be noted that it is currently estimated that out of the £1,233k set aside for contingency, it is expected that £991k will now be needed, although it is at an early stage in 08/09, this position may change.

It is clear that some of the contingency items will be required and it is requested that the Executive consider the release of these amounts as set out below:-

- a) Newgate Market income. This has repeatedly not achieved its target and in 2007/08 it was £60k overspent. Whilst management action is being taken to review costs and consolidate income levels there is very little prospect of this being brought back in line with budget and hence a request to release the £20k to Economic Development from contingency is requested.
- b) There was an amount of £38k included in contingency for 5 IT Development bids. The business cases for these bids have been updated and re-considered by the Corp IT Development. Summaries of the business cases are included at Annex 7. The total latest estimated

revenue costs of the bids is £54,289, approximately £16.5k more than set aside in the contingency. A verbal update, identifying priorities, from the Corporate IT Development group will be provided at the meeting.

Consultation

69. With the exception of corporate budget items responsibility for which is reserved to Executive, all elements of this report have been consulted upon as part of the wider Finance and Performance Monitoring Reports considered by individual EMAPs. These considerations have resulted in the carry forward requests detailed at paragraphs 51 to 54.

Options

70. Executive could choose to not agree some or all of the individual carry forward requests outlined in this budget. To do so would have an impact upon the continued delivery of specific areas of work and reprioritisation of resources within directorates.
71. The Executive could chose not to support the release of some/all of the contingency items requested in this report. It is likely that these requests will be required during 08/09 and subsequent requests to the Executive will be made.

Corporate Priorities

72. The principal function of this report is to provide a snapshot of the council's financial performance during the year 2007/08. As such it contributes to the proper financial management of the authority. The overall financial out-turn for the Authority helps ensure the delivery of the corporate priorities and strategy.

Implications

73. Financial - these are addressed in the body of the report.
74. Human Resources - there are no human resource implications.
75. Equalities - there are no equality implications.
76. Legal - there are no legal implications.
77. Crime and Disorder - there are no crime and disorder implications.
78. Information Technology - there are no information technology implications.
79. Property – there are no property implications.

Risk Management

80. Budget monitoring is a key element of the management processes by which the council mitigates its financial risks. This report provides members with a detailed summary of the council's performance in 2007/08 and the context in

which its 2008/09 budgets must operate. The issues identified at paragraph 19 highlight some key risk issues which members may wish to consider.

Conclusions

81. While at £6,320k the General Fund Revenue Account out-turn is significantly better than that which had been predicted the one off nature of many of the savings have masked the underlying financial problems that the council faces. In looking ahead if the council is to maintain its track record of providing high quality services then it will need to continue to robustly address its financial pressures that exist. This will remain a key priority for future budget processes.
82. The extent of the projects to which the Council is committed that have not been completed in 2007/08 can be demonstrated by the requests to carry forward £1,513k into 2008/09 in line with the preceding year), to enable work to be completed. If approved, this will reduce the underspend to £4,807k which will be transferred into the general reserve. Members are asked to review these requests to carry forward funds.
83. While this transfer will provide headroom in the council's reserves and balances over the recommended minimum balances such headroom will, as annex 5 demonstrates, be required to provide funding for developments in future years. Members are reminded that balances should only be used to fund non-recurring, that is one-off, expenditure. Using balances to fund recurring expenditure items creates funding problems in future years, as the resources no longer exist, but the expenditure will. Also, any further large approvals against these balances will reduce the scope for Members to utilise reserves to fund overspends or new investment in future years.

Recommendations

84. Members are asked to:
 - a. Note the provisional out-turn position;
 - b. Consider the request to carry forward provision for unexpected social care costs (£275k) which was provided for within the contingency but which remains unresolved as set out in paragraph 50
 - c. Review the requests for carry forward funds into 2008/09, totalling £1,513k for general fund services, detailed in Annex 4 and summarised in paragraph 51, and determine which ones should be approved;
 - d. Approve the request to carry forward £8.4k for the housing revenue account, detailed in Annex 4 and summarised in paragraph 54;
 - e. Consider the request for additional funding of £28k for the Guildhall Orchestra to be provided from the 2007/08 underspending as set out in paragraph 55, and determine whether to approve it;
 - f. Agree the maintenance of the Neighbourhoods Trading Account at £300k by releasing £144k from GF as set out in paragraph 62.

- g. Agree the release of contingency items in 2008/09 of £20k in relation to Newgate Market and £38k for IT development bids highlighted in paragraph 68.
- h. Approve the transfer of the remaining underspend to revenue reserves;
- i. Note the enhanced priority placed by the Director of Resources on the need to address the issues identified as part of future monitoring rounds.

Author:

Author's name
Sian Hansom
Head of Finance
Dept Name Resources
Tel No. 1745

Janet Lornie
Accountancy Manager
Tel No. 1170

Chief Officer Responsible for the report:

Chief Officer's name Sian Hansom
Title Head of Finance

Report Approved yes **Date** 16/6/06

Chief Officer's name Sian Hansom
Title Head of Finance

Report Approved yes **Date** 16/6/08

Specialist Implications Officer(s)

Finance – Sian Hansom, Head of Finance
Other Implications – Not Applicable

Wards Affected: List wards or tick box to indicate all

All yes

Background Papers

Second Finance and Performance Monitoring Report
Out-turn Monitoring Reports to Individual EMAPs

Annexes

1. General Fund Net Expenditure Budget
2. Comparison Between Under/Overspends in Last Monitoring Report and the Provisional Out-turn
3. Other Centrally Held Budgets
4. Requests to Carry Budgets Forward into 2008/09
5. Reserves Position and Forecast
6. Venture Fund
7. IT Development Bids summary

General Fund Net Expenditure Budget

	2007/08	
	£000	£000
Net Expenditure Budget		103,226
Use of Balances (agreed at Budget Council)		1,312
Use of Trading Services profits (agreed at Budget Council)		550
Starting Service Net Expenditure Budget		105,088
Use of General Fund Balances Agreed During Year		
Yearsley Pool running costs (Exec 12 June 07)	40	
Carry Forward of Budgets from 2006/07 (Exec 26 June 07)	1,519	
Other identified use of 2006/07 underspend (Exec 26 June 07)	223	
Non-recurring allowance for additional pension and VER costs	278	
Contribution to Citizens' Advice Bureau	2	
To fund expenditure that cannot be funded any longer by capital receipts	200	
Christmas Lights (from additional LABGI grant received)	20	
Members Allowances (part year Council 24 Jan 08)	6	2,288
Use of Trading Services Profits Adjusted During Year		
Adjustment between trading and general fund services re allocation of savings, price inflation etc. on Neighbourhood Services	(617)	
Budget transfer to rationalise waste budgets	152	(465)
Revised Service Net Expenditure Budget		106,911

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**Comparison Between Under/Overspends
in Last Monitoring Report and the Provisional Out-turn**

Portfolio	Projected Over/Under Spend Last Monitor £000	Projected Over/Under Spend Provisional Out-turn £000	Change in Projection £000
Children's Services	+452	+414	(38)
Leisure and Culture	+83	+47	(36)
Economic Development	+9	(16)	(25)
City Strategy	+253	(49)	(302)
Neighbourhood Services	(48)	(377)	(329)
Chief Executive's Department	+41	+3	(38)
Resources	(1,551)	(1,533)	+18
Housing	(15)	(110)	(95)
Adult Social Services	+238	(939)	(1,177)
Total of Portfolios	(538)	(2,560)	(2,022)
Centrally Held Budgets			-
Notional Interest	-	-	-
Contribution from Cap Finance Account	-	-	-
Treasury Management	(1,756)	(2,340)	(584)
Other Central Budgets	(643)	(1,449)	(806)
General Contingency	(350)	(200)	+150
Non-availability of Trading profit/loss	+386	+229	(157)
Non DSG General Fund Total	(2,901)	(6,320)	(3,419)
Children's Services (DSG)	(32)	(422)	(390)
General Fund Total	(2,933)	(6,742)	(3,809)

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Detail of Other Centrally Held Budgets

These are budgets that are required to meet financial liabilities of a corporate nature or to create capacity to meet expenditure demands that occur periodically (for example a 53 week pay year).

	Net Budget £000	Projected Out-turn £000	Variance £000
<u>Pensions - Costs of Early Retirements</u> This is the cost of decisions made to allow staff to take early retirement in prior years, together with the implications of the '85-year' rule for both the Local Government Pension Scheme and the Teachers' Pension Scheme. The increased costs are due to new retirements under the latter.	664	1,193	+529
<u>Redundancy Payments</u> At the moment redundancy payments are expected to lower than the budget provided, but the saving is needed to compensate for the additional costs of retirements shown above.	968	428	-540
<u>Park & Ride - provision for staff passes costs</u> The budget included a saving to reduce the expenditure by £45k pa. It is not expected that this can be fully delivered in 2006/07, but is being looked at as part of the Job Evaluation exercise.	52	84	+32
<u>Reserve for 53 week pay year</u> The next 53 week pay year is 2007/08. This money is set aside each year to meet these occasional costs to ensure that there is no additional expenditure pressure every 5 - 6 years.	59	42	-17
<u>Employer Superannuation Contributions to North Yorkshire Pensions Fund</u> This represents the additional amount that is budgeted to meet the Council's liability on the fund.	121	-	-121
<u>Capital Programme running costs</u> This is to fund the revenue consequences of specified capital schemes. When the development 'goes live' the money will be transferred to the service budget.	351	-	-351
<u>Specific Contingency for Rental Income losses</u> This money is set aside to compensate the revenue accounts where there is a loss of rental income due to an asset being sold to generate a capital receipt to support the capital programme. Currently it is not expected that the full sum will be required this year, but it will depend on the timing of disposals.	46	-	-46
<u>Equal Pay</u> Budget Transferred from Job Evaluation	688	688	-
<u>Job Evaluation Project Team</u> Specific budget for the staff and ancillary costs involved in the job evaluation project	750	849	+99
<u>Specific contingencies set up as part of the budget process</u> This is the provision made for the costs of the job evaluation exercise. Any money not needed for the in-year costs of the project will be transferred to reserves to meet the future costs of decisions made.	1,724	1,283	-441
<u>Deferred Charges</u> Charge to revenue of costs of capitalisation powers granted for equal pay costs. This is an accounting treatment that has to be made at the year-end to meet Statutory reporting requirements, and is funded by the contribution from the Cap Finance Account	526	526	-
<u>Other central provisions</u> This includes specific contingency items set aside in the budget process which have not yet been allocated (e.g. fuel and nndr bill inflation, rent reviews) and provision for bad and doubtful debts.	631	38	-593
Total	6,580	5,131	-1,449

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Requests to Carry Budgets Forward into 2008/09

Economic Development

	£000
A request has been made to carry forward £15k. Details are as follows:	
In view of the current property market, a request is made to carry forward a £15k underspend to enable york-england.com to undertake a proactive service with respect to indigenous property enquiries, including those for retail premises. Members will be aware of the importance to the future economic prosperity of the area by attracting new companies, working with companies already located here and ultimately creating jobs. The enhanced enquiry handling service would enable a more effective, faster response to the needs of enquirers to be developed and maintained until the potential interest is converted or concluded. Given current concerns regarding retailer interest in the City Centre, it is proposed to extend the enquiry handling service to cover this important sector of the local economy. It is proposed to extend the current Service Level Agreement between the Council and yorkengland.com to cover this enhanced service.	+15.0
Total request to carry budgets forward	+15.0

City Strategy

	£000
Requests have been made to carry forward £31k. Details are as follows:	
York has joined up with its neighbouring authorities to find a long-term solution to deal with waste. Slight delays in the project means that some of the work which will need to be carried out has been deferred to 2008/09	+31.0
Total Request to carry budgets forward	+31.0

Neighbourhood Services

	£000
Requests have been made to carry forward £115k. Details are as follows:	
Target Hardening budgets underspent and it is requested that £32k is carried forward of which £18k relates to slippage on committed schemes and £14k to be utilised to fund additional projects in 2008/09.	+32.0
An underspend of £68k on the ward committees has £45k associated with committed schemes and it is requested that these are carried forward in to 2007/08. The remaining underspend is requested to assist with the development of 2008/09 Neighbourhood Action Plans.	+68.0
York Pride budgets underspent by £9k and the campaign budget by £6k and it is requested that £15k is carried forward into 2008/09.	+15.0
Total Request to carry budgets forward	+115.0

Chief Execs

	£000
There have been no requests to carry budgets forward.	
Total request to carry budgets forward	-

Resources

	£000
A request has been made to carry forward £660k. Details are as follows:	
Easy@York - To support mobile working, income collection, and benefits implementation projects	+81.5
IT&T Projects - Corporate voice and data managed service	+18.0
IT&T Projects - Consultancy work to scope & deliver maximum benefit from the Corporate Electronic Document Management Service	+62.0
IT&T Projects - Delivery of the Kirona/Vodafone Corporate Mobile solution work	+49.0
Financial Services - Project slippage on replacement Financial Management System	+234.5
Financial Services - Income Collection project - further process work is required and following this there will be the implementation costs of the policy	+45.0
Public Services - Specialist Queue Management technology to be piloted in preparation for the move to Hungate	+50.0
Public Services - Ongoing Benefits improvement work and provision of training as part of Easy@ York Project	+20.0
Audit & Risk Management - Set up costs for the new shared service vehicle	+15.0
Audit & Risk Management - Additional Resources to fund work on the competition strategy	+35.0
Property Services - Business management review to improve business efficiency and work planning	+50.0
Total Request to carry budgets forward	+660.0

Housing General Fund

	£000
A request has been made to carry forward £17.9k. Details are as follows:	
To fund the remaining work for the private sector stock condition survey	+1.9
To fund remaining legal work involving a disputed s106 agreement	+5.0
To fund licensing work delayed due to staff vacancies	+11.0
Total Request to carry budgets forward	+17.9

Adult Social Services

	£000
A request has been made to carry forward £284.3k. Details are as follows:	
IT project team due to slippage in implementing Phase 2 of the project	+60.0
Performance improvement work within Social Care teams not completed due to delays in recruitment	+25.0
Consultancy work on CSCI inspection not completed due to delays in appointment	+15.0
Fire protection work, installation of stair lifts and fire alarms at EPHs not completed	+48.0
Delays in implementation of Advice & Information project	+36.0
Customer and Expert Carer panel projects slipped into 2008/09	+10.0
Energy Certificates in social care establishments not completed due to vacancies within HASS	+11.0
Older Peoples consultation exercise	+5.3
Equalities work due to be completed in February but delayed due to other pressures	+11.0
Project staff for day service modernisation	+20.0
Individualised Budgets – delays in recruitment have resulted in this work not being completed as planned	+26.0
Installation of equipment at Flaxman Avenue and Greenworks not completed due to contractor delays	+17.0
Total Request to carry budgets forward	+284.3

Corporate Budgets

	£000
A request has been made to carry forward £390k. Details are as follows:	
There is a net underspend on the job evaluation/project team budgets of £340k. The delay in implementation means that the project team will be required into 2008/09, and this sum will fund the team for a further few months.	+340.0
Funds needed for directorates to provide project staff to support the IT Development Plan	+50.0
Total Request to carry budgets forward	+390.0
TOTAL GENERAL FUND	1,513.2

Housing Revenue Account

	£000
A request has been made to carry forward £8.4k. Details are as follows:	
To fund work with Residents Associations delayed due to changes in the estate improvement grant process	+8.4
Total Request to carry budgets forward	+8.4

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Reserves Position and Forecast

	2007/08 £000	2008/09 £000	2009/10 £000	2010/11 £000
General Fund Reserve				
Balance at 1 April	(7,702)	(11,386)	(8,308)	(7,270)
Less: Already Committed To Annual Budget	1,312	1,823	1,088	278
Carry Forward Underspend from Previous Years	1,519	1,513	-	-
Agreed spend from prior year underspend	223	28	-	-
Supplementary Estimates (see Annex aaa)	546	-	-	-
Agreed spend on Minster piazza - transfer to earmarked reserve	250	-	-	-
Revised General Fund Reserve	(3,852)	(8,022)	(7,220)	(6,992)
Add: <u>Other Adjustments</u>				
Release of Bellwin Reserve	(300)	-	-	-
NDR Rebates	(189)	(150)	(50)	(50)
Additional LABGI grant received	(537)	-	-	-
Net transfers in from earmarked reserves no longer needed	(332)	-	-	-
Transfer to Trading Reserve	144	-	-	-
Transfer funds from Insurance reserve	-	(300)	-	-
Potential call for non-recurring contingency items	-	164	-	-
	(1,214)	(286)	(50)	(50)
Net Underspend on General Fund	(6,320)	-	-	-
Expected General Fund Reserve as at 31 March	(11,386)	(8,308)	(7,270)	(7,042)
Trading Activities Reserve				
Balance at 1 April	(439)	(439)	(300)	(300)
Less: Use of Reserve	-	139	-	-
Less: Loss in Year	144	-	-	-
Add: Reinstatement from Gen Fund to approved level	(144)	-	-	-
Estimated Commercial Services Reserve at 31 March	(439)	(300)	(300)	(300)
Venture Fund				
Balance at 1 April	(1,611)	(2,729)	(3,065)	(2,932)
Plus: Repayments	(1,674)	(516)	(527)	(45)
Less: Advances	556	180	660	1,175
Estimated Venture Fund Balance as at 31 March	(2,729)	(3,065)	(2,932)	(1,802)
Total Revenue Reserves as at 31 March	(14,554)	(11,673)	(10,502)	(9,144)
Estimated Minimum Reserves Threshold	5,201	5,361	5,521	5,686
Headroom In Reserves	(9,353)	(6,312)	(4,981)	(3,458)

Note - there may be further calls on reserves to fund the outcome of current litigation

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Venture Fund

The table below shows the venture fund transactions that have taken place during the year and summarises the outstanding loans.

	£000	£000
Venture Balance B/fwd from 2006/07		1,611
<u>Less Advances in 2007/08</u>		
LPSA 2	-451	
Silver Street Structural Repairs	-105	-556
<u>Plus Repayments in 2007/08</u>		
Mobile Classrooms	38	
Temporary Classroom Units	46	
Clifton Green	17	
Westfield Primary	14	
DEDS Restructure	128	
Staff Cycle Parking Scheme	10	
Oaklands Partnership	20	
2006/07 Funding Shortfall	1,375	
Interest	26	1,674
Balance as at 31st March 2008		<u>2,729</u>
<u>Loans Outstanding</u>		
Mobile Classrooms	84	
Temporary Classroom Units	102	
Westfield Primary	32	
Amy Johnson Way	245	
Staff Cycling	21	
Oaklands Partnership	95	
06/07 Capital Prog Shortfall	5	
LPSA 2	723	
Silver Street Structural Repairs	105	
		1,412
Total Venture Fund Value as at 31/3/08		<u>4,141</u>

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Outstanding IT Development Bids From 2008/09

There were 6 bids for 08/09 on which Members did not give a specific decision. Below is an update on each of those bids, which were written 6 months ago.

08CEX01 - QPR And Performance Management System Requirements

Capital cost: 2008/9 £30,000 **Revenue year 1 costs** £12,820, **ongoing costs** £18,320

Description

The original bid asked for time for a review of our performance management system, QPR, and funding for an upgrade or replacement.

Benefits

If the project proposed last year had gone ahead we would be well on the way to implementation of a revamped PM system that could in a couple of hours produce the monthly dashboard that is now taking about 20 man days.

A revamped PM system could not only provide much more quickly the high level dashboard required for CMT; it would also give the ability to interrogate the figures underlying the dashboard rather than the officer bringing the report. Of more importance operationally, a fully functioning PM system would give this interrogation facility to Performance Officers and Heads of Service. They would see on their dashboards where problems were being highlighted and be able to drill down to see the figures and the accompanying comments and initiate action.

Importantly, systems we have looked at incorporate better reporting facilities and handle not just the traditional PI's but also Action Plans. These are significant improvements to areas that were identified as causing difficulties in the take up of the original PM system.

Current Position (June 08)

A report on the work done over the last year is due to go to CMT during June. The proposal is to upgrade QPR and to restructure the way PIs are recorded in the system and create a more comprehensive set of reports, including an integrated dashboard facility. This requires £30,000 of funding.

Since this bid was put forward some work to improve reporting has continued and considerable movement has taken place with Corporate Management Team. CMT now monitor a corporate dashboard on a monthly basis plus taking a closer look at a particular directorate's dashboard on a rotating monthly basis. The Government's new performance management framework under Comprehensive Area Assessment will require us to collect and report performance information in partnership with Local Strategic Partners. This requires a web enabled performance management portal to work effectively. Unfortunately, although the information going to CMT looks like a dashboard produced at a high level from within a fully functioning PM system, it is actually the product of days of data collection by PIT and the directorate PO's.

08COR07 Corporate use of LLPG

Capital cost: £0

Description

This is a bid for ITT support for a project to investigate what needs to be done to establish the Council's Local Land and Property Gazetteer (LLPG) as our single property and to develop a plan of work to achieve it. The LLPG is already used as the address reference by the York Contact Centre.

Benefits

It will provide an accurate source of address data which complies with BS7666 and that can be used as a reference by all the Council's systems. This will remove duplicated effort in maintaining and verifying addresses and lead to more accurate data.

It will also remove the need for future systems to subscribe to external services for verifying address data.

Current Position (June 08)

Since the bid was first raised, the Ministry of Justice has introduced a requirement for Electoral Registers to comply with BS7666 and be matched with the LLPG by the end of December 2009. The work proposed in the bid is necessary to achieve this.

An outline proposal for 09/10 has been put forward asking for funding to implement the recommendations of the business appraisal requested in this 08/09 bid.

08COR10 Magique Licensing - Procurement

Capital cost: 2008/9 £6,000, year 1 revenue costs £2,819, ongoing £2,819

Description

A bid to purchase 75 additional user licences for the Corporate Risk Management system to be distributed to those in the Council with risk management responsibility.

Benefits

This is to support day-to-day operations and service planning activities.

It is necessary to achieve a standard method of recording, monitoring and reporting on risks.

Current Position

This bid is critically important to ensuring that the organisation can demonstrate its ability and capacity to deliver and embed the application of Risk Management throughout the Council's business processes. The continued inability of the Council to demonstrate its commitment actively contributes to the poor CPA score for risk management. The success of this bid would send a clear message to the Audit Commission of the Council's commitment to risk management and put the organisation in a much better position as we move to the 'harder test' under the new CAA inspection process

08CSTR03 Car Parks Management Information System - BA

Capital cost: £0

Description

A time only bid to review the Council's requirements for a Car Parking Management information system and recommend the best long-term solution. It will include a review of the alternative methods to pay for parking and increase customer satisfaction.

Benefits

A condition of the waiver for the current, interim solution was that a BA was done to identify the full requirement and propose a long term solution.

Sound management information will improve our ability to manage the service and to model the effect of proposed changes. As a result, we will be able to be more responsive to customers' changing needs.

Current Position (June 08)

Still required by the service and the need will not be affected by a move from City Strategy to Neighbourhood Services.

The interim system, Parkeon, is now in use and has already proved some benefits of better data from more accurate balancing of cash from the payment collection machines

08HASS01 Electronic Monitoring for Home care - Procurement

Capital cost: 2008/9 £9,000 2009/10 £100,000

Year 1 revenue costs £15,494, ongoing £26,596

Description

The procurement of a Home Care Monitoring System to support the increasing demands for the Council to provide a high-quality home care service.

Benefits

Will bring significant operational improvements which will enhance customer care, improve the financial management of the care contracts and reduce costs.

Current Position (June 08)

Still required by the directorate. As this is an 18 month project and the benefits are so important, HASS are working on an interim solution that will help them achieve some of the benefits whilst a full system is being procured.

On the original timescale procurement would have been at the end of this financial year, starting later means that the bulk of it will be in the following year.

08RES03 Governance Standards & Compliance - Procurement

Capital cost: 2008/9 £21,000 2009/10 £6,324

Year 1 revenue costs £6,554, ongoing £6,554

Description

This is for a pilot of a system which enables us to better manage and track our compliance with all policies, procedures and certifications, including statutory ones. Funding is requested for the pilot in 2008/9 and for roll-out of the system in 2009/10 should the case be proved.

Benefits

The system acts as a source and catalogue of relevant information and can be used to help maintain compliance by recording distribution and responses of staff, sending out updates and flagging when refreshment activity is required. As well as improving the efficiency of our management of the policies etc. such a system would also enable us to more easily demonstrate the Council's compliance and acceptance by staff, should this ever be required for auditing or legal purposes.

Current Position (June 08)

The bid is still required.

At a demonstration of a possible system in May, the company showed additional facilities for managing internal and external surveys which could lead to benefits not identified in the bid.

The bid was put forward by Liz Akroyd and sponsored by Simon Wiles so we would look for their successors to confirm their support and resources for it before ITT begin work.

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Executive

June 2008

Report of the Director of Resources

CAPITAL PROGRAMME OUTTURN 2007/08 AND REVISIONS TO THE 2008/09 – 2010/11 PROGRAMME

Report Summary

1. The purpose of this report is to:
 - Provide the Executive with the final outturn position of the capital programme for 2007/08 reflecting the capital outturn reports taken to Executive Member with Advisory Panel (EMAP) meetings for each department;
 - Seek approval of the statutory declaration on the funding of the 2007/08 capital programme to show how the Councils expenditure has been financed, along with any financial implications this may result in;
 - Highlight significant achievements from the Councils capital programme;
 - Inform the Executive of any under or overspends and seek approval for any resulting changes to the programme;
 - Inform the Executive of any slippage and seek approval for the associated funding to be slipped to or from the financial years to reflect this.
 - Provide an update on future years capital programme.
 - Update the Executive on a change to accounting policy in relation to the statutory minimum revenue provision (MRP).

Summary of the 2007/08 Capital Programme

2. Capital expenditure in 2007/08 totalled £42.026m. This represents the second largest ever capital programme delivered by the City of York Council. Figure One illustrates the increase in capital programme size since 1999/00, during which time it has more than doubled from £19.5m to this years outturn of £42.026m.

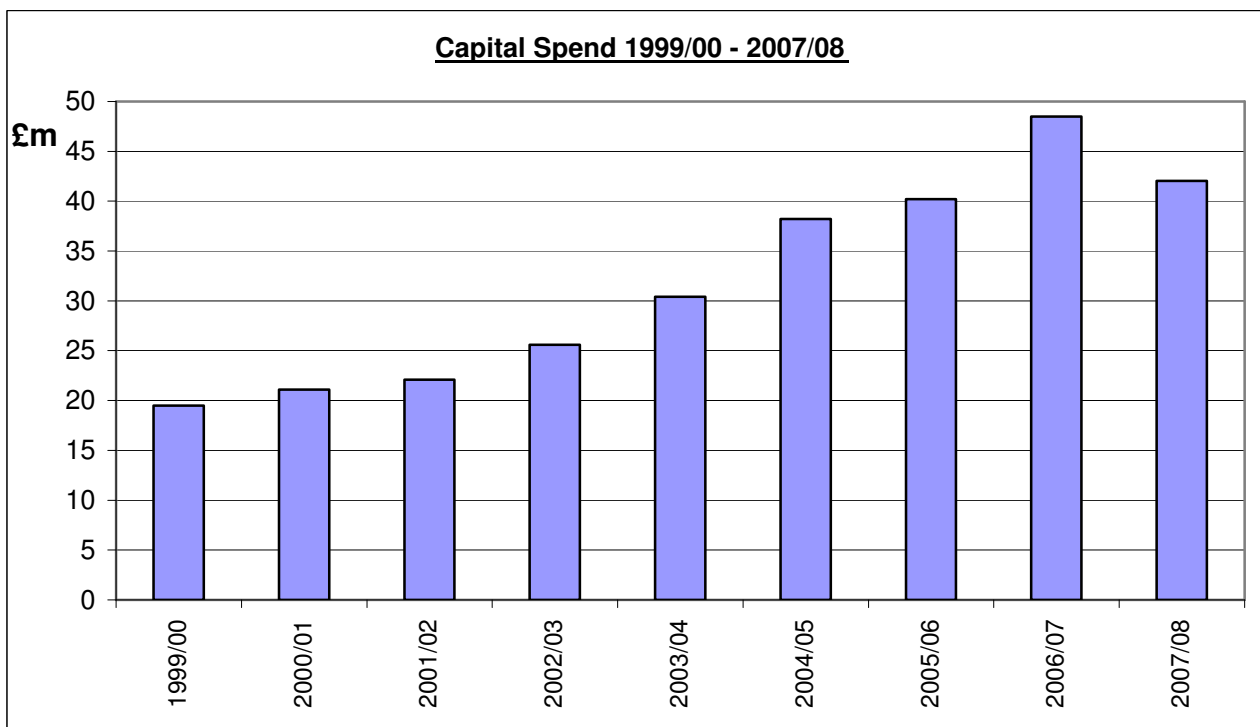


Figure 1: CYC Capital Programme Expenditure 1999/00-2007/08

3. Figure 2 shows the £42.026m expenditure split by departments during 2007/08.

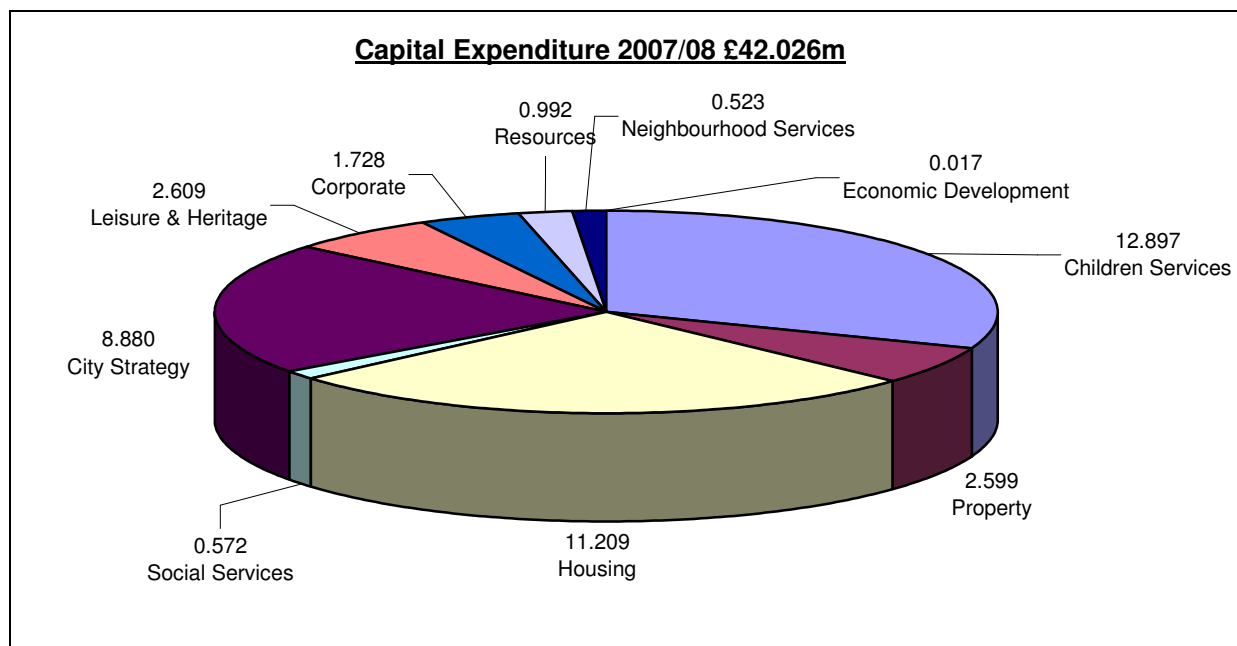


Figure 2 Capital Outturn by Department 2007/08

4. Capital receipts raised during 2007/08 were £9.1m, compared with £31.5 in 2006/07, which saw the large receipts from Foss Islands Depot (£10m), the sale of St Leonard's Place (£7m) as part of a sale and lease back scheme, the sale of the Barbican (£6m).

5. The Council continues to be successful in attracting strong support from the government with funding for roads, schools, housing and leisure amounting to more than £32.907m
6. Within the programme a number of significant schemes have been delivered or commenced during 2007/08, some of the key achievements for the year are:
 - a. Expenditure of £1.08m has seen the completion of the refurbishment and reopening of 50 yard Edwardian Yearsley Pool
 - b. Improvement works totalling £700k to Acomb Library began in August 2007 and was completed in February 2008. The centre is now open and operating successfully with visitor numbers up significantly since its opening.
 - c. The progression of the Administrative Accommodation project has seen the start on the relocation of the Peasholme Centre and significant work on the design phase of the Hungate offices.
 - d. Works totalling £1.36m on New Deals for Schools (NDS) modernisation programmes has allowed schools to invest in buildings, grounds and ICT equipment enabling schools to improve their pupils' educational standards.
 - e. Completion of the £2.8m Skills Centre at Danesgate. The centre provides vocational training for hard to reach pupils across the city.
 - f. Expenditure totalling £2.7m on the 5 Integrated Children's Centres (St Lawrences, New Earswick, Haxby Road, Carr and Tang Hall), which aim to bring together the work of schools, health and social services and stream line service delivery on these sites.
 - g. An in year spend of £2.0m on the New Deals for Schools (NDS) devolved capital programmes giving schools direct funding for the priority capital needs of their buildings (capital repair, remodelling or new build) and investment in ICT equipment. Many schools use their allocations to contribute to larger projects at their school within the Children's Services capital programme.
 - h. Design work commenced on the new £28m Joseph Rowntree Secondary School as part of the Government's One School Pathfinder project, with over £10m expected to be spent in 2008/09.
 - i. Works totalling £2.4m have taken place on the £10m York High School project to refurbish and expand the school, as part of the school review on the West of the city. The review has also seen the start on site of the new voluntary aided Manor school.
 - j. A substantial amount of work has been completed within the £6.3m Local Transport Plan (LTP). This years main project has been to build the new roundabout at Moor Lane which has now been completed on time.

- k. More than £11m has been spent on projects relating to Housing with more than 2,000 individual projects on homes across the city as part of the modernisation and tenants choice programmes. In general properties have benefited from one or more elements of these works including:
- 675 Installations and replacement of heating systems
 - 149 Reroofings completed
 - 250 new floors in communal areas
 - 250 internal security and lighting projects

Background

7. The original 2007/08 capital programme was approved by Full Council on 21 February 2007 at £43.749m. Since then the Executive have approved a number of adjustments as part of the 2006/07 outturn reports and the monitoring reports throughout the year. The latest approved capital programme budget, following adjustments from Januarys EMAPs is £46.839m, and was financed by £36.475m of external funding with the balance financed from £10.364m of capital receipts. As part of this year end outturn report it has been necessary to include the £0.526m capitalisation of Equal Pay costs and £1.202m in relation to the accounting treatment of the fleet management contract. These two items have been reported outside the main capital programme to date. The inclusion of these additional items in the analysis increases the final capital programme budget to £42.026m.
8. The changes to the original 2007/08 approved capital programme budget are summarised in Table 1.

	Gross Budget	External Funding	Cost to CYC
	£m	£m	£m
Original Budget Approved	43.749	33.803	9.946
Slippage Carried Forward from 2006/07	4.116	2.772	1.344
Additions from 06/07 outturn report	1.533	1.400	0.133
Additions as a result of urgency meetings / Exec reports	2.628	0.406	2.222
Adjustments made under delegated authority	0.117	0.293	(0.176)
Adjustments Approved at Monitor One	0.382	2.253	(1.871)
Adjustments Approved at Monitor Two	(5.686)	(4.452)	(1.234)
Current Approved Capital Programme	46.839	36.475	10.364

Table 1 – Current Approved Capital Programme

9. The capital programme has traditionally been well managed with Departments taking proactive action to retain any overspends within their resources. Year end variances therefore tend to be the result of slippage. Capital projects by their nature tend to cross financial years and from time to time budgets have to be adjusted between financial years to reflect changes to the spend profile of the capital scheme.

Consultation

10. The 2007/08 Capital Programme was approved by Full Council on 21 February 2007 after departments were invited to bid for capital receipt funding through the Capital Resource Allocation Model (CRAM). The CRAM process rigorously evaluates scheme submissions against key corporate objectives and national government priorities. Each departmental EMAP is consulted in relation to the bids to be put forward.

Options

11. The report is mainly for information, providing the Executive with the final outturn of the 2007/08 capital programme. However, there are a number of requests for slippage of funding in to the 2008/09 capital programme and future years. These requests are highlighted in the main body of the report.

2007/08 Capital Programme Outturn and Overview

12. The 2007/08 capital outturn of £42.026m represents an under spend of £4.813m against the restated budget of £46.839m, a variance of 10.2%, higher than last years variance of 7.7%.
13. There are requests for budgets to be carried forward (slipped) in to future years totalling £6.689m, and updates to the spend profile of future years schemes to improve the budgetary control of the capital programme. Further details are provided in paragraphs 14 to 36. The total variances for individual committee capital programmes along with requests for slippage and other key information are highlighted in Table 2.

Committee	Budget	Outturn	Variance	(Under) /Over spend	Slippage
	£m	£m	£m	£m	£m
Children's Services	17.239	12.897	(4.342)	(0.208)	(4.134)
City Strategy	9.535	8.880	(0.655)	(0.402)	(0.253)
Economic Development	0.259	0.017	(0.242)	(0.184)	(0.058)
Housing	9.453	11.209	1.756	1.373	0.383
Leisure and Culture	2.951	2.609	(0.342)	(0.118)	(0.224)
Neighbourhood Services	0.694	0.523	(0.171)	0.000	(0.171)
Resources inc (Easy@York)	6.062	3.590	(2.472)	(0.316)	(2.156)
Social Services	0.646	0.572	(0.074)	0.002	(0.076)
Subtotal	46.839	40.298	(6.541)	0.147	(6.689)
Equal Pay Capitalisation	0.000	0.526	0.526	0.526	0.000
Fleet Finance Leases	0.000	1.202	1.202	1.202	0.000
Total Capital	46.839	42.026	(4.813)	1.875	(6.689)

Table 2 –Approved Budget vs. Outturn & Requests for Slippage

Children's Services (Budget £17.239m, Outturn £12.897m)

14. The outturn of the 2007/08 Education Capital Programme is £12.897m, financed from £11.370m of external funding, resulting in a net cost to the Council of £1.527m. It is requested that the net budget slipped into 2008/09 is £4.134m. The total slippage of £4.714m for 2007/08 is reduced due to spend taking place on York High and Manor Schools of £48k and £532k respectively that was due to be spent in 2008/09.
15. £1.54m of the slippage is due to the NDS Modernisation. The schemes have been re-profiled over the next three years with £143k into 08/09, £600k into 09/10 and £800k into 10/11. The majority of schemes have progressed through tendering stage with 11 schemes totalling £1.06m planned to start on site in 2008/09.
16. £1.44m of the slippage is in relation to the 5 Integrated Children's Centres. The largest delay in spend is due to difficulty in sourcing extensions to the school which where both fit for purpose and complied with Listed Building Consent at the Haxby Road Centre, £543k is required to be slipped into 2008/09. St Lawrence's, Carr Integrated, Tang Hall and New Earswick all require the reprofiling of budgets into 2008/09. Both New Earswick and Tang Hall Integrated Children's Centre are now open with the slippage relating to unforeseen problems encountered by the builders during the construction causing delay to the programmes.
17. Sure Start Extended Schools Projects requires £0.966m to be slipped with £0.816m into 2008/09 and the remaining £150k into 2009/10. The slower than budgeted spend is due to work needing to take place with schools on bids to ensure that expected outcomes will be delivered

18. The Derwent Multi Use Games Area (MUGA) has been delayed and it is requested that £378k be reprofiled into 2008/09.

City Strategy (Budget £9.535, Outturn £8.880m)

19. The outturn of the City Strategy Capital Programme is £8.880m against a budget of £9.535m. The expenditure is financed from £7.406m of external funding, resulting in a net cost to the Council of £1.474m.
20. The main cause of the outturn being lower than the latest budget is due to the removal of the Developers Contribution scheme from the programme. £532k has been removed with the requirement for the developer contributions to be reviewed after the Regional transport Board have confirmed the Status of the Hopgrove roundabout scheme in July.
21. £233k of the Highways Resurfacing and Reconstruction scheme is requested to be reprofiled into 2008/09.

Economic Development (Budget £259k, Outturn £17k)

22. The outturn of the Economic Development Programme is £17k against a budget of £259k. The variance of £242k is attributable to the Small Business Workshops. £184k of the under spend is no longer needed as the buildings are to be provided by the private sector and then leased back to the Council. It is proposed that the £184k be used to repay debt and generate a Minimum Revenue Provision (MRP) saving for Economic Development that will allow the subsidising of the commercial rents on the new small business workshops. £58k will need to be reprofiled into 2008/09.

Housing Services (Budget £9.453m, Outturn £11.209m)

23. The 2007/08 Housing Services Capital Programme outturn was £11.209m against a budget of £9.453m. The programme was financed by £10.653m of external funding and right to buy (RTB) receipts of £344k, leaving a net cost to the Council of £212k. Of the £10.653m external funding £5.245m was funded through Major Repairs Allowance (MRA) Grant. Housing generated over £2.8m of capital receipts from the sale of land and houses of this £2.079m was returned to the government with £789k used to fund the capital programme.
24. The inclusion of the Arclight replacement at Union Terrace has been necessary because the Council are the accountable body for the scheme. The costs incurred in 2007/08 were £1.675m against a nil budget. The Councils does not contribute financially to the new build therefore its inclusion does not effect the call on Council receipts.
25. Excluding the Arclight scheme the outturn was £9.534m representing a small increase of £81k over the latest approved budget. The £81k is comprised of an under spend of £302k with reprofiled budget of £383k from 2008/09 brought forward into 2007/08.

Leisure and Culture (Budget £2.951m, Outturn £2.609m)

26. The outturn of the 2006/07 Leisure and Culture Capital Programme is £2.609m against a budget of £2.951m, financed from £0.663m of external funding, resulting in a net cost to the Council of £1.946m.
27. Of the £342k reduction against the latest approved budget position, £200k relates to the reprofiling of the Museums Service Heritage Lottery Bid Scheme into 2008/09 in relation to work that has not yet been completed.
28. The library at Acomb is now complete and due to funding being made available from Health and Safety budgets the scheme has under spent by £97k.
29. The latest cost estimates for Oaklands Pool are projecting a shortfall of £530k which if funding is approved to finance the expenditure would raise the overall cost of the project to £6.514m. As previously agreed by members the pool will have a 50 year life span and a high level of environmental performance producing cost savings which will contribute towards funding the prudential borrowing. The main driver of the increase is the mechanical and electrical engineering package being higher than initially projected despite competitive tendering and additional costs in relation to the sub-structure, drains and internal finish. Options available to finance the shortfall include:
- Extending the repayment period of the prudential borrowing from the current 17 years to 30 years keeping the £130k per annum repayments at the current level, which will be generated from the savings of operating from an integrated modern facility. This would make available an extra £471k of funds to finance the shortfall. The remaining balance can be funded from using the contingency fund that is available within the pools programme for this purpose.
 - Use capital receipts to fund the shortfall. This option was considered by Leisure and Culture EMAP and they recommended that this option was not pursued because of the high level of risk associated with the overachievement of current approved assets sale values, which given the slowdown in commercial and domestic property markets, may not be achievable.

Neighbourhood Services (Budget £0.694m, Outturn £0.523m)

30. The outturn of the Neighbourhood Services capital programme is £0.523m against a budget of £0.694m. The programme is financed from £0.346m of external funding requiring a call on capital receipts of £0.177m.
31. The reason for the variance against the latest budget is due to £168k in relation to Ward Committees being reprofiled into 2008/09. This is due to difficulties in identifying schemes that are suitable for capital expenditure.

Resources (Budget £6.062, Outturn £3.590m)

32. The Resources outturn was £6.062m, against a budget of £3.590m. The programme required funding from capital receipts of £2.320m, with £0.845m being financed by Prudential Borrowing and the remainder being the external

funding (£0.425m). The Prudential Borrowing is comprised of £0.125m for 35 Hospital Fields Road, £0.332m for IT equipment and £0.388m for Easy@York.

33. The Administrative Accommodation project spent £1.835m as the purchase of the Ambulance Station took place, £400k was spent on the relocation of the Peasholme Centre and design work progressed well. However due to extensive consultation with planning authority and professional bodies on the design of the new building the project spent £935k less than profiled and it requested that this be reprofiled into 2008/09.
34. The [Easy@York](#) project incurred expenditure of £660k against a budget of £1120k. The under spend is linked partly to the delay in the benefits implementation project with £120k needing to be reprofiled into 2008/09 and partly due to the transferring the implementation from an external provider to in house resources. The latter has seen the need for the prudential borrowing backed budget decrease by £340k.

Social Services (Budget £0.646m, Outturn £0.572m)

35. The outturn of the Social Services capital programme is £0.572m against a revised budget of £0.646m, the programme is financed by £0.329m of external funding requiring the balance of £0.243m to be met from capital receipts.

Equal Pay Capitalisation (Outturn £0.543m)

36. The costs of settling equal pay claims and job evaluation represents a significant cost pressure to Councils across the country. The government have recognised this and have allowed Council with a low reserve base to bid to capitalise some of the equal pay costs. The Council have been successful in their bid and have been issued with a capitalisation directive which allows the capitalisation of £526k of costs which would otherwise have to be met from revenue funds. The Council will prudentially borrow the £526k to finance the expenditure. The borrowing has to be repaid at a minimum rate of 4% per annum. However, it is more prudent to repay the debt over a shorter period of time, and it is proposed that it is repaid over 7 years, which is consistent with the Council's past treatment of such debt. The annual revenue costs of repayment will be £93k per annum.

Fleet Management Finance Leases (Outturn £1.202m)

37. As part of the Councils fleet management contract a number of vehicles have been purchased by the Council and financed on a finance lease basis rather than the traditional operating lease arrangement. Although this does not affect the day to day operation of the service, it does require the Council to account for the assets as if they were in their ownership.

Funding the 2007/08 Capital Programme

38. The current budget of £48.567m (adjusted for Equal Pay and ABRO) was to be funded from £38.203m of external funding and unsupported borrowing, leaving £10.364m to be funded from capital receipts. The outturn position of £42.026m reduced the external funding requirement by £3.59m to £32.907m. This left a funding requirement of £7.916m to be met from capital receipts.

39. In year receipts of £6.533m were available to fund the programme in addition to the receipts surplus brought forward from 2006/07 of £5.510m. This left £4.127m of unapplied receipts to be carried forward into 2008/09.
40. The statutory funding statement in Annex 1 provides full details of how the 2007/08 capital programme has been financed.

Update on the 2008/09 – 2010/11 Capital Programme

41. Table 3 shows the revised start budget for 2008/09 by portfolio taking into account the requests for slippage arising from the 2007/08 programme. If the slippage is approved the total capital programme for 2008/09 will be £75.942m, £35.113m more than the 2007/08 outturn.

Total by Department	Position at Budget	Slippage from 07/08 outturn	Revisions since Budget	Latest 2008/09 Budget
	£m	£m	£m	£m
Children's Services	45.103	-12.334	0.578	33.347
City Strategy	7.943	0.253	0.000	8.196
Economic Development	0.100	0.058	0.000	0.158
Housing	8.579	0.165	0.209	8.953
Leisure and Culture	7.198	-1.555	0.000	5.643
Neighbourhood Services	0.370	0.171	0.000	0.541
Resources	16.604	2.169	0.000	18.773
Social Services	0.255	0.076	0.000	0.331
Total	86.152	-10.997	0.787	75.942
Equal pay Capitalisation	0.000	0.000	0.000	0.000
Grand Total	86.152	-10.997	0.787	75.942

Table 3 – Revised Start Budget for 2008/09 Capital Programme following Slippage

42. The revised 2008/09 budget of £75.942m shown in Table 3 is a decrease of £10.210m against the budget position as at monitor 2. This decrease is comprised of £10.997m of slippage as reported in paragraphs 14 to 36 with revisions of £0.787m to the budget. All of the £0.787m is comprised of additional funding from Central Government Grants of £515k for the Targeted Capital Fund Diploma 14-19, £63k for Connexions Building Work and £209k in relation to Major Repairs Allowance schemes in Housing.
43. The restated capital programme for 2008/09 to 2011/12 split by portfolio is shown in table 4. The individual scheme level profiles can be seen in Annex 2.

Total by Department	2008/09 Budget	2009/10 Budget	2010/11 Budget	Total Budget
	£m	£m	£m	£m
Children's Services	33.347	31.670	20.653	85.670
City Strategy	8.196	6.566	6.050	20.812
Economic Development	0.158	0.000	0.000	0.100
Housing	8.953	8.451	8.619	26.023
Leisure and Culture	5.643	2.942	1.100	9.685
Neighbourhood Services	0.541	0.000	0.000	0.541
Resources	18.773	17.616	3.213	39.602
Social Services	0.331	0.305	0.280	0.916
Total	75.942	67.550	39.915	183.349

Table 4 – Restated Capital Programme 2008/09 to 2010/11

44. Members will note from Table 4 that the size of the capital programme as presented reduces significantly in 2010/11. The decline is attributable to the majority of the spend on the Administrative Accommodation building having taken place by the end of 2009/10 (£16m in 2008/09 and £17.3m in 2009/10) and the completion of the York High (£8.8m 2008/09), Manor School (£2.9m 2008/09) and the majority of the expenditure on Joseph Rowntree One school pathfinder project having taken place (£10m 2008/09 and £17m 2009/10).
45. The capital receipts forecast for 2008/09 to 2010/11 is shown in confidential Annex 3. Based on the latest capital receipts projections it is anticipated that £28.122m will be achieved by the end of 2010/11. Compared to the Monitor 2 position this alleviates the requirement to prudentially borrow to balance the programme from a position of £500k.
46. The change in the financing position is largely attributable to Hazel Court. As part of the sale of Foss Islands Road Depot the eco- Depot at Hazel Court was built. It was last reported to the Executive as part of the First monitor in 2007/08 that the final account on the project was yet to be settled, but that there was a potential claim against the Council and potential additional costs of £260k. The final account has now been agreed and the Council is in fact owed an additional £300k, that has been allocated to address the small funding shortfall identified when the 2008/09 to 2010/11 capital programme was set. Table 5 shows the projected call on capital receipts going forward.

	2007/08 £m	2008/09 £m	2009/10 £m	2010/11 £m	Total £m
Gross Capital Programme	40.823	75.942	67.550	39.915	224.230
Funded by					
Supported Borrowing	9.087	7.023	9.358	10.282	35.750
Grants and Contributions	21.949	34.774	35.196	24.123	116.042
Prudential Borrowing	1.871	10.578	17.804	1.918	32.171
Total External Funding	32.907	52.375	62.358	36.323	183.963
Funding to be Financed from Capital Receipts	7.916	23.567	5.192	3.592	40.267

Expected Capital Receipts	-6.533	-14.912	-3.825	-9.685	-34.955
Receipts b/fwd (surplus)/deficit	-5.510	-4.127	4.528	5.895	
Receipts c/fwd (surplus)/deficit	-4.127	4.528	5.895	-0.198	

Table 5 - 2007/08 –2010/11 Capital Programme Financing

47. Table 5 shows that in 2008/09 and 2009/10 the programme will have a capital receipts deficit of £4.528m and £5.895m respectively. This is due to the new schemes that require capital receipts funding such as York High and Manor School needing to become operational before the receipt from the sale of the old site/building can be realised in order to allow continued provision of service.
48. Due to changes in the way the Council is required to account for the Schools Private Finance Initiative (PFI) as reflected in the 2007/08 Statement of Accounts there is now an additional £4.032m available to fund the capital programme. It is recommended that the £4.032m is used cover the in year deficit caused by the back to back build and disposals in 2008/09 and 2009/10 thus reducing the cost of borrowing (MRP) impact in these years. If this option is taken then additional funding of £4.032m will then be available to allocate to capital schemes from 2010/11 forward. The effect of this would increase the overall surplus receipts position, illustrated in Table 5 to £4.230m.
49. It should be recognised that all capital receipts can be considered at risk of not being realised within set time frames and to estimated values until the receipt is received. The capital programme continues to be predicated on a small number of large capital receipts, which if not achieved would cause significant funding pressures for the programme. The main receipts expected which are considered to be uncertain due to planning permission and public consultation are:
- a. Manor Secondary School – receipt to part fund new Manor School;
 - b. Lowfield Secondary School – receipt to part fund new York High School;
 - c. Parkside Workshops;
 - d. Yearsley Bridge – to contribute to the Hungate Offices Development.
 - e. Right to Buy Receipts from Council House sales
50. There has been a rapid decline in the number of right to buy enquiries from tenants who wish to buy their Council house. The 2008/09 to 2010/11 Housing capital programme is reliant on net sales of £625k per annum. So far in 2008/09 there have been no enquiries from tenants possibly a reflection of the general state of the housing market. This position will be monitored throughout the forthcoming year.
51. In addition there continues to be pressure on the overall Council's capital infrastructure with a building repairs backlog of over £16m and highways repairs backlogs of over £25m.

Hazel Court Depot

52. As part of the sale of Foss Islands Road Depot the eco- Depot at Hazel Court was built. It was last reported to the Executive as part of the First monitor in 2007/08 that the final account on the project was yet to be settled, but that there was a potential claim against the Council and potential additional costs of £260k. The final account has now been agreed and the Council is in fact owed an additional £300k, that has been allocated to address the small funding shortfall identified when the 2008/09 to 2010/11 capital programme was set.

Provision of debt repayment Policy

53. In March 2008 the Department for Communities and Local Government (DCLG) passed new legislation that gives Councils more flexibility in how it provides for the repayment of debt. The former regulations imposed a Minimum Revenue Provision (MRP) to be made of 4% of the Council's underlying debt requirement. Any given years MRP payment was based on the Council's Capital Financing Requirement (CFR) as at 31st March of the preceding year.
54. The new regulations allow Council's to make a "prudent" provision for the repayment of debt, and give Council's four options in determining what is prudent. The regulations state that the Council has to formally adopt a policy which sets out how it will provide for the repayment of debt within the four options provided. These are:
- a. The regulatory method – 4% of the borrowing outstanding;
 - b. The Capital Financing Method – 4% of the Council's Capital Financing Requirement;
 - c. The Depreciation Method – repayment of the debt over its depreciation life;
 - d. The Asset Life Method – repayment over the life of the asset to which the borrowing has been taken to fund.
55. Options a and b have broadly the same impact on the Council, and the DCLG recommends that this method is used in relation to the government supported borrowing. Option c would take the maximum repayment period to 40 years on operational land and buildings. Option d would take the maximum repayment period up to 60 years for some assets. There are merits in adopting all the options, however, in terms of prudence it is recommended that the Council adopts option b for government supported borrowing and option d for unsupported borrowing, with a caveat that the asset life is an absolute maximum and wherever possible the debt should be repaid over a shorter period. To this end it is recommended that the standard repayment period should be up to 25 years or less if the asset life is shorter, unless approval is sought to extended the repayment provision over a longer period and a formal business case is made to the Executive to do so.
56. With all debts, the longer the repayment period the more is paid in interest over the period of the loan. It is therefore deemed as prudent to reduce the period over which the repayments are made.

Corporate Objectives

All schemes approved as part of the capital programme have been scored through the Capital Resource Allocation Model (CRAM), which rigorously evaluates scheme submissions against key corporate objectives and national government priorities. The diversity of the capital programme means that all 13 are reached in some way.

Financial Implications

57. The financial implications are considered in the main body of the report.

Human Resources Implications

58. There are no HR implications as a result of this report

Equalities Implications

59. The capital programme seeks to address key equalities issues that affect the Council and the public. Schemes that address equalities include the Disabilities Support Grant, the Schools Access Initiative, the Community Equipment Loans Store (CELS) and the Disabilities Discrimination Act (DDA) Access Improvements. The Easy@York project is also aimed at improving access to Council services for all residents.

Legal Implications

60. As stipulated by the CIPFA Prudential Code, the Council is required to present a statutory declaration of the 2007/08 capital expenditure and its funding to the secretary of state responsible for local government as set out in the 2003 Local Government. The statutory declaration as signed by the Director of Resources who is the Council's Section 151 officer is attached in Annex 1.

Crime and Disorder

61. There are no crime and disorder implications as a result of this report

Information Technology

62. There are no information technology implications as a result of this report

Property

63. The property implications of this paper are included in the main body of the report which covers the funding of the capital programme from capital receipts in paragraph 44 to 48.

Risk Management

64. The capital programme is regularly monitored as part of the corporate monitoring process. In addition to this the Corporate Capital Monitoring Group (CAPMOG) meets regularly to plan monitor and review major capital schemes to ensure that all capital risks to the Council are minimised.

Recommendations

65. The Executive is requested to:
- Note the 2007/08 capital outturn position and approve the requests for slippage to and from the 2008/09 capital programme.
 - Approve the restated 2008/09 to 2010/11 programme as summarised in Table 4 and detailed in Annex 2.
 - Approve the statutory declaration of the 2007/08 capital expenditure as required by the Local Government Act 2003 part I.

Reason:

- To allow the continued effective management of the capital programme in 2008/09 and beyond.
- To fulfil its statutory function.

Contact Details

Author:

Ross Brown
Corporate Accountant
01904 551207

Tom Wilkinson
Corporate Finance Manager
01904 551187

Chief Officer Responsible for the report:

Sian Hansom
Head of Finance

**Report
Approved**

 tick

Date XX/06/2008

**Report
Approved**

 tick

Date XX/06/2008

Specialist Implications Officer(s)

None

Wards Affected: *List wards or tick box to indicate all*

All tick

For further information please contact the author of the report

For further information please contact the author of the report
Background Papers 2007/08 monitoring working papers and respective EMAP
reports.

Annex 1 – Statutory declaration of Funding 2007/08
Annex 2 – Capital Programme by year 2007/08 – 2010/11
Confidential Annex 3 – Capital Receipts 2007/08 –2010/11

**Statutory Declaration on the Funding of 2007/08 Capital Expenditure
as required by part I of Local Government Act 2003 (Prudential Code)**

	£'000	£'000
Expenditure		
Capital Expenditure requiring Financing		42,026
Resources		
Borrowing		
Supported Capital Expenditure	5,945	
Prudential Borrowing - Other	3,345	
		9,290
Grants & Contributions		
Government Grants	22,115	
Non-Government Grants	15	
Developers' Contributions	579	
Other Contributions / Donations	244	
		22,953
Capital Receipts		
HRA Generated	447	
General Fund Generated	7,809	
Housing General Fund Generated	41	
		8,297
Capital Expenditure met from Revenue Accounts		
Housing Revenue Account	1,355	
General Fund Revenue Account	131	
		1,486
Total Resources Applied		42,026

Signed : Sian Hansom S151 Officer

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2007/08
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Capital Budget - 2007/08 to 2010/11

		Current Approved Budget £000	Outturn £000	2007/08 Current Approved Budget £000	2007/08 Outturn Adj	2007/08 Outturn Slippage	2007/08 Outturn £000	2008/09 Current Approved Budget £000	2007/08 Outturn Adj	2007/08 Outturn Slippage	2008/09 Revised Budget £000	2009/10 Current Approved Budget £000	2007/08 Outturn Adj	2007/08 Outturn Slippage	2009/10 Revised Budget £000	2010/11 Current Approved Budget £000	2007/08 Outturn Adj	2007/08 Outturn Slippage	2010/11 Revised Budget £000	Gross Capital Programme To be Funded £000
Children's Services																				
	NDS Condition Funding	0	0	0			0	0			0	0			0	0			0	2,764
GG	NDS Modernisation	0	0	0			0	0			0	0			0	0			0	11
	- External Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,764
	- Cost to City	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
1	NDS Devolved Capital	2,591	2,008	2,591	-583		2,008	2,948			2,948	2,898			2,898	2,898			2,898	20,244
	- External Funding	2,591	2,008	2,591	-583	0	2,008	2,948	0	0	2,948	2,898	0	0	2,898	2,898	0	0	2,898	20,244
	- Cost to City	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Fulford Secondary TCF Phase 1	10	0	10		-10	0	0		10	10	0			0	0			0	10
	- External Funding	10	0	10	0	-10	0	0	0	10	10	0	0	0	0	0	0	0	0	10
	- Cost to City	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Fulford Secondary TCF Phase 2	40	40	40			40	0			0	0			0	0			0	3,478
	- External Funding	40	40	40	0	0	40	0	0	0	0	0	0	0	0	0	0	0	0	3,478
	- Cost to City	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Harnessing Technology	0	0	0			0	535			535	523			523	528			528	1,586
	- External Funding	0	0	0	0	0	0	535	0	0	535	523	0	0	523	528	0	0	528	1,586
	- Cost to City	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Targeted Capital Fund 14-19 Diploma	0	0	0			0	0	515		515	2,000			2,000	6,000	-500		5,500	11,657
	- External Funding	0	0	0	0	0	0	0	515	0	515	2,000	0	0	2,000	6,000	-500	0	5,500	10,414
	- Cost to City	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,243
1	Huntington School Improvements TCF	1,122	1,017	1,122		-105	1,017	0		105	105	0			0	0			0	5,674
	- External Funding	198	144	198	0	-54	144	0	0	54	54	0	0	0	0	0	0	0	0	4,189
	- Cost to City	924	873	924	0	-51	873	0	0	51	51	0	0	0	0	0	0	0	0	1,505
1	NDS Modernisation	2,598	1,360	2,598	305	-1,543	1,360	1,685		143	1,828	1,893		600	2,493	1,893		800	2,693	17,462
	- External Funding	2,598	1,360	2,598	305	-1,543	1,360	1,685	0	143	1,828	1,893	0	600	2,493	1,893	0	800	2,693	17,462
	- Cost to City	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Neighbourhood Nurseries Initiative	21	47	21		26	47	0			0	0			0	0			0	3,643
	- External Funding	21	47	21	26	0	47	0	0	0	0	0	0	0	0	0	0	0	0	3,209
	- Cost to City	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	434
	Robert Wilkinson Basic Need (Phase 2)	22	0	22		-22	0	0			0	0			0	0			0	844
	- External Funding	22	0	22	-22	0	0	0	0	0	0	0	0	0	0	0	0	0	0	844
	- Cost to City	0	27	0	27	0	27	0	0	0	0	0	0	0	0	0	0	0	0	27
	Robert Wilkinson Basic Need (Phase 1)	0	27	0		27	27	0			0	0			0	0			0	27
	- External Funding	0	27	0	27	0	27	0	0	0	0	0	0	0	0	0	0	0	0	27
	- Cost to City	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
1	Schools Access Initiative	318	139	318	-108	-71	139	288		71	359	288			288	288			288	2,108
	- External Funding	318	139	318	-108	-71	139	288	0	71	359	288	0	0	288	288	0	0	288	2,108
	- Cost to City	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
1	Skills Centre	2,108	1,848	2,108	-140	-120	1,848	0		120	120	0			0	0			0	2,740
GG	DfES Devolved capital Grant	0	0	0			0	0			0	0			0	0			0	50
	- External Funding	2,108	1,848	2,108	-140	-120	1,848	0	0	120	120	0	0	0	0	0	0	0	0	2,740
	- Cost to City	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
1	Sure Start	1,060	94	1,060		-966	94	0		816	816	0	1,657	150	1,807	0	1,657		1,657	4,612
	- External Funding	1,060	94	1,060	-3	-963	94	0	0	816	816	0	1,657	150	1,807	0	1,657	0	1,657	4,612
	- Cost to City	0	0	0	3	-3	0	0	0	0	0	0	0	0	0	0	0	0	0	0
GG	Specialist Schools Status	0	0	0			0	0			0	0			0	0			0	300
	- External Funding	0	0	0			0	0			0	0			0	0			0	300
	- Cost to City	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
1	Integrated Children's Centres	3,980	2,682	3,980	143	-1,441	2,682	600		1,441	2,041	0			0	0			0	4,871
	- External Funding	3,473	2,682	3,473	146	-937	2,682	553	0	937	1,490	0	0	0	0	0	0	0	0	4,320
	- Cost to City	507	0	507	-3	-504	0	47	0	504	551	0	0	0	0	0	0	0	0	551
1	Integrated Children's System Grant	64	38	64	-1	-25	38	0		25	25	0			0	0			0	83
GG	- External Funding	64	38	64	-1	-25	38	0	0	25	25	0	0	0	0	0	0	0	0	83
	- Cost to City	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
1	Westside Review - Oaklands / York High	2,300	2,448	2,300	100	48	2,448	9,291		-448	8,843	550		400	950	0			0	12,600
	- External Funding	2,300	2,448	2,300	100	48	2,448	7,291	0	-448	6,843	250	0	400	650	0	0	0	0	10,300
	- Cost to City	0	0	0	0	0	0	2,000	0	0	2,000	300	0	0	300	0	0	0	0	2,300
1	Westside Review - Manor	0	532	0		532	532	3,493		-532	2,961	0			0	0			0	3,500
	- External Funding	0	532	0	0	532	532	3,493	0	-532	2,961	0	0	0	0	0	0	0	0	3,500
	- Cost to City	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Youth One Stop Shop	24	36	24		12	36	0			0	0			0	0			0	312
	- External Funding	24	36	24	12	0	36	0	0	0	0	0	0	0	0	0	0	0	0	312
	- Cost to City	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Oaken Grove Community Centre	31	0	31		-31	0	0			0	0			0	0			0	236
	- External Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	- Cost to City	31	0	31	-31	0	0	0	0	0	0	0	0	0	0	0	0	0	0	236
	Joseph Rowntree One School Pathfinder	450	432	450		-18	432	24,750		-14,500	10,250	2,162	500	14,518	17,180	0	1,574		1,574	29,436
	- External Funding	450	432	450	0	-18	432	24,750	0	-14,500	10,250	2,162	500	14,518	17,180	0	1,574	0	1,574	29,436
	- Cost to City	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Extended Schools	0	0	0			0	250			250	265			265	137			137	652
	- External Funding	0	0	0	0	0	0	250	0	0	250	265	0	0	265	137	0	0	137	652
	- Cost to City	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	236
	Primary School Strategic Programme	0																		

2007/08
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Capital Budget - 2007/08 to 2010/11

	Current Approved Budget £000	Outturn £000	2007/08 Current Approved Budget £000	2007/08 Outturn Adj	2007/08 Outturn Slippage	2007/08 Outturn £000	2008/09 Current Approved Budget £000	2007/08 Outturn Adj	2007/08 Outturn Slippage	2008/09 Revised Budget £000	2009/10 Current Approved Budget £000	2007/08 Outturn Adj	2007/08 Outturn Slippage	2009/10 Revised Budget £000	2010/11 Current Approved Budget £000	2007/08 Outturn Adj	2007/08 Outturn Slippage	2010/11 Revised Budget £000	Gross Capital Programme To be Funded £000
- Cost to City	500	122	500	0	-378	122	263	0	378	641	0	0	0	0	0	0	0	0	763
Condition Led Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
GG NDS Modernisation	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- External Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Cost to City	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ICT Mobile Technology for Childrens Social Workers	0	20	0	26	-6	20	0	0	6	6	0	0	0	0	0	0	0	0	26
- External Funding	0	20	0	26	-6	20	0	0	6	6	0	0	0	0	0	0	0	0	26
- Cost to City	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Connexions Building Works	0	7	0	38	-31	7	0	63	31	94	0	0	0	0	0	0	0	0	101
GG DCSF Grant	0	7	0	7	0	7	0	63	31	63	0	0	0	0	0	0	0	0	70
OTH Contributions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- External Funding	0	7	0	7	0	7	0	63	31	63	0	0	0	0	0	0	0	0	70
- Cost to City	0	0	0	31	-31	0	0	0	31	31	0	0	0	0	0	0	0	0	31
Fulford School Science Labs and Classrooms	0	0	0	0	0	0	1,000	0	0	1,000	266	0	0	266	0	0	0	0	1,266
- External Funding	0	0	0	0	0	0	1,000	0	0	1,000	266	0	0	266	0	0	0	0	1,266
- Cost to City	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL GROSS EXPENDITURE	17,239	12,897	17,239	-208	-4,134	12,897	45,103	578	-12,334	33,347	13,845	2,157	15,668	31,670	17,122	2,731	800	20,653	139,373
Less :External Funding	15,277	11,370	15,277	-208	-3,699	11,370	39,300	578	-12,766	27,112	13,545	2,157	15,668	31,370	17,122	2,731	800	20,653	128,810
COST TO CITY OF YORK	1,962	1,527	1,962	0	-435	1,527	5,803	0	432	6,235	300	0	0	300	0	0	0	0	10,563
City Strategy (Planning & Transport)																			
2 Highway Resurfacing & Reconstruction (Struct Maint)	1,337	1,182	1,337	78	-233	1,182	1,250	233	1,483	1,000	0	0	0	1,000	750	0	0	750	5,712
- External Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	250
- Cost to City	1,337	1,182	1,337	78	-233	1,182	1,250	233	1,483	1,000	0	0	0	1,000	750	0	0	750	5,462
1 Highway Repairs & Renewals (Struct Maint)	500	500	500	0	0	500	0	0	0	0	0	0	0	0	0	0	0	0	1,250
- External Funding	500	500	500	0	0	500	0	0	0	0	0	0	0	0	0	0	0	0	1,250
- Cost to City	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3 Local Transport Plan (LTP)	6,310	6,310	6,310	0	0	6,310	6,397	0	0	6,397	5,356	0	0	5,356	5,091	0	0	5,091	29,532
- External Funding	6,310	6,310	6,310	0	0	6,310	6,397	0	0	6,397	5,356	0	0	5,356	5,091	0	0	5,091	29,532
- Cost to City	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2 York City Walls - Repairs & Renewals (City Walls)	112	93	112	0	-19	93	67	19	86	67	0	0	0	67	67	0	0	67	316
- External Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Cost to City	112	93	112	0	-19	93	67	19	86	67	0	0	0	67	67	0	0	67	316
2 Robin Hood's Tower Strengthening (City Walls)	147	147	147	0	0	147	0	0	0	0	0	0	0	0	0	0	0	0	165
- External Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Cost to City	147	147	147	0	0	147	0	0	0	0	0	0	0	0	0	0	0	0	165
2 York City Walls - Health & Safety (City Walls)	26	25	26	-1	0	25	60	0	0	60	0	0	0	0	0	0	0	0	100
- External Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Cost to City	26	25	26	-1	0	25	60	0	0	60	0	0	0	0	0	0	0	0	100
3 Developer Contribution schemes (LTP Struct)	942	410	942	-532	0	410	0	0	0	0	0	0	0	0	0	0	0	0	1,777
DEV Section 106	942	403	942	-539	0	403	0	0	0	0	0	0	0	0	0	0	0	0	1,770
OTH Contribution	0	7	0	7	0	7	0	0	0	0	0	0	0	0	0	0	0	0	7
- External Funding	942	410	942	-532	0	410	0	0	0	0	0	0	0	0	0	0	0	0	1,777
- Cost to City	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Government Grants (LTP Struct)	18	19	18	1	0	19	0	0	0	0	0	0	0	0	0	0	0	0	43
GG - External Funding	18	19	18	1	0	19	0	0	0	0	0	0	0	0	0	0	0	0	43
- Cost to City	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Special Bridge Maintenance (Struct maint)	78	0	78	-78	0	0	100	0	0	100	100	0	0	100	100	0	0	100	363
- External Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Cost to City	78	0	78	-78	0	0	100	0	0	100	100	0	0	100	100	0	0	100	363
Road Safety	45	45	45	0	0	45	44	0	0	44	43	0	0	43	42	0	0	42	174
GG - DFT Grant	45	45	45	0	0	45	44	0	0	44	43	0	0	43	42	0	0	42	174
- Cost to City	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Flood Pump - Elvington	20	141	20	122	-1	141	0	1	1	0	0	0	0	0	0	0	0	0	142
OTH - External Funding	0	122	0	122	0	122	0	0	0	0	0	0	0	0	0	0	0	0	122
- Cost to City	20	19	20	0	-1	19	0	1	1	0	0	0	0	0	0	0	0	0	20
Oulston Reservoir	0	0	0	0	0	0	25	0	0	25	0	0	0	0	0	0	0	0	25
GG - External Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Cost to City	0	0	0	0	0	0	25	0	0	25	0	0	0	0	0	0	0	0	25
Foss Islands Depot	0	8	0	8	0	8	0	0	0	0	0	0	0	0	0	0	0	0	9,681
GG - Government Grant	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	681
PB - Prudential Borrowing	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	527
- External Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,208
- Cost to City	0	8	0	8	0	8	0	0	0	0	0	0	0	0	0	0	0	0	8,473
TOTAL GROSS EXPENDITURE	9,535	8,880	9,535	-402	-253	8,880	7,943	0	253	8,196	6,566	0	0	6,566	6,050	0	0	6,050	49,280
Less :External Funding	7,815	7,406	7,815	-409	0	7,406	6,441	0	0	6,441	5,399	0	0	5,399	5,133	0	0	5,133	34,356
COST TO CITY OF YORK	1,720	1,474	1,720	7	-253	1,474	1,502	0	253	1,755	1,167	0	0	1,167	917	0	0	917	14,924
City Strategy (Economic Development)																			
ABB Site Regeneration	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
- External Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Cost to City	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
Small Business Workshops	259	17	259	-184	-58	17	0	0	58	58	0	0	0	0	0	0	0	0	77
- External Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Cost to City	259	17	259	-184	-58	17	0	0	58	58	0	0	0	0	0	0	0	0	77
1 Visitor/Tourist Information Centre	0	0	0																

2007/08
Budget
Process
Category**Capital Budget - 2007/08 to 2010/11**

	Current Approved Budget £000	Outturn £000	2007/08 Current Approved Budget £000	2007/08 Outturn Adj	2007/08 Outturn Slippage	2007/08 Outturn £000	2008/09 Current Approved Budget £000	2007/08 Outturn Adj	2007/08 Outturn Slippage	2008/09 Revised Budget £000	2009/10 Current Approved Budget £000	2007/08 Outturn Adj	2007/08 Outturn Slippage	2009/10 Revised Budget £000	2010/11 Current Approved Budget £000	2007/08 Outturn Adj	2007/08 Outturn Slippage	2010/11 Revised Budget £000	Gross Capital Programme To be Funded £000
Neighbourhood Services (Environmental Services)																			
Air Quality Monitoring	104	92	104	0	-12	92	0	0	12	12	0	0	0	0	0	0	0	0	199
- External Funding	104	92	104	0	-12	92	0	0	12	12	0	0	0	0	0	0	0	0	199
- Cost to City	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Flood Pump	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- External Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Cost to City	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Grey Bin Replacement	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	30
- External Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	30
- Cost to City	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Household Waste Sites (Hazel Court)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,292
- External Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	917
- Cost to City	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	375
Household Waste Sites (Towthorpe)	0	0	0	0	0	0	20	0	0	20	0	0	0	0	0	0	0	0	20
- External Funding	0	0	0	0	0	0	20	0	0	20	0	0	0	0	0	0	0	0	0
- Cost to City	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	20
Purchase of Recycling Containers	44	44	44	0	0	44	0	0	0	0	0	0	0	0	0	0	0	0	114
- External Funding	44	44	44	0	0	44	0	0	0	0	0	0	0	0	0	0	0	0	114
- Cost to City	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Contaminated Land Investigation	10	7	10	0	-3	7	0	0	3	3	0	0	0	0	0	0	0	0	33
- External Funding	10	7	10	0	-3	7	0	0	3	3	0	0	0	0	0	0	0	0	33
- Cost to City	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Waste Efficiency Performance Grant	203	203	203	0	0	203	0	0	0	0	0	0	0	0	0	0	0	0	203
- External Funding	203	203	203	0	0	203	0	0	0	0	0	0	0	0	0	0	0	0	203
- Cost to City	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Foxwood Community Pride	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Cost to City	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
1 Silver Street Toilets	0	12	0	0	12	12	350	0	-12	338	0	0	0	0	0	0	0	0	350
- External Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Cost to City	0	12	0	0	12	12	350	0	-12	338	0	0	0	0	0	0	0	0	350
2 Ward Committees - Improvement Schemes	333	165	333	0	-168	165	0	0	168	168	0	0	0	0	0	0	0	0	618
- Cost to City	333	165	333	0	-168	165	0	0	168	168	0	0	0	0	0	0	0	0	618
TOTAL GROSS EXPENDITURE	694	523	694	0	-171	523	370	0	171	541	0	0	0	0	0	0	0	0	2,859
Less :External Funding	361	346	361	0	-15	346	0	0	15	15	0	0	0	0	0	0	0	0	1,496
COST TO CITY OF YORK	333	177	333	0	-156	177	370	0	156	526	0	0	0	0	0	0	0	0	1,363
Housing																			
3 Modernisation of Local Authority Homes	258	1,562	258	1,324	-20	1,562	460	-204	20	276	276	-1	0	275	83	0	0	83	3,813
SCE - SCE	0	1,000	0	1,000	0	1,000	0	0	0	0	0	0	0	0	0	0	0	0	1,000
- External Funding	258	1,493	258	1,255	-20	1,493	460	-204	20	276	276	-1	0	275	83	0	0	83	3,000
- Cost to City	0	69	0	69	0	69	0	0	0	0	0	0	0	0	0	0	0	0	813
3 Repairs to Local Authority Properties	2,057	409	2,057	-1,633	-15	409	2,208	-459	15	1,764	2,635	0	-797	1,838	2,642	-653	0	1,989	9,836
- External Funding	2,057	409	2,057	-1,633	-15	409	2,208	-459	15	1,764	2,635	0	-797	1,838	2,642	-653	0	1,989	9,383
- Cost to City	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	453
3 Assistance to Older & Disabled People	341	354	341	13	0	354	323	0	0	323	325	0	0	325	327	0	0	327	1,999
- External Funding	341	354	341	13	0	354	323	0	0	323	325	0	0	325	327	0	0	327	1,999
- Cost to City	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
5 Housing Grants & Associated Investment (Gfund)	1,478	865	1,478	-613	0	865	850	0	0	850	900	0	0	900	950	0	0	950	6,247
- External Funding	1,478	865	1,478	-613	0	865	850	0	0	850	900	0	0	900	950	0	0	950	6,026
- Cost to City	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	221
1 Howe Hill Homeless Hostel (Gfund)	287	147	287	-10	-130	147	0	0	130	130	0	0	0	0	0	0	0	0	1,314
- External Funding	287	147	287	-10	-130	147	0	0	130	130	0	0	0	0	0	0	0	0	784
- Cost to City	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	530
Commuted Sums (Cont to Affordable Hsg) (Gfund)	38	38	38	0	0	38	0	0	0	0	0	0	0	0	0	0	0	0	38
- External Funding	38	38	38	0	0	38	0	0	0	0	0	0	0	0	0	0	0	0	38
- Cost to City	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
1 Travellers (Gfund)	197	198	197	1	0	198	0	0	0	0	0	0	0	0	0	0	0	0	403
- External Funding	97	96	97	-1	0	96	0	0	0	0	0	0	0	0	0	0	0	0	301
- Cost to City	100	102	100	2	0	102	0	0	0	0	0	0	0	0	0	0	0	0	102
Bungalow Buy Back	100	99	100	-1	0	99	0	0	0	0	0	0	0	0	0	0	0	0	99
- Revenue Contribution	0	99	0	99	0	99	0	0	0	0	0	0	0	0	0	0	0	0	99
- External Funding	0	99	0	99	0	99	0	0	0	0	0	0	0	0	0	0	0	0	99
- Cost to City	100	0	100	-100	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3 MRA Schemes	4,697	5,245	4,697	0	548	5,245	4,062	872	0	4,934	3,897	567	0	4,464	4,200	421	0	4,621	28,812
- External Funding	4,697	5,245	4,697	0	548	5,245	4,062	872	0	4,934	3,897	567	0	4,464	4,200	421	0	4,621	28,812
- Cost to City	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Arclight (Gfund)	0	1,675	0	1,675	0	1,675	0	0	0	0	0	0	0	0	0	0	0	0	1,887
- External Funding	0	1,675	0	1,675	0	1,675	0	0	0	0	0	0	0	0	0	0	0	0	1,887
- Cost to City	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
5 Disabled Facilities Grant (Gfund)	0	617	0	617	0	617	649	0	0	649	649	0	0	649	649	0	0	649	2,564
- Government Grant	0	368	0	368	0	368	375	0	0	375	375	0	0	375	375	0	0	375	1,493
- External Funding	0	576	0	576	0	576	649	0	0	649	649	0	0	649	649	0	0	649	2,523
- Cost to City	0	41	0	41	0	41	0	0	0	0	0	0	0	0	0	0	0	0	41
Discus Bungalows	0	0	0	0	0	0	27	0	0	27									

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Capital Budget - 2007/08 to 2010/11

		Current Approved Budget £000	Outturn £000	2007/08 Current Approved Budget £000	2007/08 Outturn Adj	2007/08 Outturn Slippage	2007/08 Outturn £000	2008/09 Current Approved Budget £000	2007/08 Outturn Adj	2007/08 Outturn Slippage	2008/09 Revised Budget £000	2009/10 Current Approved Budget £000	2007/08 Outturn Adj	2007/08 Outturn Slippage	2009/10 Revised Budget £000	2010/11 Current Approved Budget £000	2007/08 Outturn Adj	2007/08 Outturn Slippage	2010/11 Revised Budget £000	Gross Capital Programme To be Funded £000
			9,534				9,534													
Leisure and Culture																				
Acomb Library		698	572	698	-97	-29	572	0	29	29	0	0	0	0	0	0	0	0	0	653
NGG - External Grant		0	30	0	30	0	30	0	0	0	0	0	0	0	0	0	0	0	0	30
- External Funding		623	526	623	-97	0	526	0	0	0	0	0	0	0	0	0	0	0	0	578
- Cost to City		75	46	75	0	-29	46	0	0	29	29	0	0	0	0	0	0	0	0	75
Chapelfields Community Centre		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	328
- External Funding		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Cost to City		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	328
Community Resource Centre at Haxby / Wigginton		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- External Funding		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Cost to City		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Danebury Drive Allotments		12	19	12	10	-3	19	0	3	3	0	0	0	0	0	0	0	0	0	30
- External Funding		0	10	0	10	0	10	0	0	0	0	0	0	0	0	0	0	0	0	10
- Cost to City		12	9	12	0	-3	9	0	0	3	3	0	0	0	0	0	0	0	0	20
Hull Road Park		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
- External Funding		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
- Cost to City		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Knavesmire Culverts		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	277
- External Funding		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Cost to City		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	277
2 Museum Service Heritage Lottery Bid		600	400	600		-200	400	455	200	655	763	763	200	200	763	200	0	0	200	2,103
PB - Prudential Borrowing		0	0	0	0	0	0	255	0	255	0	0	0	0	0	0	0	0	0	255
OTH Contributions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	50
- External Funding		0	0	0	0	0	0	255	0	255	0	0	0	0	0	0	0	0	0	305
- Cost to City		600	400	600	0	-200	400	200	0	200	400	763	0	0	763	200	0	0	200	1,848
Oaken Grove Community Centre		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	284
- External Funding		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Cost to City		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	234
1 Oakland's Sports Centre		62	44	62	-18		44	0	0	0	0	0	0	0	0	0	0	0	0	1,407
- External Funding		52	15	52	-37	0	15	0	0	0	0	0	0	0	0	0	0	0	0	1,326
- Cost to City		10	29	10	19	0	29	0	0	0	0	0	0	0	0	0	0	0	0	81
Oakland's Sports Centre Pitch		27	8	27	-13	-6	8	0	6	6	0	0	0	0	0	0	0	0	0	327
- External Funding		14	8	14	0	-6	8	0	0	6	6	0	0	0	0	0	0	0	0	261
- Cost to City		13	0	13	-13	0	0	0	0	0	0	0	0	0	0	0	0	0	0	66
Parks and Open Spaces Development		102	104	102	0	2	104	100	0	-2	98	0	0	0	0	0	0	0	0	493
- External Funding		102	104	102	0	2	104	100	0	-2	98	0	0	0	0	0	0	0	0	493
- Cost to City		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
River Bank Repairs		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	234
- External Funding		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Cost to City		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	234
West Bank Park		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- External Funding		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Cost to City		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
War Memorial Gardens		30	0	30	0	-30	0	0	0	30	30	0	0	0	0	0	0	0	0	30
- External Funding		20	0	20	0	-20	0	0	0	20	20	0	0	0	0	0	0	0	0	10
- Cost to City		10	0	10	0	-10	0	0	0	10	10	0	0	0	0	0	0	0	0	0
2 York Pools Strategy -		1,420	1,462	1,420	0	42	1,462	6,643	0	-1,821	4,822	1,300	0	879	2,179	0	0	900	900	9,697
- External Funding		0	0	0	0	0	0	1,529	0	0	1,529	0	0	0	0	0	0	0	0	1,529
- Cost to City		1,420	1,462	1,420	0	42	1,462	5,114	0	-1,821	3,293	1,300	0	879	2,179	0	0	900	900	8,168
Youth Service One Stop Shop		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	171
- External Funding		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	171
- Cost to City		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL GROSS EXPENDITURE		2,951	2,609	2,951	-118	-224	2,609	7,198	0	-1,555	5,643	2,063	0	879	2,942	200	0	900	1,100	16,048
Less :External Funding		811	663	811	-124	-24	663	1,884	0	24	1,908	0	0	0	0	0	0	0	0	4,707
COST TO CITY OF YORK		2,140	1,946	2,140	6	-200	1,946	5,314	0	-1,579	3,735	2,063	0	879	2,942	200	0	900	1,100	11,341
											1,653									
											3,990									
Resources																				
1 Admin Accom		2,770	1,835	2,770	0	-935	1,835	15,134	0	935	16,069	17,316	0	0	17,316	3,013	0	0	3,013	40,304
- External Funding		0	0	0	0	0	0	6,040	0	0	6,040	17,138	0	0	17,138	1,918	0	0	1,918	25,237
- Cost to City		2,770	1,835	2,770	0	-935	1,835	9,094	0	935	10,029	178	0	0	178	1,095	0	0	1,095	15,067
Carbon Management		0	0	0	0	0	0	500	0	0	500	0	0	0	0	0	0	0	0	500
- External Funding		0	0	0	0	0	0	250	0	0	250	0	0	0	0	0	0	0	0	250
- Cost to City		0	0	0	0	0	0	250	0	0	250	0	0	0	0	0	0	0	0	250
Dealing with Repairs Backlog		179	89	179	0	-90	89	0	0	90	90	0	0	0	0	0	0	0	0	513
- External Funding		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Cost to City		179	89	179	0	-90	89	0	0	90	90	0	0	0	0	0	0	0	0	513
Fire Safety Regulations - Adaptations		0	0	0	0	0	0	100	0	0	100	100	0	0	100	100	0	0	100	300
- External Funding		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Cost to City		0	0	0	0	0	0	100	0	0	100	100	0	0	100	100	0	0	100	300
Health & Safety / DDA		181	37	181	0	-144	37	0	0	144	144	0	0	0	0	0	0	0	0	419
- External Funding		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Cost to City		181	37	181	0	-144	37	0	0	144	144	0	0	0	0	0	0	0	0	419
Holgate Windmill		10	34	10	24	0	34	0	0	0</										

Capital Budget - 2007/08 to 2010/11

		2007/08	2007/08	2007/08	2007/08	2008/09	2007/08	2007/08	2008/09	2009/10	2007/08	2007/08	2009/10	2010/11	2007/08	2007/08	2010/11	Gross			
		Current	Outturn	Outturn	Outturn	Current	Outturn	Outturn	Revised	Current	Outturn	Outturn	Revised	Current	Outturn	Outturn	Revised	Capital			
		Approved	£000	£000	£000	Approved	£000	£000	£000	Approved	£000	£000	£000	Approved	£000	£000	£000	Programme			
		Budget	£000	£000	£000	Budget	£000	£000	Budget	Budget	Adj	Slippage	Budget	Budget	Adj	Slippage	Budget	To be			
		£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	Funded			
		£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000			
Social Services		646	572	646	2	-76	572	255	0	76	331	305	0	0	0	305	280	0	0	280	2,899
Miscellaneous		0	1,728	0	1,728	0	1,728	0	0	0	0	0	0	0	0	0	0	0	0	0	2,814
Total by Department		46,839	42,025	46,839	1,875	-6,689	42,025	86,152	787	-10,997	75,942	49,077	2,723	15,750	67,550	35,716	2,499	1,700	39,915	320,242	
Total External Funds by Department																					
Children's Services		15,277	11,370	15,277	-208	-3,699	11,370	39,300	578	-12,766	27,112	13,545	2,157	15,668	31,370	17,122	2,731	800	20,653	128,810	
City Strategy (P&T)		7,815	7,406	7,815	-409	0	7,406	6,441	0	0	6,441	5,399	0	0	5,399	5,133	0	0	5,133	34,356	
City Strategy (Econ Devt)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Housing		9,253	10,997	9,253	1,361	383	10,997	8,552	236	165	8,953	8,682	566	-797	8,451	8,851	-232	0	8,619	54,879	
Leisure & Heritage		811	663	811	-124	-24	663	1,884	0	24	1,908	0	0	0	0	0	0	0	0	4,707	
Neighbourhood Services		361	346	361	0	-15	346	0	0	15	15	0	0	0	0	0	0	0	0	1,496	
Resources		2,553	1,270	2,553	-318	-965	1,270	6,910	0	986	7,896	17,138	0	0	17,138	1,918	0	0	1,918	31,874	
Social Services		405	329	405	0	-76	329	0	0	50	50	0	0	0	0	0	0	0	0	702	
Miscellaneous		0	1,728	0	1,728	0	1,728	0	0	0	0	0	0	0	0	0	0	0	0	2,814	
Total External Funds by Department		36,475	34,109	36,475	2,030	-4,396	34,109	63,087	814	-11,526	52,375	44,764	2,723	14,871	62,358	33,024	2,499	800	36,323	259,638	
Total CYC Funding required by Department																					
Children's Services		1,962	1,527	1,962	0	-435	1,527	5,803	0	432	6,235	300	0	0	300	0	0	0	0	10,563	
City Strategy (P&T)		1,720	1,474	1,720	7	-253	1,474	1,502	0	253	1,755	1,167	0	0	1,167	917	0	0	917	14,924	
City Strategy (Econ Devt)		259	17	259	-184	-58	17	100	0	58	158	0	0	0	0	0	0	0	0	179	
Housing		200	212	200	12	0	212	27	-27	0	0	0	0	0	0	0	0	0	0	2,160	
Leisure & Heritage		2,140	1,946	2,140	6	-200	1,946	5,314	0	-1,579	3,735	2,063	0	879	2,942	200	0	900	1,100	11,341	
Neighbourhood Services		333	177	333	0	-156	177	370	0	156	526	0	0	0	0	0	0	0	0	1,363	
Resources		3,509	2,320	3,509	2	-1,191	2,320	9,694	0	1,183	10,877	478	0	0	478	1,295	0	0	1,295	17,877	
Social Services		241	243	241	2	0	243	255	0	26	281	305	0	0	305	280	0	0	280	2,197	
Miscellaneous		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Total Capital Receipt Funding required		10,364	7,916	10,364	-155	-2,293	7,916	23,065	-27	529	23,567	4,313	0	879	5,192	2,692	0	900	3,592	60,604	
Breakdown of External Funds per funding statement																					
DEV	Developers Contributions	1,325	580	1,325	-519	-226	580	937	0	226	1,163	500	0	0	500	500	168	0	668	4,617	
GG	Government Grant	13,228	13,058	13,228	1,796	-1,966	13,058	38,823	472	-12,699	26,596	10,898	2,157	14,668	27,723	18,744	2,563	800	22,107	111,707	
MRA	Major Repairs Allowance	4,697	5,245	4,697	0	548	5,245	4,062	872	0	4,934	3,897	567	0	4,464	4,200	421	0	4,621	28,812	
LIEU	Capital Receipts in Lieu of SCA/GG	2,335	911	2,335	-30	-1,394	911	0	0	0	0	0	0	0	0	0	0	0	0	10,513	
NGG	Non Government Grant	34	38	34	30	-26	38	0	0	26	26	0	0	0	0	0	0	0	0	1,683	
OTH	Other Contributions	244	287	244	153	-110	287	205	0	110	315	0	0	0	0	0	0	0	0	1,659	
PB	Prudential Borrowing	2,578	3,073	2,578	1,388	-893	3,073	10,085	0	493	10,578	17,404	0	400	17,804	1,918	0	0	1,918	38,393	
RC	Revenue Contribution	1,739	1,486	1,739	-266	13	1,486	1,991	-663	8	1,336	2,236	-1	0	2,235	2,052	-653	0	1,399	9,387	
RTB	Right to Buy Receipt	975	344	975	-501	-130	344	274	0	130	404	274	0	0	274	274	0	0	274	2,172	
SCE	Supported Capital Expenditure	9,320	9,087	9,320	-21	-212	9,087	6,710	133	180	7,023	9,555	0	-197	9,358	10,282	0	0	10,282	50,588	
VF	Venture Fund	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	107	
		36,475	34,109	36,475	2,030	-4,396	34,109	63,087	814	-11,526	52,375	44,764	2,723	14,871	62,358	33,024	2,499	800	36,323	259,638	
		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Total CYC Funding required						7,916					23,567				5,192				3,592	60,604	
Forecast Capital Receipts						-6,533					-14,912				-3,825				-9,685	-60,802	
Deficit/(Surplus) b/fwd						-5,510					-4,127				4,528				5,895	0	
Deficit/(Surplus) c/fwd						-4,127					4,528				5,895				-198	-198	

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Executive**30 June 2008**

Report of the Head of Finance

Statement of Accounts 2007/08**Summary**

1. The purpose of this report is to enable the Executive to undertake an examination of the Council's financial accounts for the financial year 2007/08 and to then submit them for approval by Full Council on the 30th June. As such this report provides the Executive with an opportunity to review and comment on the content and presentation of the Accounts prior to their finalisation.
2. The report also helps fulfil the CPA requirement that the accounts have been given a 'robust' scrutiny by Members prior to being approved. As part of this process the accounts were considered at the audit and governance committee on the 26 June. An update of their discussions will be provided at the meeting.
3. The Executive should note that copies of the statement of accounts are not attached to this agenda but instead are being circulated as part of the council agenda papers.

Background

4. The 2007/08 final account process has again been difficult. This is the 2nd year when the format of the accounts is presented in line with Generally Accepted Accounting Practice (GAAP) and as indicated last year the standards are designed for business accounting purposes eroding some of the connectivity between the accounts and the council's business.
5. In addition the Council is required to follow standard accounting practice as agreed by CIPFA; this is set out in 'Statements Of Recommended Practice' (SORP). The changed requirements as they related to City of York are as follows:
6. **Minimum Revenue Provision (MRP)** It is now required that authorities make a prudent provision for debt, compared to previous years where a standard 4% standard provision was applied. For 2007/08 Authorities have the choice of continuing to use the previous methodology or of adopting the options in the guidance. A statement as to the methodology used by the Council is being presented to the Executive on 30 June as part of the outturn reports for 07/08, covering the methodology to be used for 07/08 and 08/09 on.
7. **Revaluation Reserve and Capital Adjustment Account.** The Fixed Asset Replacement Account (FARA) and Capital Financing Account are being replaced

with the Revaluation Reserve and Capital Adjustment Account respectively. This requires that the balance sheet at 31 March 07 is restated and that this treatment is to be disclosed in the accounts. The balance on the Revaluation Reserve as at 31 March 07 is set to zero. The balances on the Fixed Asset Restatement Account and the Capital Financing Account have been transferred to the new Capital Adjustment Account as at 31 March 07. From April 07 it is no longer possible to write off revaluation decreased to the FARA. Any revaluation loss will therefore be charged to the Income and Expenditure Account.

8. **Financial Instruments.** In addition, as a result of CIPFA adopting new requirements and guidance there have been changes to recommended accounting practice in relation to financial instruments. In York's case the key impacts have been the need to make prior period adjustments in relation to the treatment of premia and discount on the early repayment (restructure) of debt and the need to show accrued interest on borrowing associated with a loan as part of the ongoing value of the loan.
 9. In relation to premia and discounts, they now have to be charged directly to the Income and Expenditure account, unless it can be demonstrated that the debt restructure is insignificant enough to warrant the replacement loan as being a modification. On certain premia and discounts held on the Council's Balance Sheet some have been determined to be modifications and as a result the ongoing value of the replacement loan has been modified..
 10. To aid in their deliberations members will be briefed on the statement of accounts at the meeting. This presentation will highlight the significant issues or changes that the Committee may wish to discuss; alternatively members may have other issues they wish to query. To assist with their discussions, Members are requested to bring their set of the draft financial accounts to the meeting.
 11. The accumulation of these issues set out above is that it has been difficult to achieve the publication dates for this agenda, whilst the Statement of Accounts were being finalised.
 12. To assist members understanding the following sections set out information on the constituent parts of the Statement of Accounts in the order in which they are produced.
- Foreword**
13. This is designed to help give readers an understanding of the accounts. It sets out a description of all the individual sections, gives an overview of the revenue and capital position in the year, identifies the position on the Council's borrowing powers and reserves and future issues that may influence how the Council is run. The Foreword also provides the opportunity to explain any changes in accounting policies that have been used in the preparation of the Accounts.

Audit Commission Report

14. This is the auditor's certificate on the accuracy or otherwise of the authority's accounts and is issued at the end of the audit process. York has never had a qualification to its audit certificate.

Statement of Accounting Policies

15. This statement sets out all the policies that have been followed in preparation of the accounts. It also intended to demonstrate where, if at all, the policies followed by Council differ from either the best practice or the CIPFA guidelines.

Statement of Responsibilities

16. This is a simple statement that sets out the different legal responsibilities of the Council and the 'Section 151 Officer' (Director of Resources). It is also where the certificate has to be signed by the Director of Resources that the accounts represent fairly the position of the Council, and the Lord Mayor (or Chair of Council on the day that the accounts are approved) must sign to demonstrate that the accounts have been approved by Members. In the absence of a Director of Resources at this time, the Council at its Urgency Meeting on 12 May has temporarily appointed the Head of Finance as the Acting s151 Officer until the arrival of the replacement Director of Resources.

Annual Governance Statement

17. The Accounts and Audit Regulations 2003 introduced the requirement for each local authority to conduct a review of the effectiveness of its system of internal control and to publish a Statement on Internal Control (SIC) as part of the Annual Statement of Accounts. The Council first published a SIC in 2003/04. The SIC formed an important part of the overall process within the Council for monitoring and reporting on the adequacy and effectiveness of its corporate governance arrangements, particularly those in respect of risk management and internal control. Publication of the SIC enabled the Council to formally report on governance related issues identified during the relevant accounting period. The SIC demonstrated openness and accountability to the public and other stakeholders, and provided a framework for improving the adequacy and effectiveness of corporate governance arrangements.
18. The Department for Communities and Local Government issued guidance in 2006 (Circular 03/2006) which gave the existing CIPFA/SOLACE Corporate Governance Framework document 'proper practice' status. CIPFA/SOLACE recently published an updated Framework document. The new document '*Delivering Good Governance in Local Government Framework*' sets out the core principles of governance which authorities are required to adopt.
19. The new guidance also introduced the requirement on local authorities to prepare an Annual Governance Statement (AGS) instead of a SIC (for 2007/08, and future accounting years). In preparing the AGS, the Council must address the overall governance arrangements of the organisation rather than specifically the systems of internal control.

Income and Expenditure Account

20. The Accounts and Audit Regulations 2003 introduced the requirement for each local authority to conduct a review of the effectiveness of its system of internal control and to publish a Statement on Internal Control (SIC) as part of the Annual

Statement of Accounts. The Council first published a SIC in 2003/04. The SIC formed an important part of the overall process within the Council for monitoring and reporting on the adequacy and effectiveness of its corporate governance arrangements, particularly those in respect of risk management and internal control. Publication of the SIC enabled the Council to formally report on governance related issues identified during the relevant accounting period. The SIC demonstrated openness and accountability to the public and other stakeholders and provided a framework for improving the adequacy and effectiveness of corporate governance arrangements.

Statement of the Movement on the General Fund Balance

21. The Department for Communities and Local Government issued guidance in 2006 (Circular 03/2006) which gave the existing CIPFA/SOLACE Corporate Governance Framework document 'proper practice' status. CIPFA/SOLACE recently published an updated Framework document. The new document 'Delivering Good Governance in Local Government Framework' sets out the core principles of governance which authorities are required to adopt.

Statement of Total Recognised Gains and Losses

22. The new guidance also introduced the requirement on local authorities to prepare an Annual Governance Statement (AGS) instead of a SIC (for 2007/08 and future accounting years). In preparing the AGS, the Council must address the overall governance arrangements of the organisation rather than specifically the systems of internal control.
23. In many instances these revaluations impact primarily on the council's balance sheet.

Balance Sheet

24. The balance sheet shows the overall financial position of the Council with external bodies by bringing together the year-end balances of all the Council's accounts. It shows the balances and reserves at the Council's disposal, the long-term indebtedness, the net current assets and summary information on the fixed assets held.

Cash Flow Statement

25. This statement provides a link between the Balance Sheet at the beginning of the year, the revenue accounts for the year and the Balance Sheet at the end of the year. It summarises on a subjective basis the expenditure and income of the Council for revenue and capital purposes.

Housing Revenue Account Income and Expenditure

26. This account summarises the income and expenditure of providing Council houses. There is a statutory requirement to keep this account separate from other Council activities.

Statement of Movement on the Housing Revenue Account Balance

27. This statement shows how the deficit on the Housing Revenue Account Income and Expenditure Account for the year reconciles to the surplus for the year on the

Statutory

Housing

Revenue

Accounts.

Collection Fund

28. This fund shows the transactions of the Council acting as Charging Authority in relation to Council Tax, Community Charge and Non-Domestic Rating in aid of local services and shows how much monies have been distributed to the Council, North Yorkshire Police Authority, North Yorkshire Fire and Rescue Authority, and parish councils.

Consultation

29. In line with the approval process agreed in 2005 the statement of accounts and statement of internal control were considered by the audit and governance committee on the 25th June. The Executive will be updated with the results of those discussions at that meeting. This report has been produced to enable the executive to request further information on any matters included within the Accounts, or to request further information to be presented to them at a later date. The outcome of this meeting will be reported to the Council as part of their decision taking process.

Options

30. There are no alternative options applicable to this paper.

Analysis

31. The analysis of the statement of accounts will be provided at the meeting.

Corporate Priorities

32. When determining the CPA score to issue for the Council consideration is given to whether or not the Statement of Accounts has undergone a full scrutiny by Members prior to being approved by Council. The Statement of Accounts provides a technical financial summary of the activities of the Council. This is explained in terms of service activities as part of the provisional outturn reports, being presented to the Executive on 30 June. This report identifies all key drivers and links to the corporate priorities of the Council.

Implications

33. The implications are
- Financial - there are no financial implications to this report
 - Human Resources - there are no human resource implications to this report
 - Equalities - there are no equality implications to this report
 - Legal - there are no legal implications to this report
 - Crime and Disorder - there are no crime and disorder implications to this report
 - Information Technology - there are no information technology implications to this report
 - Property - there are no property implications to this report
 - Other - there are no other implications to this report

Risk Management

34. This report is for Members to review and comment on the Statement of Accounts. Failure to adequately review the Accounts can impact negatively upon the

Council's CPA assessment and reportage of financial issues. Such oversight provides a valuable mechanism for the identification of potential errors, enhanced clarity of reportage and overall awareness of elected members. All of these areas have a positive impact on the financial management of the authority.

Conclusion

- 35. The production and publication of the Statement of Accounts is a statutory requirement that provides Members and interested parties with the chance to see the full financial position of the Council. Once the Accounts are audited, a set will be distributed to all Members and Chief Officers.
- 36. In bringing the Statement of Accounts to the Executive provides an opportunity for Member led debate and compliance with defined best practice.

Recommendations

- 37. The Executive is recommended to:
- 38. Ask questions or raise issues in relation to the 2007/08 financial accounts.
- 39. Reason: It is an important part of Member involvement in corporate governance that a full scrutiny is undertaken of the Council's Accounts.
- 40. Recommend the statement of accounts to Full Council for their approval before the 30th June 2008.

Reason: Under the current constitution Full Council must approve the Statement of Accounts. It is a statutory requirement that this approval be made no later than 30th June.

Contact Details

Author:

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Chief Officer responsible for the report:

Sian Hansom
Head of Finance

Report approved ✓ Date

Specialist Implications Officer(s) None

Wards Affected: *List wards or tick box to indicate all* **All** ✓

For further information please contact the author of this report

Background Working Papers

Statement of Accounts 2007/08

Closure of Accounts files held in the City Finance Centre

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